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# ON THE DENSITY OF RANGES OF GENERALIZED DIVISOR FUNCTIONS WITH RESTRICTED DOMAINS

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Dedicated to Professor Harald Niederreiter on the occasion of his 70th birthday

ABSTRACT. We begin by defining functions  $\sigma_{t,k}$ , which are generalized divisor functions with restricted domains. For each positive integer k, we show that, for r > 1, the range of  $\sigma_{-r,k}$  is a subset of the interval  $\left[1, \frac{\zeta(r)}{\zeta((k+1)r)}\right)$ . After some work, we define constants  $\eta_k$  which satisfy the following: If  $k \in \mathbb{N}$  and r > 1, then the range of the function  $\sigma_{-r,k}$  is dense in  $\left[1, \frac{\zeta(r)}{\zeta((k+1)r)}\right)$  if and only if  $r \leq \eta_k$ . We end with an open problem.

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# 1. Introduction

Throughout this paper, we will let  $\mathbb{N}$  denote the set of positive integers, and we will let  $\mathbb{P}$  denote the set of prime numbers. We will also let  $p_i$  denote the  $i^{th}$  prime number.

For a real number t, define the function  $\sigma_t \colon \mathbb{N} \to \mathbb{R}$  by  $\sigma_t(n) = \sum_{\substack{d \mid n \\ d > 0}} d^t$  for all

 $n \in \mathbb{N}$ . Note that  $\sigma_t$  is multiplicative for any real t. For each positive integer

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*n*, if r > 1, we have  $1 \le \sigma_{-r}(n) = \sum_{\substack{d \mid n \\ d > 0}} \frac{1}{d^r} < \sum_{i=1}^{\infty} \frac{1}{i^r} = \zeta(r)$ , where  $\zeta$  denotes

the Riemann zeta function. The author has shown [1] that if r > 1, then the range of the function  $\sigma_{-r}$  is dense in the interval  $[1, \zeta(r))$  if and only if  $r \leq \eta$ , where  $\eta$  is the unique number in the interval (1, 2] that satisfies the equation  $\left(\frac{2^{\eta}}{2^{\eta}-1}\right)\left(\frac{3^{\eta}+1}{3^{\eta}-1}\right) = \zeta(\eta).$ 

For each positive integer k, let  $S_k$  be the set of positive integers defined by

$$S_k = \{ n \in \mathbb{N} : p^{k+1} \nmid n \forall p \in \mathbb{P} \}.$$

For any real number t and positive integer k, let  $\sigma_{t,k} \colon S_k \to \mathbb{R}$  be the restriction of the function  $\sigma_t$  to the set  $S_k$ , and let  $\log \sigma_{t,k} = \log \circ \sigma_{t,k}$ . We observe that, for any  $k \in \mathbb{N}$  and r > 1, the range of  $\sigma_{-r,k}$  is a subset of  $\left[1, \frac{\zeta(r)}{\zeta((k+1)r)}\right)$ . This is because, if we allow  $\prod_{i=1}^{v} q_i^{\beta_i}$  to be the canonical prime factorization of some

positive integer in  $S_k$  (meaning that  $\beta_i \leq k$  for all  $i \in \{1, 2, ..., v\}$ ), then

$$1 = \sigma_{-r,k}(1) \le \sigma_{-r,k}\left(\prod_{i=1}^{v} q_i^{\beta_i}\right) = \prod_{i=1}^{v} \sigma_{-r,k}(q_i^{\beta_i}) = \prod_{i=1}^{v} \left(\sum_{j=0}^{\beta_i} q_i^{-jr}\right)$$
$$\le \prod_{i=1}^{v} \left(\sum_{j=0}^{k} q_i^{-jr}\right) < \prod_{i=1}^{\infty} \left(\sum_{j=0}^{k} p_i^{-jr}\right) = \prod_{i=1}^{\infty} \frac{1 - p_i^{-(k+1)r}}{1 - p_i^{-r}} = \frac{\zeta(r)}{\zeta((k+1)r)}$$

To simplify notation, we will write  $G_k(r) = \frac{\zeta(r)}{\zeta((k+1)r)}$ .

Our goal is to analyze the ranges of the functions  $\sigma_{-r,k}$  in order to find constants analogous to  $\eta$  for each positive integer k. More formally, for each  $k \in \mathbb{N}$ , we will find a constant  $\eta_k$  such that if r > 1, then the range of  $\sigma_{-r,k}$  is dense in  $[1, G_k(r))$  if and only if  $r \leq \eta_k$ .

# 2. The Ranges of $\sigma_{-r,k}$

**DEFINITION 2.1.** For  $k, m \in \mathbb{N}$  and  $r \in (1, \infty)$ , let

$$f_k(m,r) = \log\left(1 + \frac{1}{p_m^r}\right) + \sum_{i=1}^m \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right).$$

Notice that, for any  $k \in \mathbb{N}$  and  $r \in (1, \infty)$ , the range of  $\sigma_{-r,k}$  is dense in the interval  $[1, G_k(r))$  if and only if the range of  $\log \sigma_{-r,k}$  is dense in the interval  $[0, \log(G_k(r)))$ . For this reason, we will henceforth focus on the ranges of the functions  $\log \sigma_{-r,k}$  for various values of k and r.

