

CHARACTER SUMS WITH THE ALIQUOT DIVISORS FUNCTION

SANKA BALASURIYA — FLORIAN LUCA

ABSTRACT. In this paper, we obtain nontrivial bounds for character sums involving the aliquot divisors function $s(n) = \sigma(n) - n$.

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1. Introduction

For every positive integer n , let $s(n)$ be the sum of the aliquot divisors of n given by

$$s(n) = \sum_{\substack{d|n \\ d \neq n}} d = \sigma(n) - n,$$

where $\sigma(n)$ is the sum of divisors function. Here, we consider some arithmetic properties of the aliquot sequence $(s(n))_{n \geq 1}$. In particular, for a fixed prime p , we obtain nontrivial estimates in certain ranges for character sums of the form

$$S_p(N) = \sum_{n=1}^N \chi(s(n)) \quad \text{for } N \geq 1,$$

where χ is a nonprincipal multiplicative character modulo p . Note that if n is prime, it follows that $s(n) = 1$, therefore $\chi(s(n)) = 1$. Hence, we are left with giving a nontrivial upper bound on the expression $S_p(N) - \pi(N)$, where $\pi(N)$ stands, as usual, for the number of primes $q \leq N$.

Our results for the sum $S_p(N)$ depend on estimates for the cardinality of the sets

$$\mathcal{U}(p, N) = \{1 \leq n \leq N : \sigma(n) \equiv 0 \pmod{p}\},$$

and

$$\mathcal{T}(p, N) = \{1 < n \leq N : s(n) \equiv 0 \pmod{p}\}.$$

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Upper bounds for exponential sums involving $s(n)$ have been obtained in [3], and analogous results for the Euler function $\varphi(n)$ have been obtained in [2, 4, 5]. We apply similar methods in the present paper although some modifications are needed not only since $s(n)$ is not a multiplicative function but also since it takes any prime number to 1.

Our main results are the following.

THEOREM 1. *The following bound holds*

$$\#\mathcal{U}(p, N) \ll \frac{N}{p} \min\{(\log N)^{2/3}, (\log p)^2 (\log \log N)^{5/3}\}$$

uniformly in the prime p and in N .

THEOREM 2. *The inequality*

$$\#\mathcal{T}(p, N) \ll \frac{N(\log N)^2}{p^{1/12}}$$

holds uniformly in the prime p and in N .

Using the above results, we prove the following estimate.

THEOREM 3. *The following bound holds*

$$|S_p(N) - \pi(N)| \ll \frac{N(\log N)^6}{p^{1/12}} + \frac{N}{v^{v/3+O(v(\log \log v)/\log v)}},$$

uniformly in the prime p and in N , where $v = (\log N)/(\log p)$.

Using Theorem 3, we get the following nontrivial bound on $S_p(N)$ in almost the entire range of p versus N .

THEOREM 4. *The estimate*

$$|S_p(N)| \ll \frac{N}{\log \log \log N} + \frac{N}{v^{v/3+O(v(\log \log \log v)/\log v)}}$$

holds uniformly in the prime p and in N , where again $v = (\log N)/(\log p)$.

In particular, $|S_p(N)| = o(N)$ holds uniformly in the range $3 \leq p \leq N^{o(1)}$ as $N \rightarrow \infty$.

In the above statements, as well as throughout the paper, any implied constants in the symbols \ll , \gg and O are *absolute* unless indicated otherwise. We recall that for positive functions F and G the notations $F = O(G)$, $F \ll G$ and $G \gg F$ are all equivalent to the assertion that the inequality $F \leq cG$ holds with some constant $c > 0$, whereas $F = o(G)$ means that $F/G \rightarrow 0$.

Throughout the paper, the letters p, q, r with or without subscripts are used to denote prime numbers, and k, ℓ, m, n denote positive integers.

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2. Preliminaries

Let $P(n)$ be the largest prime factor of an integer $n \geq 1$, where we put $P(1) = 1$. An integer $n \geq 1$ is said to be y -smooth if $P(n) \leq y$. As usual, we define

$$\psi(x, y) = \#\{n \leq x : n \text{ is } y\text{-smooth}\} \quad (x \geq y > 1).$$

The following bound is a relaxed and simplified version of [12, Corollary 1.3] (see also [6]).

LEMMA 5. *The estimate*

$$\psi(x, y) \leq xu^{-u+O(u(\log \log u)/\log u)}, \quad \text{where } u = (\log x)/(\log y),$$

holds uniformly in the range $2 \leq y \leq x$ and $u \leq y^{1/2}$.

For given coprime integers $1 \leq a \leq b$, we let $\pi(x; b, a)$ be the number of primes $p \leq x$ such that $p \equiv a \pmod{b}$. The next statement is a simplified form of the Brun-Titchmarsh theorem (see, for example, [9, Section 2.3.1, Theorem 1] or [10, Chapter 3, Theorem 3.7]).

LEMMA 6. *For any $x \geq b$, we have*

$$\pi(x; b, a) \ll \frac{x}{\phi(b) \log(2x/b)}.$$

When b is bounded above by a power of the logarithm of x , then the Siegel-Walfisz theorem (see, for example, page 133 in [7]) gives us a much more precise estimate on $\pi(x; b, a)$. We record this as follows.

LEMMA 7. *For every positive constant A , there is a constant $B = B(A)$ depending on A , such that the estimate*

$$\pi(x; b, a) = \frac{\pi(x)}{\phi(b)} + O\left(\frac{x}{\exp(B\sqrt{\log x})}\right)$$

holds uniformly in coprime integers $1 \leq a \leq b$ with $b \leq (\log x)^A$.

