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ON THE DISTRIBUTION OF RATIONAL FUNCTIONS ON CONSECUTIVE POWERS

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theory

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ABSTRACT. We show that for a prime p and any nontrivial rational function $r(X) \in \mathbb{F}_p(X)$ over the finite field \mathbb{F}_p of p elements, the fractional parts

$$\left\{\frac{r(x)}{p},\ldots,\frac{r(x^m)}{p}\right\},\,$$

where x runs through the fields elements which are not the poles of the above functions, are asymptotically uniformly distributed in the *m*-dimensional unit cube for any fixed *m* and $p \to \infty$.

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1. Introduction

Let p be a prime number and let \mathbb{F}_p be the finite field of p elements. Assume that \mathbb{F}_p is represented by the set $\{0, 1, \dots, p-1\}$.

For an integer m and any rational function $r(X) \in \mathbb{F}_p(X)$ we denote by \mathcal{E}_m the set of poles of the following m functions $r(X), \ldots, r(X^m)$.

We use exponential sums to show that the fractional parts

$$\left\{\frac{r(x)}{p},\ldots,\frac{r(x^m)}{p}\right\}, \quad x \in \{0,\ldots,N-1\} \setminus \mathcal{E}_m,\tag{1}$$

are asymptotically uniformly distributed in the *m*-dimensional unit cube for any fixed *m*, and integer *N* with $Np^{-1/2}(\log p)^{-m-1} \to \infty$ as $p \to \infty$.



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Certainly, a result of this type is expected, but its proof requires a result about linear independency of certain rational functions which can have an independent interest.

Throughout the paper, the involved constants in symbols 'O' and ' \ll ' may depend on m and the degree of the function r(X) (we recall that $A \ll B$ is equivalent to A = O(B)).

2. Linear independence of rational functions on consecutive powers

Since the following statement may find some other applications we formulate it in a more general form than it is necessary for our purpose.

LEMMA 1. Let IK be an arbitrary field. Assume that a rational function $r(X) \in IK(X)$ is not of the form r(X) = AX + B with $A, B \in IK$. Then, the following m + 2 rational functions

1, X,
$$r(X^i)$$
, $i = 1, \ldots, m$,

are linearly independent.

Proof. The result is trivial when $r(X) \in \mathbb{K}[X]$, that is, if it is a polynomial.

We now assume that $r(X) \notin \mathbb{I}_{K}[X]$. Suppose that for some $a_i \in \mathbb{I}_{K}$, $i = -1, 0, 1, \ldots, m$ we have

$$a_{-1} + a_0 X + \sum_{i=1}^m a_i r(X^i) = 0.$$
⁽²⁾

As usual, we define the degree of the identically zero polynomial as -1, and the degree of any other constant polynomial as 0.

We write

$$r(X) = h(X) + \frac{f(X)}{g(X)},$$

where $f(X), g(X), h(X) \in \mathbb{K}[X]$, $\deg g(X) > \deg f(X) \ge 0$ (since $r(X) \notin \mathbb{K}[X]$). We see from (2) that

$$a_{-1} + a_0 X + \sum_{i=1}^m a_i h(X^i) + \sum_{i=1}^m a_i \frac{f(X^i)}{g(X^i)} = 0.$$

This implies

$$-a_{-1} - a_0 X - \sum_{i=1}^m a_i h\left(X^i\right) = \sum_{i=1}^m a_i \frac{f\left(X^i\right)}{g\left(X^i\right)},$$

from which we obtain:

$$-\left(a_{-1} + a_0 X + \sum_{i=1}^m a_i h\left(X^i\right)\right) \prod_{j=1}^m g\left(X^j\right) = \sum_{i=1}^m a_i f\left(X^i\right) \prod_{\substack{j=1\\j \neq i}}^m g\left(X^j\right).$$
(3)

Clearly,

$$\deg\left(f\left(X^{i}\right)\prod_{\substack{j=1\\j\neq i}}^{m}g\left(X^{j}\right)\right) = i\deg f(X) + \left(\frac{m(m+1)}{2} - i\right)\deg g(X).$$
(4)