**THEOREM 2.1.** Let  $k \in \mathbb{N}$ , and let  $r \in (1, \infty)$ . The range of  $\log \sigma_{-r,k}$  is dense in the interval  $[0, \log(G_k(r)))$  if and only if  $f_k(m, r) \leq \log(G_k(r))$  for all  $m \in \mathbb{N}$ .

Proof. First, suppose that there exists some  $m \in \mathbb{N}$  such that  $f_k(m, r) > \log(G_k(r))$ . Then

$$\log\left(1+\frac{1}{p_m^r}\right) + \sum_{i=1}^m \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right) > \log\left(\prod_{i=1}^\infty \left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)\right)$$
$$= \sum_{i=1}^\infty \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right),$$

which means that

$$\log\left(1+\frac{1}{p_m^r}\right) > \sum_{i=m+1}^{\infty} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right).$$

Fix some  $N \in S_k$ , and let  $N = \prod_{i=1}^{v} q_i^{\gamma_i}$  be the canonical prime factorization of N. Note that  $\gamma_i \leq k$  for all  $i \in \{1, 2, \dots, v\}$  because  $N \in S_k$ . If  $p_s | N$  for some  $s \in \{1, 2, \dots, m\}$ , then

$$\log \sigma_{-r,k}(N) \ge \log \left(1 + \frac{1}{p_s^r}\right) \ge \log \left(1 + \frac{1}{p_m^r}\right).$$

On the other hand, if  $p_s \nmid N$  for all  $s \in \{1, 2, ..., m\}$ , then

$$\log \sigma_{-r,k}(N) = \log \left( \prod_{i=1}^{v} \sigma_{-r,k}(q_i^{\gamma_i}) \right) = \log \left( \prod_{i=1}^{v} \left( \sum_{j=0}^{\gamma_i} \frac{1}{q_i^{jr}} \right) \right)$$
$$\leq \log \left( \prod_{i=1}^{v} \left( \sum_{j=0}^{k} \frac{1}{q_i^{jr}} \right) \right) < \log \left( \prod_{i=m+1}^{\infty} \left( \sum_{j=0}^{k} \frac{1}{p_i^{jr}} \right) \right)$$
$$= \sum_{i=m+1}^{\infty} \log \left( \sum_{j=0}^{k} \frac{1}{p_i^{jr}} \right).$$

Because N was arbitrary, this shows that there is no element of the range of  $\log \sigma_{-r,k}$  in the interval  $\left(\sum_{i=m+1}^{\infty} \log \left(\sum_{j=0}^{k} \frac{1}{p_i^{jr}}\right), \log \left(1 + \frac{1}{p_m^r}\right)\right)$ . Therefore, the range of  $\log \sigma_{-r,k}$  is not dense in  $[0, \log(G_k(r)))$ .

Conversely, suppose that  $f_k(m,r) \leq \log(G_k(r))$  for all  $m \in \mathbb{N}$ . This is equivalent to the statement that

$$\log\left(1+\frac{1}{p_m^r}\right) \le \sum_{i=m+1}^{\infty} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$

for all  $m \in \mathbb{N}$ . Choose some arbitrary  $x \in (0, \log(G_k(r)))$ . We will construct a sequence in the following manner. First, let  $C_0 = 0$ . Now, for each positive integer l, let  $C_l = C_{l-1} + \log\left(\sum_{i=0}^{\alpha_l} \frac{1}{p_l^{jr}}\right)$ , where  $\alpha_l$  is the largest nonnegative

integer less than or equal to k such that  $C_{l-1} + \log\left(\sum_{i=0}^{\alpha_l} \frac{1}{p_l^{ir}}\right) \leq x$ . Also, for

each 
$$l \in \mathbb{N}$$
, let  $D_l = \log\left(\sum_{j=0}^k \frac{1}{p_l^{jr}}\right) - \log\left(\sum_{j=0}^{\alpha_l} \frac{1}{p_l^{jr}}\right)$ , and let  $E_l = \sum_{i=1}^l D_i$ . Note that

$$\lim_{l \to \infty} (C_l + E_l) = \lim_{l \to \infty} \left( \sum_{i=1}^l \log \left( \sum_{j=0}^{\alpha_i} \frac{1}{p_i^{jr}} \right) + \sum_{i=1}^l D_i \right)$$
$$= \lim_{l \to \infty} \sum_{i=1}^l \log \left( \sum_{j=0}^k \frac{1}{p_i^{jr}} \right) = \log(G_k(r)).$$

Now, because the sequence  $(C_l)_{l=1}^{\infty}$  is bounded and monotonic, we know that there exists some real number  $\gamma$  such that  $\lim_{l \to \infty} C_l = \gamma$ . Note that, for each  $l \in \mathbb{N}$ ,  $C_l$  is in the range of  $\log \sigma_{-r,k}$  because

$$C_l = \sum_{i=1}^l \log\left(\sum_{j=0}^{\alpha_i} \frac{1}{p_i^{jr}}\right) = \log\left(\prod_{i=1}^l \sigma_{-r}(p_i^{\alpha_i})\right) = \log\sigma_{-r,k}\left(\prod_{i=1}^l p_i^{\alpha_i}\right).$$

Therefore, if we can show that  $\gamma = x$ , then we will know (because we chose x arbitrarily) that the range of  $\log \sigma_{-r,k}$  is dense in  $[0, \log(G_k(r)))$ , which will complete the proof.