Furthermore, writing $q_{b,a}$ for the least prime in the arithmetic progression $a \pmod{b}$, the following Linnik type bound on $q_{b,a}$ is due to Heath-Brown (see [11]).

LEMMA 8. *The inequality $q_{b,a} \ll b^{5.5}$ holds uniformly in coprime integers $1 \leq a \leq b$.*

We will also need the following lower bound for a linear form in logarithms. For a rational number $\alpha = r/s$ with coprime integers r and $s > 0$, we write $H(\alpha) = \max\{|r|, s\}$. The following result is a particular case of Baker's theorem on lower bounds for linear forms in logarithms (see [1], for example).

LEMMA 9. *Let $\alpha_1, \alpha_2, \alpha_3$ be rational numbers different from 0, ± 1 , and let b_1, b_2, b_3 be positive integers. Put $B = \max\{b_1, b_2, b_3, 3\}$. Assume that*

$$\Gamma = \alpha_1^{b_1} \alpha_2^{b_2} \alpha_3^{b_3} - 1 \neq 0.$$

Then

$$-\log |\Gamma| \ll (\log B) \prod_{i=1}^3 \log(H(\alpha_i)).$$

The above lemmas are enough for the proof of Theorems 1 and 2. For the proof of Theorem 3 however, we also need the following bound for character sums over prime numbers, which is a relaxed version of [15, Theorem 1].

LEMMA 10. *For any nonprincipal multiplicative character χ modulo $p \geq 2$, any integer a coprime to p , and any real $z \geq 2$,*

$$\sum_{q \leq z} \chi(q+a) \leq zp^{o(1)} (\log z)^5 \left(\frac{1}{p^{1/2}} + \frac{p^{1/2}}{z^{1/2}} + \frac{1}{z^{1/6}} \right),$$

as $p \rightarrow \infty$.

3. Proof of Theorem 1

By the multiplicativity of the sum of divisors function, it follows that whenever $n \in \mathcal{U}(p, N)$, there is a prime power q^k such that $p \mid \sigma(q^k)$ and $q^k \parallel n$. Recall that $q^k \parallel n$ means that $q^k \mid n$ and $q^{k+1} \nmid n$. Note that since $\sigma(q^k) < 2q^k \leq 2N$, it follows that unless $p \leq 2N$, the set $\mathcal{U}(p, N)$ is empty. From now on, we assume that $p \leq 2N$ and for simplicity we write $\mathcal{U} = \mathcal{U}(p, N)$ (i.e., we omit the dependence on either p or N).

Let \mathcal{U}_0 be the subset of those $n \in \mathcal{U}$ for which there exists a prime $q \parallel n$ such that $p \mid \sigma(q)$. Then $p \mid q + 1$. Since $q \mid n$, it follows that $q \leq N$. Let $q \equiv -1 \pmod{p}$ be fixed. The number of positive integers $n \leq N$ such that $q \mid n$ does not exceed N/q . Hence, summing up over all the possibilities for q , we get

$$\#\mathcal{U}_0 \leq \sum_{\substack{q \leq N \\ q \equiv -1 \pmod{p}}} \frac{N}{q} = N \sum_{\substack{q \leq 2N \\ q \equiv -1 \pmod{p}}} \frac{1}{q} \ll \frac{N \log \log N}{p}. \quad (1)$$

In the above estimates, we used the familiar fact that if $1 \leq a \leq b$ are coprime then

$$\sum_{\substack{q \leq N \\ q \equiv a \pmod{b}}} \frac{1}{q} \ll \frac{1}{q_{b,a}} + \frac{\log \log N}{\phi(b)}$$

holds uniformly for $1 \leq a \leq b \leq N$, where we recall that $q_{b,a}$ denotes the smallest prime number $q \equiv a \pmod{b}$. Indeed, the above estimate follows by Abel's summation formula from Lemma 6.

From now on, we assume that $n \in \mathcal{U} \setminus \mathcal{U}_0$. Every such n has the property that $p \mid \sigma(q^k)$ for some prime power $q^k \parallel n$, where $k \geq 2$. Note that $2^k \leq q^k \leq N$, therefore $k \leq c \log N$, where $c = (\log 2)^{-1}$. For fixed p and k , the congruence

$$\sigma(q^k) = q^k + q^{k-1} + \cdots + 1 \equiv 0 \pmod{p}$$

puts q into $s_k \leq k$ arithmetical progressions $q \equiv q_i \pmod{p}$. Here, we write q_i for the smallest positive integer in the above progression and we assume that $1 \leq q_1 < q_2 < \cdots < q_s \leq p-1$. Note that

$$2q_i^k \geq q_i^k \left(1 + \frac{1}{q_i} + \cdots\right) \geq p,$$

therefore $q_i \gg p^{1/k}$. Put $\lambda_{i,k} = (q_{p,q_i}^{k+1} - 1)/(p(q_{p,q_i} - 1))$. Note that $\lambda_{i,k} < 2q_{p,q_i}^k/p$, and that $1 \leq \lambda_{1,k} < \cdots < \lambda_{s_k,k}$. Fix $i \in \{1, \dots, s_k\}$ and write $\mathcal{U}_{i,k}$ for the subset of $\mathcal{U} \setminus \mathcal{U}_0$ formed by those integers $n \leq N$ such that $q^k \mid n$ for some $q \equiv q_i \pmod{p}$. Given such a prime q , the number of such integers $n \leq N$ does not exceed N/q^k . Summing up the contributions to $\mathcal{U} \setminus \mathcal{U}_0$ over all such primes q , we get

$$\begin{aligned} \#\mathcal{U}_{i,k} &\leq \sum_{\substack{q \leq N \\ q \equiv q_i \pmod{p}}} \frac{N}{q^k} \leq \frac{N}{q_{p,q_i}^k} + \sum_{\ell \geq 1} \frac{N}{(q_i + \ell p)^k} \leq \frac{N}{q_{p,q_i}^k} + \frac{N}{p^k} \zeta(2) \\ &\ll \frac{N}{p \lambda_{i,k}} + \frac{N}{p^k}. \end{aligned}$$