Now, if

$$a_{-1} + a_0 X + \sum_{i=1}^m a_i h(X^i) \neq 0$$

(that is, if it is a non-zero polynomial), then the degree of the polynomial on the left hand side of (3) is at least

$$\sum_{j=1}^{m} j \deg g(X) = \frac{m(m+1)}{2} \deg g(X),$$

which contradicts the fact that, by (4), the degree of the polynomial on the right hand side of (3) is

$$\max_{i=1,\dots,m} \left(i \deg f(X) + \left(\frac{m(m+1)}{2} - i\right) \deg g(X) \right) < \frac{m(m+1)}{2} \deg g(X).$$

If

$$a_{-1} + a_0 X + \sum_{i=1}^{m} a_i h\left(X^i\right) = 0$$
(5)

(that is, if it is a zero polynomial), then

$$\sum_{i=1}^m a_i f(X^i) \prod_{\substack{j=1\\j\neq i}}^m g(X^j) = 0.$$

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Recalling that deg g(X) >deg $f(X) \ge 0$, we now derive from (4) that

$$\begin{split} \operatorname{deg} \left(f\left(X^{i}\right) \prod_{\substack{j=1\\ j\neq i}}^{m} g\left(X^{j}\right) \right) &= i \operatorname{deg} f(X) + \left(\frac{m(m+1)}{2} - i\right) \operatorname{deg} g(X) \\ &> (i+1) \operatorname{deg} f(X) + \left(\frac{m(m+1)}{2} - i - 1\right) \operatorname{deg} g(X) \\ &= \operatorname{deg} \left(f\left(X^{i+1}\right) \prod_{\substack{j=1\\ j\neq i+1}}^{m} g\left(X^{j}\right) \right), \end{split}$$

for all i = 1, ..., m. It implies that $a_i = 0$, for all i = 1, ..., m. Then, from (5) we obtain $a_{-1} = a_0 = 0$, and this ends the proof.

3. Discrepancy and exponential sums

For a sequence of N points

$$\Gamma = (\gamma_{1,n}, \dots, \gamma_{m,n})_{n=1}^N \tag{6}$$

in the half-open interval $[0,1)^m$, denote by Δ_{Γ} its *discrepancy*, that is,

$$\Delta_{\Gamma} = \sup_{\mathcal{B} \subseteq [0,1)^m} \left| \frac{T_{\Gamma}(\mathcal{B})}{N} - |\mathcal{B}| \right|,$$

where $T_{\Gamma}(B)$ is the number of points of the sequence Γ lying in the box

$$\mathcal{B} = [\alpha_1, \beta_1) \times \ldots \times [\alpha_m, \beta_m) \subseteq [0, 1)^m$$

of volume

$$|\mathcal{B}| = \prod_{j=1}^{m} \left(\beta_j - \alpha_j\right),\,$$

where $0 \le \alpha_j < \beta_j \le 1$, j = 1, ..., m, and the supremum is taken over all such boxes.

For an integer vector $\mathbf{a} = (a_1, \ldots, a_m) \in \mathbb{Z}^m$ we put

$$|\mathbf{a}| = \max_{i=1,\dots,m} |a_i|, \qquad r(\mathbf{a}) = \prod_{i=1}^m \max\{|a_i|, 1\}.$$
 (7)

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We need the *Erdös–Turán–Koksma inequality* (see [1, Theorem 1.21]), linking the discrepancy with exponential sums, which we present in the following form.

LEMMA 2. There exists a constant $C_m > 0$ depending only on the dimension m such that, for any integer $L \ge 1$, for the discrepancy of a sequence of points (6) the bound

$$\Delta_{\Gamma} < C_m \left(\frac{1}{L} + \frac{1}{N} \sum_{0 < |\mathbf{a}| \le L} \frac{1}{r(\mathbf{a})} \left| \sum_{n=1}^{N} \exp\left(2\pi i \sum_{j=1}^{m} a_j \gamma_{j,n} \right) \right| \right)$$

holds, where $|\mathbf{a}|$, $r(\mathbf{a})$ are defined by (7) and the sum is taken over all integer vectors

$$\mathbf{a} = (a_1, \dots, a_m) \in \mathbb{Z}^m \quad with \quad 0 < |\mathbf{a}| \le L.$$

We put

$$\mathbf{e}_p(z) = \exp(2\pi i z/p).$$

Our second main tool is the Weil bound on exponential sums (see [3, Chapter 6] or [4, Chapter 5]) which we present in the following form which can be found in [5].