Because we defined the sequence  $(C_l)_{l=1}^{\infty}$  so that  $C_l \leq x$  for all  $l \in \mathbb{N}$ , we know that  $\gamma \leq x$ . Now, suppose  $\gamma < x$ . Then  $\lim_{l \to \infty} E_l = \log(G_k(r)) - \gamma > \log(G_k(r)) - x$ . This implies that there exists some positive integer L such that  $E_l > \log(G_k(r)) - x$  for all  $l \geq L$ . Let m be the smallest positive integer that satisfies  $E_m > \log(G_k(r)) - x$ . First, suppose  $D_m \leq x - C_m$  so that  $x \geq C_m + D_m = C_{m-1} + \log\left(\sum_{j=0}^k \frac{1}{p_m^{jr}}\right)$ . This implies, by the definition of  $\alpha_m$ , that  $\alpha_m = k$ . Then  $D_m = 0$ . If m > 1, then  $E_{m-1} = E_m > \log(G_k(r)) - x$ , which contradicts the minimality of m. On the other hand, if m = 1, then we have  $0 = D_m = E_m > \log(G_k(r)) - x$ , which is also a contradiction. Thus, we conclude that  $D_m > x - C_m$ . Furthermore,

$$\sum_{i=m+1}^{\infty} \log\left(\sum_{j=0}^{k} \frac{1}{p_i^{jr}}\right) = \log(G_k(r)) - \sum_{i=1}^{m} \log\left(\sum_{j=0}^{k} \frac{1}{p_i^{jr}}\right)$$
$$= \log(G_k(r)) - E_m - C_m < x - C_m < D_m, \tag{1}$$

and we originally assumed that  $\log\left(1+\frac{1}{p_m^r}\right) \leq \sum_{i=m+1}^{\infty} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$ . This means that  $\log\left(1+\frac{1}{p_m^r}\right) < D_m = \log\left(\sum_{j=0}^k \frac{1}{p_m^{jr}}\right) - \log\left(\sum_{j=0}^{\alpha_m} \frac{1}{p_m^{jr}}\right)$ , or, equivalently,  $\log\left(1+\frac{1}{p_m^r}\right) + \log\left(\sum_{j=0}^{\alpha_m} \frac{1}{p_m^{jr}}\right) < \log\left(\sum_{j=0}^k \frac{1}{p_m^{jr}}\right)$ . If  $\alpha_m > 0$ , we have

$$\log\left(\left(1+\frac{1}{p_m^r}\right)^2\right) \le \log\left(1+\frac{1}{p_m^r}\right) + \log\left(\sum_{j=0}^{\alpha_m} \frac{1}{p_m^{jr}}\right) < \log\left(\sum_{j=0}^k \frac{1}{p_m^{jr}}\right)$$
$$< \log\left(\sum_{j=0}^{\infty} \frac{1}{p_m^{jr}}\right) = \log\left(\frac{p_m^r}{p_m^r-1}\right),$$
so  $\left(1+\frac{1}{p_m^r}\right)^2 < \frac{p_m^r}{p_m^r-1}$ . We may write this as  $1+\frac{2}{p_m^r} + \frac{1}{p_m^{2r}} < 1+\frac{1}{p_m^r-1}$ , so

$$2 < \frac{p_m}{p_m^r - 1} = 1 + \frac{1}{p_m^r - 1}$$
. As  $p_m^r > 2$ , this is a contradiction. Hence,  $\alpha_m = 0$ .

By the definitions of  $\alpha_m$  and  $C_m$ , we see that  $C_{m-1} + \log\left(1 + \frac{1}{p_m^r}\right) > x$  and that  $C_m = C_{m-1}$ . Therefore,  $\log\left(1 + \frac{1}{p_m^r}\right) > x - C_{m-1} = x - C_m$ . However, recalling from (1) that  $\sum_{i=m+1}^{\infty} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right) < x - C_m$ , we find that  $\sum_{i=m+1}^{\infty} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right) < \log\left(1 + \frac{1}{p_m^r}\right)$ , which we originally assumed was false.

Therefore,  $\gamma = x$ , so the proof is complete.

Given some positive integer k, we may use Theorem 2.1 to find the values of r > 1 such that the range of  $\log \sigma_{-r,k}$  is dense in  $[0, \log(G_k(r)))$ . To do so, we only need to find the values of r > 1 such that  $f_k(m, r) \leq \log(G_k(r))$  for all  $m \in \mathbb{N}$ . However, this is still a somewhat difficult problem. Luckily, we can make the problem much simpler with the use of the following theorem. We first need a quick lemma.

 $\Box$ 

**LEMMA 2.1.** If  $j \in \mathbb{N} \setminus \{1, 2, 4\}$ , then  $\frac{p_{j+1}}{p_j} < \sqrt{2}$ .

Proof. Pierre Dusart [2] has shown that, for  $x \ge 396738$ , there must be at least one prime in the interval  $\left[x, x + \frac{x}{25 \log^2 x}\right]$ . Therefore, whenever  $p_j > 396738$ , we may set  $x = p_j + 1$  to get  $p_{j+1} \le (p_j + 1) + \frac{p_j + 1}{25 \log^2(p_j + 1)} < \sqrt{2}p_j$ . Using Mathematica 9.0 [3], we may quickly search through all the primes less than 396738 to conclude the desired result.

**REMARK 2.1.** There is an identical statement and proof of Lemma 2.1 in [1], but we include it again here for the sake of completeness (and so that we may later refer to Lemma 2.1 with a name).