Summing up the above inequality over all the possibilities for $i \in \{1, \dots, s_k\}$, we get that

$$\sum_{i=1}^{s_k} \#\mathcal{U}_{i,k} \ll \frac{N}{p} \sum_{i=1}^{s_k} \frac{1}{\lambda_{i,k}} + \frac{Nk}{p^k}.$$

Finally, summing the above inequality up over all $k \geq 2$, we get

$$\sum_{2 \leq k \leq c \log N} \sum_{i=1}^{s_k} \#\mathcal{U}_{i,k} \ll \frac{N}{p} \sum_{2 \leq k \leq c \log N} \sum_{i=1}^{s_k} \frac{1}{\lambda_{i,k}} + N \sum_{k \geq 2} \frac{k}{p^k}. \quad (2)$$

It is clear that the second sum is $O(N/p)$. Thus, it remains to deal with the first double sum. Let $\Lambda = \{\lambda_{i,k} : 2 \leq k \leq c \log N, i = 1, \dots, s_k\}$. As (i, k) is a pair such that $2 \leq k \leq c \log N$ and $1 \leq i \leq s_k$, we have that $\lambda_{i,k} \in \Lambda$, but each number from Λ might appear from more than one pair (i, k) (incidentally, a well-known conjecture about the Goormaghtigh Diophantine equation asserts that in fact the only such case is $31 = (5^3 - 1)/(5 - 1) = (2^5 - 1)/(2 - 1)$; see [16]). Fixing $\lambda \in \Lambda$, the multiplicity with which it appears as $\lambda_{i,k}$ is bounded by the number of solutions (q, k) with q a prime of the Diophantine equation

$$p\lambda = \frac{q^{k+1} - 1}{q - 1}. \quad (3)$$

It remains to count, for a fixed $M = p\lambda$, the number $A(M)$ of solutions (q, k) with q prime to the equation

$$M = \frac{q^{k+1} - 1}{q - 1}.$$

A result of Loxton [14], says that $A(M) \leq (\log M)^{1/2+o(1)}$ as $M \rightarrow \infty$. Since for us $M \leq 2N$, we get that

$$A(p\lambda) \leq (\log N)^{1/2+o(1)} \quad \text{as } N \rightarrow \infty. \quad (4)$$

When p is sufficiently small, one can do better as follows. Assume that $A(p\lambda) \geq 2$ and let $(q_1, k_1) \neq (q_2, k_2)$ be two solutions to equation (3). If $k_1 = k_2$, then $q_1 = q_2$, which is impossible. So, let us assume that $k_1 > k_2$. Then, $q_1 < q_2$. Equation

$$\frac{q_1^{k_1+1} - 1}{q_1 - 1} = \frac{q_2^{k_2+1} - 1}{q_2 - 1},$$

can be rewritten as

$$q_1^{k_1+1}(q_2 - 1) - q_2^{k_2+1}(q_1 - 1) = (q_2 - q_1) > 0,$$

therefore

$$q_1^{k_1+1} q_2^{-(k_2+1)} \left(\frac{q_2 - 1}{q_1 - 1} \right) - 1 = \frac{q_2 - q_1}{q_2^{k_2+1}(q_1 - 1)}.$$

The expression appearing above is positive and obviously $< q_2^{-k_2}$. Applying Lemma 9 with Γ being the expression appearing in the left hand side of the above equation, where

$$\alpha_1 = q_1, \alpha_2 = q_2^{-1}, \alpha_3 = \frac{q_2 - 1}{q_1 - 1}, b_1 = k_1 + 1, b_2 = k_2 + 1, b_3 = 1$$

(note that $H(\alpha_1) = q_1$, $H(\alpha_2) = q_2$, $H(\alpha_3) < q_2$), yields

$$k_2 \log q_2 \ll \log q_1 (\log q_2)^2 \log k_1,$$

leading to $k_2 \ll (\log q_2)^2 \log k_1$. Obviously $k_1 \ll \log N$. Furthermore, since the numbers q_1 and q_2 are the first primes in certain arithmetic progression modulo p , we get, by Lemma 8, that $q_2 \ll p^{5.5}$. Thus, $k_2 \ll (\log p)^2 \log \log N$. This shows that if $A(p\lambda) \geq 2$, then

$$p\lambda \leq 2q_2^{k_2} \ll \exp(k_2 \log q_2) = \exp(O((\log p)^3 \log \log N)),$$

and now Loxton's result shows that

$$A(p\lambda) \leq (\log p\lambda)^{1/2+o(1)} \leq (\log \log N)^{1/2+o(1)} (\log p)^{3/2+o(1)} \quad \text{as } N \rightarrow \infty. \quad (5)$$