LEMMA 3. For any polynomials $g(X), h(X) \in \mathbb{F}_p[X]$ such that the rational function F(X) = h(X)/g(X) is not constant on \mathbb{F}_p , the bound

$$\sum_{\substack{x \in \mathbb{F}_p \\ g(x) \neq 0}} \mathbf{e}_p \left(F(x) \right) \right| \le \left(\max\{ \deg g, \deg h\} + r - 2 \right) p^{1/2} + \delta$$

holds, where

$$(r,\delta) = \begin{cases} (s,1) & \text{if } \deg h \leq \deg g, \\ (s+1,0) & \text{if } \deg h > \deg g, \end{cases}$$

and s is the number of distinct zeros of g(X) in the algebraic closure of \mathbb{F}_p .

4. Main result

Recall that we have assumed that the elements of the field \mathbb{F}_p are represented by the set $\{0, 1, \ldots, p-1\}$. For a rational function $r(X) \in \mathbb{F}_p(X)$ and a positive integer N < p, we denote by $\Delta_{r,m}(N,p)$ the discrepancy of the points set (1).

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THEOREM 4. Assume that a rational function $r(X) \in \mathbb{F}_p(X)$ is not of the form r(X) = AX + B with $A, B \in \mathbb{F}_p$. Then, for any positive integer N < p, we have

$$\Delta_{r,m}(N,p) = O\left(N^{-1}p^{1/2}(\log p)^{m+1}\right).$$

Proof. We can certainly assume that $p \geq 3$ since otherwise the result is trivial. Combining Lemmas 1 and 3 we conclude that for any $a_0, a_1, \ldots, a_m \in \mathbb{F}_p$, not all equal to zero, we have

$$\sum_{\substack{x=0\\x\notin\mathcal{E}_m}}^{p-1} \mathbf{e}_p\left(a_0x + \sum_{j=1}^m a_j r(x^j)\right) = O(p^{1/2}),$$

where, as before, \mathcal{E}_m denotes the set of poles of the functions $r(X), \ldots, r(X^m)$.

Using the standard reduction between complete and incomplete sums, see [2, Section 12.2], we derive

$$\sum_{\substack{x=0\\x\notin\mathcal{E}_m}}^{N} \mathbf{e}_p\left(\sum_{j=1}^m a_j r(x^j)\right) = O(p^{1/2}\log p),$$

provided that at least one coefficient $a_1, \ldots, a_m \in \mathbb{Z}$ is not zero modulo p. Now, combining this bound with Lemma 2 and taking L = (p-1)/2 end the proof. \Box

5. Comments

Let ${\rm I\!K}$ be an arbitrary field. Lemma 1 can be extended in the following way.

Assume that a rational function $r(X) \in \mathbb{K}(X)$ is not of the form r(X) = AX + B with $A, B \in \mathbb{K}$ and a rational function $w(X) \in \mathbb{K}(X)$ is not constant. Then, the following m + 2 rational functions

$$1, X, r(w(X)^{i}), i = 1, ..., m,$$

are linearly independent.

Let w(X), u(X) be two non-constant rational functions. As usual, we denote by $w(X) \circ u(X)$ the element-wise composition of rational functions, that is, $w(X) \circ u(X) = w(u(X))$. For a positive integer number *i*, we write

$$w_i(X) = \overbrace{w(X) \circ \ldots \circ w(X)}^{i \text{ times}}.$$

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Now, assume that two rational functions $r(X), w(X) \in \mathbb{K}(X)$ are not of the form AX + B with $A, B \in \mathbb{F}_p$. Then, the following m + 2 rational functions

1, X,
$$r(w_i(X))$$
, $i = 1, \ldots, m$,

are linearly independent. Accordingly, one can obtain an analogue of Theorem 4 for the discrepancy of the joint distribution of fractional parts with these functions.

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