**THEOREM 2.2.** Let  $k \in \mathbb{N}$ , and let  $r \in (1, 2]$ . The range of the function  $\log \sigma_{-r,k}$  is dense in the interval  $[0, \log(G_k(r)))$  if and only if  $f_k(m, r) \leq \log(G_k(r))$  for all  $m \in \{1, 2, 4\}$ .

Proof. In light of Theorem 2.1, we simply need to show that if  $f_k(m,r) \leq \log(G_k(r))$  for all  $m \in \{1,2,4\}$ , then  $f_k(m,r) \leq \log(G_k(r))$  for all  $m \in \mathbb{N}$ . Thus, let us assume that k and r are such that  $f_k(m,r) \leq \log(G_k(r))$  for all  $m \in \{1,2,4\}$ .

Now, if  $m \in \mathbb{N} \setminus \{1, 2, 4\}$ , then, by Lemma 2.1,  $\frac{p_{m+1}}{p_m} < \sqrt{2} \le \sqrt[r]{2}$ , which implies that  $\frac{2}{p_{m+1}^r} > \frac{1}{p_m^r}$ . We then have

$$f_k(m+1,r) = \log\left(1 + \frac{1}{p_{m+1}^r}\right) + \sum_{i=1}^{m+1} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$
$$\ge 2\log\left(1 + \frac{1}{p_{m+1}^r}\right) + \sum_{i=1}^m \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$
$$> \log\left(1 + \frac{2}{p_{m+1}^r}\right) + \sum_{i=1}^m \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$
$$> \log\left(1 + \frac{1}{p_m^r}\right) + \sum_{i=1}^m \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right) = f_k(m,r).$$

This means that  $f_k(3,r) < f_k(4,r) \le \log(G_k(r))$ . Furthermore,  $f_k(m,r) < \log(G_k(r))$  for all  $m \ge 5$  because  $(f_k(m,r))_{m=5}^{\infty}$  is a strictly increasing sequence and  $\lim_{m\to\infty} f_k(m,r) = \log(G_k(r))$ .

We now have a somewhat simple way to check whether or not the range of  $\log \sigma_{-r,k}$  is dense in  $[0, \log(G_k(r)))$  for given  $k \in \mathbb{N}$  and  $r \in (1, 2]$ , but we can do better. In what follows, we will let  $T_k(m, r) = f_k(m, r) - \log(G_k(r))$ .

**LEMMA 2.2.** For fixed  $k \in \mathbb{N}$  and  $m \in \{1, 2, 4\}$ ,  $T_k(m, r)$  is a strictly increasing function in the variable r for all  $r \in \left(1, \frac{7}{3}\right)$ .

Proof. 
$$T_k(m,r) = \log\left(1 + \frac{1}{p_m^r}\right) - \sum_{i=m+1}^{\infty} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$
, so, for fixed

 $k \in \mathbb{N}$  and  $m \in \{1, 2, 4\}$ , we have

$$\frac{d}{dr}T_k(m,r) = \sum_{i=m+1}^{\infty} \left( \left( \frac{\sum_{a=1}^k ap_i^{-ar}}{\sum_{b=0}^k p_i^{-br}} \right) \log p_i \right) - \frac{\log p_m}{p_m^r + 1}$$

Observe that, for any  $p_i \in \mathbb{P}$ ,  $k \in \mathbb{N}$ , and  $r \in \left(1, \frac{7}{3}\right)$ , we have  $\frac{\sum_{a=1}^{k} ap_i^{-ar}}{\sum_{b=0}^{k} p_i^{-br}} \ge \frac{p_i^{-r}}{1 + p_i^{-r}} = \frac{1}{p_i^r + 1}.$  Therefore, in order to show that  $\frac{d}{dr}T_k(m, r) > 0$ , it suffices to show that  $\sum_{i=m+1}^{\infty} \frac{\log p_i}{p_i^r + 1} > \frac{\log p_m}{p_m^r + 1}.$ For each  $m \in \{1, 2, 4\}$ , define the function  $J_m : \left(1, \frac{7}{3}\right] \to \mathbb{R}$  by

$$J_m(x) = \frac{\log p_m}{p_m^x + 1} - \sum_{i=m+1}^{m+0} \frac{\log p_i}{p_i^x + 1}$$

One may verify, for each  $m \in \{1, 2, 4\}$ , that the function  $J_m$  is increasing on the interval  $\left(1, \frac{7}{3}\right)$  and that  $J_m\left(\frac{7}{3}\right) < 0$ . Thus, for  $m \in \{1, 2, 4\}$ ,  $\frac{\log p_m}{p_m^r + 1} < \sum_{i=1}^{m+6} \frac{\log p_i}{p_i^r + 1} < \sum_{i=1}^{\infty} \frac{\log p_i}{p_i^r + 1}.$ 

This completes the proof.

**LEMMA 2.3.** For each positive integer k, the functions  $T_k(1,r)$  and  $T_k(2,r)$  each have precisely one root for  $r \in (1,2]$ .