Thus, putting

$$A = \max\{A(p\lambda_{i,k}) : 2 \leq k \leq c \log N, 1 \leq i \leq s_k\},$$

we get that

$$A \leq \min\{(\log N)^{1/2+o(1)}, (\log p)^2 (\log \log N)^{1/2+o(1)}\}$$

uniformly in both p and N as $N \rightarrow \infty$. In particular, the inequality

$$A \ll \min\{(\log N)^{3/5}, (\log p)^2 (\log \log N)^{2/3}\} \quad (6)$$

holds uniformly in N and p . Thus,

$$\sum_{2 \leq k \leq c(\log N)} \sum_{i=1}^{s_k} \frac{1}{\lambda_{i,k}} \ll A \sum_{\lambda \in \Lambda} \frac{1}{\lambda} \ll A \log \# \Lambda. \quad (7)$$

Since

$$\# \Lambda \leq \sum_{2 \leq k \leq c \log N} s_k \leq \sum_{2 \leq k \leq c \log N} k \ll (\log N)^2,$$

we get that $\log \# \Lambda \ll \log \log N$, which together with estimates (1), (2), (6), (7), and the fact that $(\log N)^{3/5} \log \log N \ll (\log N)^{2/3}$ completes the proof of Theorem 1.

4. Proof of Theorem 2

We may assume that p is sufficiently large otherwise there is nothing to prove. We also assume that $\mathcal{T}(p, N)$ is nonempty. Since there exists a number $n_0 \leq N$ such that $p \mid s(n_0)$ and $s(n_0) \neq 0$ (because $n_0 > 1$), it follows that

$$p \leq s(n_0) < \sigma(n_0) \ll N \log \log N. \quad (8)$$

We let $\alpha \in (0, 1/10)$ be a number to be determined later. Recall that a number m is squarefull if $q^2 \mid m$ whenever q is a prime factor of m . The set \mathcal{T}_1 of numbers $n \leq N$ such that $d \mid n$ for some squarefull $d \geq p^{2\alpha}$ has cardinality at most

$$\begin{aligned} \#\mathcal{T}_1 &\leq \sum_{\substack{p^{2\alpha} \leq d \leq N \\ d \text{ squarefull}}} \sum_{\substack{1 < n \leq N \\ d \mid n}} 1 \leq \sum_{\substack{p^{2\alpha} < d \\ d \text{ squarefull}}} \left\lfloor \frac{N}{d} \right\rfloor \\ &\ll N \sum_{\substack{p^{2\alpha} < d \\ d \text{ squarefull}}} \frac{1}{d} \ll \frac{N}{p^\alpha}. \end{aligned} \quad (9)$$

For the last inequality above, we used the fact that the estimate

$$\sum_{\substack{t \leq d \\ d \text{ squarefull}}} \frac{1}{d} \ll \frac{1}{t^{1/2}}$$

holds uniformly in t (with the particular value $t = p^{2\alpha}$), which in turn follows via the Abel summation formula from the fact that the counting function of the set of squarefull integers $d \leq t$ is $O(t^{1/2})$ (see, for example, Theorem 14.4 in [13]).

For the remaining n , let $n = qm$, where $q = P(n)$. Assume first that $q > p^\alpha$. Then $q > P(m)$. In this case, $s(n) = qs(m) + \sigma(m)$. Note that $m \neq 1$ since when $m = 1$, we get that $s(n) = 1$, but this is not a multiple of p . Let \mathcal{T}_2 be the subset formed by these n such that $p \mid qs(m)$. Then $p \mid \sigma(m)$, so $m \in \mathcal{U}(p, N/p^\alpha)$. Since for a fixed m the number of values of $q \leq N/m$ is $\leq N/m$ (in this last count we ignore the fact that q is a prime), we get that

$$\#\mathcal{T}_2 \leq N \sum_{m \in \mathcal{U}(p, N/p^\alpha)} \frac{1}{m}. \quad (10)$$

Theorem 1 tells us that the inequality

$$\#\mathcal{U}(p, t) \ll \frac{t \log N}{p} \quad (11)$$

holds uniformly for $t \leq N$, and now by the Abel summation formula we get that

$$\#\mathcal{T}_2 \ll \frac{N(\log N)^2}{p}.$$

Let \mathcal{T}_3 be the set of such numbers $n \leq N$ such that $p \nmid qs(m)$ and still $q > p^\alpha$. If $n = qm \in \mathcal{T}_3$, we then have that $p \nmid qs(m)\sigma(m)$, and $q \equiv -\sigma(m)s(m)^{-1} \pmod{p}$, where $s(m)^{-1}$ stands for the inverse of $s(m)$ modulo p . Let $a_m \in \{1, \dots, p-1\}$ be such that $a_m \equiv -\sigma(m)s(m)^{-1} \pmod{p}$. Thus, if m is fixed, then q is in the fixed arithmetic progression $a_m \pmod{p}$, and the number of such numbers is $\leq N/(pm) + 1 \leq 2N/p^\alpha m$ (here, we ignore again the fact that q is prime, and we use the fact that $mp^\alpha < mq \leq N$). Thus,

$$\#\mathcal{T}_3 \leq \frac{2N}{p^\alpha} \sum_{m \leq N} \frac{1}{m} \ll \frac{N \log N}{p^\alpha}. \quad (12)$$

Let us now consider the set \mathcal{T}_4 of the remaining n in $\mathcal{T}(p, N)$. Then $P(n) \leq p^\alpha$. Since $\sigma(n) \ll n \log(P(n)) \ll n \log p$, $p \mid s(n)$, and $s(n) \neq 0$, we get that $p \leq s(n) < \sigma(n) \ll n \log p$, therefore $n \gg p/\log p$. Let λ be the smallest divisor of n such that $n/\lambda < p^{1/2}/\log p$. Write $n = \lambda d$. Thus, $d < p^{1/2}/\log p$. Note that $d \geq p^{1/2-\alpha}/(\log p)$ because n is p^α -smooth.

We now fix λ . We count the number of $n = \lambda d \leq N$ which are multiples of λ . Write $d = d_1 d_2$, where d_1 and d_2 are coprime, d_1 is divisible only by primes dividing λ , and d_2 is coprime to λ . Note that $d_1 < p^{2\alpha}$. Indeed, for if not, then $d_1 \geq p^{2\alpha}$, so n is divisible by $d_1 \prod_{r \mid d_1} r$, where, as stated in the Introduction, we use r to denote a prime number. The last number above is squarefull and exceeds $p^{2\alpha}$. However, positive integers n with such a divisor have already been accounted for in \mathcal{T}_1 . Thus, $d_1 < p^{2\alpha}$. Write $d_2 = d_3 d_4$, where d_3 and d_4 are coprime, d_3 is squarefull, and d_4 is squarefree. Since $n \notin \mathcal{T}_1$, we get that $d_3 < p^{2\alpha}$. Fix λ , d_1 and d_3 , and write $\ell = \lambda d_1 d_3$. Then $d_4 \geq d/(d_1 d_3) \geq p^{1/2-5\alpha}/(\log p)$. Note that $\beta = 1/2 - 5\alpha > 0$ since we are assuming that $\alpha \in (0, 1/10)$. Thus, $\ell \leq N/d_4 \leq N(\log p)/p^\beta$. We now show that the number d_4 is uniquely determined. Indeed, assume that there exist two distinct values d_4 and d'_4 both $< p^{1/2}/\log p$ and coprime to ℓ , such that both numbers $n = \ell d_4$ and $n' = \ell d'_4$ have the property that $p \mid s(n)$ and $p \mid s(n')$. Since d_4 and ℓ are coprime, we get $s(n) = \sigma(\ell)\sigma(d_4) - \ell d_4 \equiv 0 \pmod{p}$. Since $P(n) \leq p^\alpha < p$, we get that p does not divide n . Thus, p does not divide $\sigma(\ell)$ either, and so $\sigma(d_4)d_4^{-1} \equiv \ell\sigma(\ell)^{-1} \pmod{p}$. The same congruence holds when d_4 is replaced by d'_4 . Thus, $\sigma(d_4)d_4^{-1} \equiv \sigma(d'_4)d_4'^{-1} \pmod{p}$, leading to

$$p \mid \sigma(d_4)d_4' - \sigma(d'_4)d_4. \quad (13)$$

Since $\max\{d_4, d'_4\} < p^{1/2}/\log p$, it follows that

$$\max\{\sigma(d_4), \sigma(d'_4)\} \ll \frac{p^{1/2} \log \log p}{\log p}.$$

In particular, for large p , we have

$$|\sigma(d_4)d'_4 - \sigma(d'_4)d_4| < p. \quad (14)$$

Inequality (14) and congruence (13) yield $\sigma(d_4)/d_4 = \sigma(d'_4)/d'_4$. It is however easy to see that the function $s \mapsto \sigma(s)/s$ is injective when restricted to square-free numbers s (hint: observe that $\sigma(s)/s$ determines uniquely $P(s)$ when s is squarefree). Since d_4 and d'_4 are squarefree, we get that $d_4 = d'_4$, which is a contradiction. Hence, we see that n is uniquely determined by the triple (λ, d_1, d_3) , where $\ell = \lambda d_1 d_3 \leq N(\log p)/p^\beta$. Given ℓ , the divisor λ can be chosen in at most $\tau(\ell)$ ways, where for a positive integer k we define $\tau(k)$ to be the number of divisors of k . Given both ℓ and λ , we have that $d_1 d_3 = \ell/\lambda$ is such that d_1 is divisible only by primes dividing λ , and d_3 is coprime to λ , therefore the pair (d_1, d_3) is uniquely determined. Thus, we just showed that

$$\#\mathcal{T}_4 \leq \sum_{\ell \leq N(\log p)/p^\beta} \tau(\ell) \ll \frac{N(\log p)(\log N)}{p^\beta} \ll \frac{N(\log N)^2}{p^\beta}. \quad (15)$$

In the last estimate (15) above, we used the known fact that the estimate

$$\sum_{m \leq t} \tau(m) \ll t \log t$$

holds uniformly in t , together with the bound (8) which implies that $\log p \ll \log N$. Note that $\mathcal{T}_1, \mathcal{T}_2, \mathcal{T}_3$ and \mathcal{T}_4 cover $\mathcal{T}(p, N)$. Now the desired result follows from estimates (9), (10), (12) and (15) by noticing that if one chooses $\alpha = 1/12$, then $\alpha = \beta = 1/12$. \square

REMARK. We point out that a different bound on $\mathcal{T}(p, N)$ appears also in [3].

5. Proof of Theorem 3

We may clearly assume that N is arbitrarily large, that $p \geq (\log N)^{72}$, and that $v \geq 100$, since the result is trivial otherwise. Put $K = p^3$ and let \mathcal{E}_1 be the set of integers $n \leq N$ such that n is K -smooth. Clearly, $\#\mathcal{E}_1 = \Psi(N, K)$. Note that

$$u = \frac{\log N}{\log K} = \frac{\log N}{3 \log p} = \frac{v}{3}. \quad (16)$$

Since $p > (\log N)^{72}$, we have that the inequality $u \leq p^{1/2}$ holds uniformly in our range for p and N once N is sufficiently large. Now Lemma 5 yields

$$\#\mathcal{E}_1 = \psi(N, K) \leq \frac{N}{u^{u+O(u(\log \log u)/\log u)}} \leq \frac{N}{v^{v/3+O(v(\log \log v)/\log v)}} \quad (17)$$

uniformly in p and N .