Proof. Fix some  $k \in \mathbb{N}$ . First, observe that  $\lim_{r \to 1^+} T_k(1,r) = -\infty$  and  $\lim_{r \to 1^+} T_k(2,r) = -\infty$ . Also, when viewed as single-variable functions of r,  $T_k(1,r)$  and  $T_k(2,r)$  are continuous over the interval (1,2]. Therefore, if we invoke Lemma 2.2 and the Intermediate Value Theorem, we see that it is sufficient to show that  $T_k(1,2)$  and  $T_k(2,2)$  are positive. We have

$$T_{k}(1,2) = \log\left(1+\frac{1}{2^{2}}\right) - \sum_{i=2}^{\infty} \log\left(\sum_{j=0}^{k} \frac{1}{p_{i}^{2j}}\right) > \log\left(\frac{5}{4}\right) - \sum_{i=2}^{\infty} \log\left(\sum_{j=0}^{\infty} \frac{1}{p_{i}^{2j}}\right)$$
$$= \log\left(\frac{5}{4}\right) - \log\left(\prod_{i=2}^{\infty} \frac{p_{i}^{2}}{p_{i}^{2}-1}\right) = \log\left(\frac{5}{4}\right) + \log\left(\frac{4}{3}\right) - \log(\zeta(2))$$
$$= \log\left(\frac{10}{\pi^{2}}\right) > 0$$

and

$$T_{k}(2,2) = \log\left(1 + \frac{1}{3^{2}}\right) - \sum_{i=3}^{\infty} \log\left(\sum_{j=0}^{k} \frac{1}{p_{i}^{2j}}\right) > \log\left(\frac{10}{9}\right) - \sum_{i=3}^{\infty} \log\left(\sum_{j=0}^{\infty} \frac{1}{p_{i}^{2j}}\right)$$
$$= \log\left(\frac{10}{9}\right) - \log\left(\prod_{i=3}^{\infty} \frac{p_{i}^{2}}{p_{i}^{2} - 1}\right) = \log\left(\frac{10}{9}\right) + \log\left(\frac{9}{8}\right) + \log\left(\frac{4}{3}\right) - \log(\zeta(2))$$
$$= \log\left(\frac{10}{\pi^{2}}\right) > 0.$$

**DEFINITION 2.2.** For  $k \in \mathbb{N}$  and  $m \in \{1, 2, 4\}$ , we define  $R_k(m)$  by

$$R_k(m) = \begin{cases} r_0, & \text{if } T_k(m, r_0) = 0 \text{ and } 1 < r_0 < 2; \\ 2, & \text{if } T_k(m, r) < 0 \text{ for all } r \in (1, 2). \end{cases}$$

Also, for each positive integer k, let  $M_k$  be the smallest element m of  $\{1, 2, 4\}$  that satisfies  $R_k(m) = \min(R_k(1), R_k(2), R_k(4))$ .

**REMARK 2.2.** Observe that, for each  $k \in \mathbb{N}$ , Lemma 2.2, when combined with the fact that  $\lim_{r\to 1^+} T_k(m,r) = -\infty$  for all  $m \in \{1,2,4\}$ , guarantees that the function  $R_k$  is well-defined. Furthermore, note that Lemma 2.3 tells us that  $R_k(M_k) < 2$ . Essentially,  $M_k$  is the element m of the set  $\{1,2,4\}$  that gives  $g(r) = T_k(m,r)$  the smallest root in the interval (1,2), and if multiple values of m give g(r) this minimal root,  $M_k$  is simply defined to be the smallest such m.

**LEMMA 2.4.** For all  $k \in \mathbb{N}$  and  $m \in \{1, 2, 4\}$ ,  $R_{k+1}(m) \ge R_k(m)$ , where equality holds if and only if m = 4 and  $R_k(m) = 2$ .

Proof. Fix  $k \in \mathbb{N}$  and  $m \in \{1, 2, 4\}$ . Note that if  $f_k(m, r) \leq \log(G_k(r))$  for some  $r \in (1, 2]$ , then

$$f_{k+1}(m,r) - \sum_{i=1}^{m} \log\left(\sum_{j=0}^{k+1} \frac{1}{p_i^{jr}}\right) = \log\left(1 + \frac{1}{p_m^r}\right)$$
$$= f_k(m,r) - \sum_{i=1}^{m} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right) \le \log(G_k(r)) - \sum_{i=1}^{m} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$
$$= \sum_{i=m+1}^{\infty} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right) < \sum_{i=m+1}^{\infty} \log\left(\sum_{j=0}^{k+1} \frac{1}{p_i^{jr}}\right)$$

$$= \log(G_{k+1}(r)) - \sum_{i=1}^{m} \log\left(\sum_{j=0}^{k+1} \frac{1}{p_i^{jr}}\right),$$

so  $f_{k+1}(m,r) < \log(G_{k+1}(r))$ . We now consider two cases.

Case 1:  $T_k(m, r_0) = 0$  for some  $r_0 \in (1, 2)$ . In this case,  $R_k(m) = r_0$ , so  $T_k(m, R_k(m)) = 0$ . Therefore,  $f_k(m, R_k(m)) = \log(G_k(R_k(m)))$ . By the argument made in the preceding paragraph, we conclude that  $f_{k+1}(m, R_k(m)) < \log(G_{k+1}(R_k(m)))$ , which is equivalent to the statement  $T_{k+1}(m, R_k(m)) < 0$ . Either  $R_{k+1}(m) = 2 > R_k(m)$  or  $T_{k+1}(m, R_{k+1}(m)) = 0 > T_{k+1}(m, R_k(m))$ . In the latter case, Lemma 2.2 tells us that  $R_{k+1}(m) > R_k(m)$ .