Next, let \mathcal{E}_2 be the set of integers $n \leq N$ not in \mathcal{E}_1 such that $P^2(n) \mid n$. For each such integer n there is a prime $q > p$ such that $q^2 \mid n$. Thus,

$$\#\mathcal{E}_2 \leq \sum_{q>p} \sum_{\substack{n \leq N \\ q^2 \mid n}} 1 \leq \sum_{q>p} \frac{N}{q^2} \ll \frac{N}{p}. \quad (18)$$

Let \mathcal{E}_3 be the set of primes $n \leq N$. Clearly, $s(n) = 1$ for all $n \in \mathcal{E}_3$.

Now let $\mathcal{N} = \{1, \dots, N\} \setminus (\mathcal{E}_1 \cup \mathcal{E}_2 \cup \mathcal{E}_3)$. From the above bounds (17)-(18), it follows that

$$\begin{aligned} S_p(N) &= \sum_{n \in \mathcal{N}} \chi(s(n)) + \pi(N) + O(\#\mathcal{E}_1 + \#\mathcal{E}_2) \\ &= \pi(N) + \sum_{n \in \mathcal{N}} \chi(s(n)) + O\left(\frac{N}{v^{v/3+O(v(\log \log v)/\log v)}} + \frac{N}{p}\right). \end{aligned} \quad (19)$$

Every integer $n \in \mathcal{N}$ can be uniquely represented in the form $n = mq$, where

$$2 \leq m < N/K \quad \text{and} \quad L_m = \max\{K, P(m)\} < q \leq N/m.$$

Conversely, if the numbers m and q satisfy the above inequalities, then $n = mq$ lies in \mathcal{N} . Observing that $s(mq) = s(m)q + \sigma(m)$, we arrive at

$$\begin{aligned} \sum_{n \in \mathcal{N}} \chi(s(n)) &= \sum_{2 \leq m < N/K} \sum_{L_m < q \leq N/m} \chi(s(m)q + \sigma(m)) \\ &= \sum_{\substack{2 \leq m < N/K \\ p \nmid \sigma(m)s(m)}} \sum_{L_m < q \leq N/m} \chi(s(m)q + \sigma(m)) \\ &\quad + O\left(\sum_{m \in \mathcal{U}(p, N/K) \cup \mathcal{T}(p, N/K)} \frac{N}{m}\right). \end{aligned} \quad (20)$$

Let us deal first with the “errors” in (20). For the sum over the first subset, we get

$$\sum_{m \in \mathcal{U}(p, N/K)} \frac{N}{m} \leq N \sum_{m \in \mathcal{U}(p, N/K)} \frac{1}{m} \ll \frac{N(\log N)^2}{p}, \quad (21)$$

where the last estimate above follows like in the proof of Theorem 2 based on the estimate (11) and on Abel's summation formula. As for the sum over the second one, we get

$$\sum_{m \in \mathcal{T}(p, N/K)} \frac{N}{m} \ll N \sum_{m \in \mathcal{T}(p, N/K)} \frac{1}{m} \ll \frac{N(\log N)^3}{p^{1/12}}. \quad (22)$$

The last estimate above follows also from Abel's summation formula via the fact that, by Theorem 2, the estimate

$$\#\mathcal{T}(p, t) \ll \frac{t(\log N)^2}{p^{1/12}}$$

holds uniformly in $t \leq N$.

We now deal with the “main term” in (20). Assuming that $p \nmid s(m)\sigma(m)$, let $a_m \in \{1, \dots, p-1\}$ be the least positive integer in the arithmetic progression $\sigma(m)s(m)^{-1} \pmod{p}$. We have

$$\begin{aligned} & \sum_{\substack{2 \leq m < N/K \\ p \nmid \sigma(m)s(m)}} \sum_{L_m < q \leq N/m} \chi(s(m)q + \sigma(m)) \\ &= \sum_{\substack{2 \leq m < N/K \\ p \nmid \sigma(m)s(m)}} \sum_{L_m < q \leq N/m} \chi(s(m)(q + a_m)) \\ &= \sum_{\substack{2 \leq m < N/K \\ p \nmid \sigma(m)s(m)}} \chi(s(m)) \sum_{L_m < q \leq N/m} \chi(q + a_m). \end{aligned} \quad (23)$$

Since a_m is not a multiple of p , by Lemma 10, we have that

$$\sum_{L_m < q \leq N/m} \chi(q + a_m) \leq \frac{N}{m} \left(p^{-1/2} + N^{-1/2} m^{1/2} p^{1/2} + N^{-1/6} m^{1/6} \right) p^{o(1)} (\log N)^5,$$

as $p \rightarrow \infty$. For $m < N/K$, the first term inside the above parentheses dominates the other terms, and we thus obtain

$$\sum_{L_m < q \leq N/m} \chi(q + a_m) \leq \frac{N p^{o(1)} (\log N)^5}{m p^{1/2}} \quad \text{as } p \rightarrow \infty.$$

Substituting the above inequality in equation (23), we get,

$$\begin{aligned}
 \sum_{\substack{2 \leq m < N/K \\ p \nmid \sigma(m)s(m)}} \sum_{L_m < q \leq N/m} \chi(s(m)q + \sigma(m)) &\leq \sum_{\substack{2 \leq m < N/K \\ p \nmid \sigma(m)s(m)}} \frac{Np^{o(1)}(\log N)^5}{mp^{1/2}} \\
 &\leq \frac{Np^{o(1)}(\log N)^5}{p^{1/2}} \sum_{1 \leq m < N/K} \frac{1}{m} \\
 &\ll \frac{N(\log N)^6}{p^{1/2+o(1)}}
 \end{aligned}$$

as $p \rightarrow \infty$. In particular, the estimate

$$\sum_{\substack{2 \leq m < N/K \\ p \nmid \sigma(m)s(m)}} \sum_{L_m < q \leq N/m} \chi(s(m)q + \sigma(m)) \ll \frac{N(\log N)^6}{p^{1/3}} \quad (24)$$

holds uniformly in N and p . The desired estimate follows now from estimates (19), (20), (21), (22), and (24).

6. Proof of Theorem 4

For a set \mathcal{A} of positive integers and a positive real number t we put $\mathcal{A}(t) = \mathcal{A} \cap [1, t]$.

We may assume that $v \geq 100$, otherwise there is nothing to prove. By Theorem 3 and the Prime Number Theorem, it follows that

$$|S_p(N)| \ll \frac{N}{\log N} + \frac{N}{v^{v/3+O(v(\log \log v)/\log v)}}$$

provided that $p \geq (\log N)^{100}$. So, from now on we may assume that $p < M = (\log N)^{100}$. Then $v \geq (\log N)/(\log M) = (\log N)/(100 \log \log N)$, therefore

$$\frac{N}{v^{v/3+O(v(\log \log v)/\log v)}} \leq N^{1-1/300+o(1)}, \quad (N \rightarrow \infty),$$

therefore certainly the above expression is $\ll N/(\log \log \log N)$. Thus, it remains to show that

$$|S_p(N)| \ll \frac{N}{\log \log \log N} \quad \text{for } p \leq M. \quad (25)$$

Lemma 4 in [8], shows that there exists a constant $c_1 > 0$ such that $\sigma(n)$ is a multiple of all primes $q \leq c_1 \log \log N / \log \log \log N$ for all $n \leq N$ with $O(N/(\log \log \log N)^2)$ exceptions. Set $L = c_1 \log \log N / (\log \log \log N)$, assume

that $p \leq L$, and suppose that $p \mid \sigma(n)$. Then $s(n) \equiv -n \pmod{p}$, therefore $\chi(s(n)) = \chi(-n) = \chi(-1)\chi(n)$. Thus,

$$\begin{aligned} S_p(N) &= \chi(-1) \sum_{n \leq N} \chi(n) + O\left(\frac{N}{\log \log \log N}\right) = O\left(p + \frac{N}{\log \log \log N}\right) \\ &= O\left(\frac{N}{\log \log \log N}\right). \end{aligned}$$

Thus, we may assume that $p \in (L, M]$. Put $U = N^{1/\log \log \log N}$ and $V = N^{1/(\log \log \log N)^2}$. Write $n = PQ\ell$, where $P = P(n)$ and $Q = P(n/P)$. The set \mathcal{E}_1 of $n \leq N$ such that $P \leq U$ is, by Lemma 5, of cardinality $O(N/\log \log N)$ as $N \rightarrow \infty$. Thus, we may restrict our attention to the positive integers $n \leq N$ not in \mathcal{E}_1 . The set \mathcal{E}_2 of such integers such that $Q = P$ is of cardinality $O(N/U) = O(N/\log \log \log N)$ (see, for example, the estimate for $\#\mathcal{E}_2$ in the proof of Theorem 3). We claim that the set \mathcal{E}_3 of $n \leq N$ such that $Q \leq V$ is also of cardinality $O(N/\log \log \log N)$. To see this, assume that $m = Q\ell < N/U$ is some number with $P(m) = Q \leq V$. Fixing m , the number of possibilities for $P \leq N/m$ is at most

$$\pi(N/m) \leq \frac{N}{m \log(N/m)} \ll \frac{N}{m \log U} = \frac{N \log \log \log N}{m \log N}.$$

Summing up over all m with $P(m) \leq V$, we get that the number of possibilities for such $n \leq N$ is

$$\begin{aligned} \#\mathcal{E}_3 &\ll \frac{N \log \log \log N}{\log N} \sum_{\substack{1 \leq m \\ P(m) \leq V}} \frac{1}{m} \ll \frac{N \log \log \log N}{\log N} \prod_{p \leq V} \left(1 - \frac{1}{p}\right)^{-1} \\ &\ll \frac{N(\log \log \log N) \log V}{\log N} = \frac{N}{\log \log \log N}. \end{aligned}$$

Here, we used Mertens's estimate for the product $\prod_{p \leq t} (1 - 1/p)$ with the choice $t = V$.

We also discard the set \mathcal{E}_4 of positive integers $n \leq N$ divisible by the square of a prime $> V$ since the number of such $n \leq N$ is $O(N/V) = O(N/\log \log \log N)$. On the remaining set of $n \leq N$, we have that $P > Q > P(\ell)$, $Q > V$ and $P > U$. Let \mathcal{M} be the set of positive integers $m < N/U$, $mP(m) \leq N$, $P(m) = Q > V$ and $P(m) \parallel m$. Put, as in the proof of Theorem 3, $L_m = \max\{P(m), U\}$. Then all but $O(N/\log \log \log N)$ of the positive integers $n \leq N$ are of the form mP , for some $m \in \mathcal{M}$ and $P \in (L_m, N/m]$, and distinct pairs (m, P) of this form

give rise to distinct n 's. Thus,

$$N = \sum_{m \in \mathcal{M}} (\pi(N/m) - \pi(L_m)) + O\left(\frac{N}{\log \log \log N}\right).$$