Case 2:  $T_k(m,r) < 0$  for all  $r \in (1,2)$ . In this case,  $R_k(m) = 2$ , and  $f_k(m,2) \leq \log(G_k(2))$ . By the argument made in the beginning of this proof, we conclude that  $f_{k+1}(m,2) < \log(G_{k+1}(2))$ . Therefore, combining Lemma 2.2 and Definition 2.2, we may conclude that  $R_{k+1}(m) = R_k(m) = 2$ . Note that, by Lemma 2.3, this case can only occur if m = 4.

We now mention some numerical results, obtained using Mathematica 9.0, that we will use to prove our final lemma and theorem.

Let us define a function  $V_k(m, r)$  by

$$V_k(m,r) = \log\left(1 + \frac{1}{p_m^r}\right) - \sum_{i=m+1}^{10^5} \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right).$$
 Then, for fixed  $k \in \mathbb{N}$  and  $m \in \{1, 2, 4\}$ , we have

$$\frac{d}{dr}V_k(m,r) = \sum_{i=m+1}^{10^5} \left( \left( \frac{\sum_{a=1}^k a p_i^{-ar}}{\sum_{b=0}^k p_i^{-br}} \right) \log p_i \right) - \frac{\log p_m}{p_m^r + 1}$$
$$> \sum_{i=m+1}^{m+6} \left( \frac{\log p_i}{p_i^r + 1} \right) - \frac{\log p_m}{p_m^r + 1}.$$

Referring to the last two sentences of the proof of Lemma 2.2, we see that  $\frac{d}{dr}V_k(m,r) > 0$  for  $r \in \left(1,\frac{7}{3}\right)$  when  $k \in \mathbb{N}$  and  $m \in \{1,2,4\}$  are fixed. In particular, we will make use of the fact that  $V_1(1,r)$  is an increasing function of r on the interval  $\left(1,\frac{7}{3}\right)$ . We may easily verify that  $V_1(1,1) < 0 < V_1\left(1,\frac{7}{3}\right)$ , so

there exists a unique number  $r_1 \in \left(1, \frac{7}{3}\right)$  such that  $V_1(1, r_1) = 0$ . Mathematica approximates this value as  $r_1 \approx 1.864633$ . We have

$$V_1(1, r_1) = 0 = T_1(1, R_1(1)) = \log\left(1 + \frac{1}{2^{R_1(1)}}\right) - \sum_{i=2}^{\infty} \log\left(1 + \frac{1}{p_i^{R_1(1)}}\right)$$
$$< \log\left(1 + \frac{1}{2^{R_1(1)}}\right) - \sum_{i=2}^{10^5} \log\left(1 + \frac{1}{p_i^{R_1(1)}}\right) = V_1(1, R_1(1)).$$

Because  $V_1(1,r)$  is increasing, we find that  $R_1(1) > r_1$ . The important point here is that  $R_1(1) \in (1.8638, 2)$ . One may confirm, using a simple graphing calculator, that  $\left(1 + \frac{1}{2^r}\right) \left(\frac{3^r}{3^r + 1}\right) > 1 + \frac{1}{3^r}$  for all  $r \in (1.8638, 2)$ . Therefore, we may write

$$T_{1}(2, R_{1}(2)) = 0 = T_{1}(1, R_{1}(1)) = \log\left(1 + \frac{1}{2^{R_{1}(1)}}\right) - \sum_{i=2}^{\infty} \log\left(1 + \frac{1}{p_{i}^{R_{1}(1)}}\right)$$
$$= \log\left(\left(1 + \frac{1}{2^{R_{1}(1)}}\right) \left(\frac{3^{R_{1}(1)}}{3^{R_{1}(1)} + 1}\right)\right) - \sum_{i=3}^{\infty} \log\left(1 + \frac{1}{p_{i}^{R_{1}(1)}}\right)$$
$$> \log\left(1 + \frac{1}{3^{R_{1}(1)}}\right) - \sum_{i=3}^{\infty} \log\left(1 + \frac{1}{p_{i}^{R_{1}(1)}}\right) = T_{1}(2, R_{1}(1)).$$

As  $T_1(2,r)$  is increasing on the interval (1,2) (by Lemma 2.2), we find that  $R_1(2) > R_1(1)$ . We may use a similar argument, invoking the fact that

$$\left(1+\frac{1}{2^r}\right)\left(\frac{3^r}{3^r+1}\right)\left(\frac{5^r}{5^r+1}\right)\left(\frac{7^r}{7^r+1}\right) > 1+\frac{1}{7^r}$$

for all  $r \in (1.8638, 2)$ , to show that  $R_1(4) > R_1(1)$ . Thus,  $R_1(1) = \min(R_1(1), R_1(2), R_1(4))$ , so  $M_1 = 1$ .

Now, one may easily verify that, for all  $r \in (1.67, 1.98)$ ,

$$1 + \frac{1}{2^r} < \left(1 + \frac{1}{3^r}\right) \left(1 + \frac{1}{3^r} + \frac{1}{3^{2r}}\right) \tag{2}$$

and

$$1 + \frac{1}{3^r} > \left(\frac{5^r}{5^r - 1}\right) \left(\frac{7^r + 1}{7^r - 1}\right).$$
(3)

If we fix some integer  $k \ge 2$ , then, for all  $r \in (1.67, 1.98)$ , we may use (2) to write

$$f_k(1,r) = \log\left(1 + \frac{1}{2^r}\right) + \log\left(\sum_{j=0}^k \frac{1}{2^{jr}}\right)$$
$$< \log\left(\left(1 + \frac{1}{3^r}\right)\left(1 + \frac{1}{3^r} + \frac{1}{3^{2r}}\right)\right) + \log\left(\sum_{j=0}^k \frac{1}{2^{jr}}\right)$$
$$\leq \log\left(1 + \frac{1}{3^r}\right) + \log\left(\sum_{j=0}^k \frac{1}{3^{jr}}\right) + \log\left(\sum_{j=0}^k \frac{1}{2^{jr}}\right) = f_k(2,r).$$
for all  $n \in (1.67, 1.08)$  we were eq. (2) to exist.

Similarly, for all  $r \in (1.67, 1.98)$ , we may use (3) to write

$$f_k(2,r) = \log\left(1 + \frac{1}{3^r}\right) + \sum_{i=1}^2 \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$
$$> \log\left(\left(\frac{5^r}{5^r - 1}\right) \left(\frac{7^r + 1}{7^r - 1}\right)\right) + \sum_{i=1}^2 \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$
$$= \log\left(\sum_{j=0}^\infty \frac{1}{5^{jr}}\right) + \log\left(\sum_{j=0}^\infty \frac{1}{7^{jr}}\right) + \log\left(1 + \frac{1}{7^r}\right) + \sum_{i=1}^2 \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$
$$> \log\left(\sum_{j=0}^k \frac{1}{5^{jr}}\right) + \log\left(\sum_{j=0}^k \frac{1}{7^{jr}}\right) + \log\left(1 + \frac{1}{7^r}\right) + \sum_{i=1}^2 \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right)$$
$$= \log\left(1 + \frac{1}{7^r}\right) + \sum_{i=1}^4 \log\left(\sum_{j=0}^k \frac{1}{p_i^{jr}}\right) = f_k(4, r).$$

We now know that  $f_k(2,r) > f_k(1,r), f_k(4,r)$  whenever  $k \in \mathbb{N} \setminus \{1\}$  and  $r \in (1.67, 1.98)$ . As our last preliminary computation, we need to evaluate  $\lim_{n \to \infty} R_n(2)$ . For each positive integer  $n, R_n(2)$  is the unique solution  $r \in (1, 2)$  of the equation  $f_n(2, r) = \log(G_n(r))$ . We may rewrite this equation as

$$\log\left(1+\frac{1}{3^r}\right) = \sum_{i=3}^{\infty} \log\left(\sum_{j=0}^n \frac{1}{p_i^{jr}}\right),$$

or, equivalently, 
$$\left(\sum_{j=0}^{n} \frac{1}{2^{jr}}\right) \left(\sum_{j=0}^{n} \frac{1}{3^{jr}}\right) \left(1 + \frac{1}{3^{r}}\right) = \prod_{i=1}^{\infty} \left(\sum_{j=0}^{n} \frac{1}{p_{i}^{jr}}\right)$$
. Because the summations and the product in this equation converge (for  $r > 1$ ) as  $n \to \infty$ 

the summations and the product in this equation converge (for r > 1) as  $n \to \infty$ , we see that  $\lim_{n \to \infty} R_n(2)$  is simply the solution (in the interval (1, 2)) of the equa-

tion 
$$\lim_{n \to \infty} \left[ \left( \sum_{j=0}^{n} \frac{1}{2^{jr}} \right) \left( \sum_{j=0}^{n} \frac{1}{3^{jr}} \right) \left( 1 + \frac{1}{3^r} \right) \right] = \lim_{n \to \infty} \left[ \prod_{i=1}^{\infty} \left( \sum_{j=0}^{n} \frac{1}{p_i^{jr}} \right) \right]$$
, which we may write as

$$\left(\frac{2^r}{2^r-1}\right)\left(\frac{3^r+1}{3^r-1}\right) = \zeta(r). \tag{4}$$

The only solution to this equation in the interval (1, 2) is  $r = \eta \approx 1.8877909$  [1]. For now, the important piece of information to note is that  $\lim_{n \to \infty} R_n(2) \in (1.67, 1.98).$ 

**LEMMA 2.5.** For all integers k > 1,  $M_k = 2$ .

Proof. Fix some integer k > 1. First, suppose  $M_k = 1$ . This means that  $R_k(1) \leq R_k(2)$ . Using Lemma 2.4 and the facts that  $R_1(1) > 1.8638$  and  $\lim_{n\to\infty} R_n(2) < 1.98$ , we have

$$1.8638 < R_1(1) < R_k(1) \le R_k(2) < \lim_{n \to \infty} R_n(2) < 1.98.$$

Therefore,  $R_k(1) \in (1.67, 1.98)$ , so we know that  $f_k(2, R_k(1)) > f_k(1, R_k(1))$ =  $\log(G_k(R_k(1)))$ . Hence,  $T_k(2, R_k(1)) > 0$ . Lemma 2.2, when coupled with our assumption that  $R_k(1) \leq R_k(2)$ , then implies that  $T_k(2, R_k(2)) > 0$ . However, this is impossible because Lemma 2.3 and the definition of  $R_k(2)$  guarantee that  $T_k(2, R_k(2)) = 0$ .

Next, suppose  $M_k = 4$ . This means that  $R_k(4) < R_k(2)$ . Also, referring to Remark 2.2, we see that  $R_k(4) < 2$ . Therefore, by the definition of  $R_k(4)$ , we find that  $f_k(4, R_k(4)) = \log(G_k(R_k(4)))$ . Now, we may write

$$1.8638 < R_1(1) < R_1(4) < R_k(4) < R_k(2) < \lim_{n \to \infty} R_n(2) < 1.98.$$

As  $R_k(4) \in (1.67, 1.98)$ , we have

$$f_k(2, R_k(4)) > f_k(4, R_k(4)) = \log(G_k(R_k(4))).$$

Thus,  $T_k(2, R_k(4)) > 0$ . Using Lemma 2.2 and our assumption that  $R_k(4) < R_k(2)$ , we get  $T_k(2, R_k(2)) > 0$ . Again, this is a contradiction.

We now culminate our work with a final definition and theorem.

**DEFINITION 2.3.** Let  $\eta_1$  be the unique real number in the interval (1, 2) that satisfies

$$\left(1+\frac{1}{2^{\eta_1}}\right)^2 = \frac{\zeta(\eta_1)}{\zeta(2\eta_1)}.$$

For each integer k > 1, let  $\eta_k$  be the unique real number in the interval (1, 2) that satisfies

$$\left(\sum_{j=0}^{k} \frac{1}{2^{\eta_k}}\right) \left(\sum_{j=0}^{k} \frac{1}{3^{\eta_k}}\right) \left(1 + \frac{1}{3^{\eta_k}}\right) = \frac{\zeta(\eta_k)}{\zeta((k+1)\eta_k)}.$$

**REMARK 2.3.** Using Definition 2.1 to manipulate the equation  $f_k(M_k, R_k(M_k)) = \log(G_k(R_k(M_k)))$  and using the fact that

$$M_k = \begin{cases} 1, & \text{if } k = 1; \\ 2, & \text{if } k \in \mathbb{N} \backslash \{1\} \end{cases}$$

one can see that  $\eta_k$  is simply  $R_k(M_k)$ . Furthermore, Lemma 2.2 tells us that, for each positive integer k, the value of  $\eta_k$  is, in fact, unique.

**THEOREM 2.3.** Let k be a positive integer. If r > 1, then the range of the function  $\sigma_{-r,k}$  is dense in the interval  $\left[1, \frac{\zeta(r)}{\zeta((k+1)r)}\right)$  if and only if  $r \leq \eta_k$ .

Proof. Let k be a positive integer, and let  $r \in \left(1, \frac{7}{3}\right)$ . Suppose  $r \leq \eta_k$ . Then, by the definition of  $M_k$  and the fact that  $\eta_k = R_k(M_k)$ , we see that  $r \leq R_k(m)$  for all  $m \in \{1, 2, 4\}$ . Lemma 2.2 then guarantees that  $T_k(m, r) \leq 0$  for all  $m \in \{1, 2, 4\}$ , which means that  $f_k(m, r) \leq \log(G_k(r))$  for all  $m \in \{1, 2, 4\}$ . Theorem 2.2 then tells us that the range of  $\log \sigma_{-r,k}$  is dense in the interval  $[0, \log(G_k(r)))$ , which implies that the range of  $\sigma_{-r,k}$  is dense in  $[1, G_k(r))$ . Now, suppose that  $r > \eta_k$ . Then  $T_k(M_k, r) > T_k(M_k, R_k(M_k)) = 0$ , so,  $f_k(M_k, r) > \log(G_k(r))$ . This means that the range of  $\log \sigma_{-r,k}$  is not dense in  $[0, \log(G_k(r)))$ , which is equivalent to the statement that the range of  $\sigma_{-r,k}$  is not dense in  $[1, G_k(r))$ .

We now need to show that, for any  $k \in \mathbb{N}$ , the range of  $\sigma_{-r,k}$  is not dense in  $[0, \log(G_k(r)))$  for all  $r > \frac{7}{3}$ . To do so, it suffices to show that  $f_k(1, r) > \log(G_k(r))$  for all  $r > \frac{7}{3}$ , which means that we only need to show that  $\left(1 + \frac{1}{2^r}\right)\sum_{j=0}^k \frac{1}{2^{jr}} > G_k(r)$  for  $r > \frac{7}{3}$ . Now, because  $G_k(r) < \zeta(r)$ , we see that

it suffices to show that  $\left(1+\frac{1}{2^r}\right)^2 > \zeta(r)$  for  $r > \frac{7}{3}$ . One may easily verify that this inequality holds for  $\frac{7}{3} < r \leq 3$ . For r > 3, we have

$$\left(1 + \frac{1}{2^r}\right)^2 > 1 + \frac{1}{2^r} + \frac{1}{2}\left(\frac{1}{2^{r-1}}\right) > 1 + \frac{1}{2^r} + \frac{1}{(r-1)2^{r-1}}$$
$$= 1 + \frac{1}{2^r} + \int_2^\infty \frac{1}{x^r} dx > \zeta(r).$$

# 3. An Open Problem

As the author has done for a density problem related to generalizations divisor functions without restricted domains [1], we pose a question related to the number of "gaps" in the range of  $\sigma_{-r,k}$  for various k and r. That is, given positive integers k and L, what are the values of r > 1 such that the closure of the range of  $\sigma_{-r,k}$  is a union of exactly L disjoint subintervals of  $\left[1, \frac{\zeta(r)}{\zeta((k+1)r)}\right]$ ?

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