Furthermore, as in the proof of Theorem 3, we also have

$$S_p(N) = \sum_{m \in \mathcal{M}} \sum_{L_m < P \leq N/m} \chi(s(m)P + \sigma(m)) + O\left(\frac{N}{\log \log \log N}\right).$$

We next need to understand the subset \mathcal{M}_1 of \mathcal{M} formed by numbers m such that $p \mid s(m)$. Let $m = Q\ell$ be such a number. Then $s(m) = Qs(\ell) + \sigma(\ell) \equiv 0 \pmod{p}$. Let \mathcal{M}_2 be the subset of \mathcal{M}_1 such that $p \mid s(\ell)$. Then $p \mid \sigma(\ell)$, therefore $p \mid \ell$. Furthermore, $p \mid \sigma(\ell) \mid \sigma(m)$. Write $m = pm_1$. Let $t \leq N$ and let us bound the cardinality of $\mathcal{M}_2(t)$. If $p \mid m_1$, then $p^2 \mid m$ and the number of such m is $\leq t/p^2$. If $p \nmid m_1$, then $\sigma(m) = (p+1)\sigma(m_1)$, therefore $m_1 \leq t/p$ is a number such that $p \mid \sigma(m_1)$. Theorem 1 shows that the number of such m_1 is

$$\#\mathcal{U}(p, t/p) \ll \frac{t(\log \log t)^{5/3}(\log p)^2}{p^2} \ll \frac{t(\log \log N)^{5/3}(\log p)^2}{p^2}.$$

Thus, we just proved that the estimate

$$\#\mathcal{M}_2(t) \ll \frac{t(\log \log N)^{5/3}(\log p)^2}{p^2} \quad (26)$$

holds uniformly in $t \leq N$.

Next, let us look at $\mathcal{M}_3 = \mathcal{M}_1 \setminus \mathcal{M}_2$. In this case, $Qs(\ell) \equiv -\sigma(\ell) \pmod{p}$. Furthermore, $p \nmid s(\ell)$, and $Q > V > M > p$, therefore $p \nmid \sigma(\ell)$. Thus, $-\sigma(\ell)s(\ell)^{-1} \pmod{p}$ is a nonzero congruence class modulo p and we denote its residue by $a_\ell \in \{1, \dots, p-1\}$. This shows that the congruence class of Q modulo p is determined by ℓ whenever $m \in \mathcal{M}_2$. Fixing ℓ with $p \nmid s(\ell)$ and t such that $t > \ell V$, the number of possible values of $Q \leq t/\ell$ such that $Q \equiv -\sigma(\ell)s(\ell)^{-1} \pmod{p}$ is, by Lemma 6,

$$\pi(t/\ell; p, a_\ell) \ll \frac{t}{p\ell \log(2t/(\ell p))} \ll \frac{t}{p\ell \log(V^{1/2})} \ll \frac{t(\log \log \log N)^2}{p\ell \log N}.$$

Here, we used the fact that the inequalities

$$\frac{t}{\ell p} \geq \frac{V}{p} \geq \frac{V}{M} \geq V^{1/2}$$

hold whenever N is sufficiently large. The above bound is uniform in our range for t and ℓ . Summing up over all the possibilities for $\ell \leq t/V$ once t is fixed, we

get that

$$\#\mathcal{M}_3(t) \ll \frac{t(\log \log \log N)^2}{p \log N} \sum_{\ell \leq N} \frac{1}{\ell} \ll \frac{t(\log \log \log N)^2}{p}. \quad (27)$$

Estimates (26), (27), and the fact that $\#\mathcal{M}_1(t) \leq \#\mathcal{M}_2(t) + \#\mathcal{M}_3(t)$, show that

$$\#\mathcal{M}_1(t) \ll t \left(\frac{(\log \log N)^{5/3}(\log p)^2}{p^2} + \frac{(\log \log \log N)^2}{p} \right).$$

By Abel's summation formula, we get that

$$\sum_{m \in \mathcal{M}_1} \frac{1}{m} \ll \left(\frac{(\log \log N)^{5/3}(\log p)^2}{p^2} + \frac{(\log \log \log N)^2}{p} \right) \log N.$$

Thus,

$$\begin{aligned} \sum_{m \in \mathcal{M}_1} (\pi(N/m) - \pi(L_m)) &\leq \sum_{m \in \mathcal{M}_1} \frac{N}{m \log(N/m)} \\ &\leq \sum_{m \in \mathcal{M}_1} \frac{N}{m \log U} \ll \frac{N \log \log \log N}{\log N} \sum_{m \in \mathcal{M}_1} \frac{1}{m} \\ &\ll N \left(\frac{(\log \log N)^{5/3}(\log \log \log N)(\log p)^2}{p^2} + \frac{(\log \log \log N)^3}{p} \right). \end{aligned}$$

Since $p \geq L$, it follows that the last bound above is

$$\ll \frac{N(\log \log \log N)^5}{(\log \log N)^{1/3}} \ll \frac{N}{\log \log \log N}.$$

Thus, putting $\mathcal{M}_0 = \mathcal{M} \setminus \mathcal{M}_1$, we have just proved that both formulae

$$N = \sum_{m \in \mathcal{M}_0} (\pi(N/m) - \pi(L_m)) + O\left(\frac{N}{\log \log \log N}\right), \quad (28)$$

and

$$S_p(N) = \sum_{m \in \mathcal{M}_0} \sum_{L_m < P < N/m} \chi(s(m)P + \sigma(m)) + O\left(\frac{N}{\log \log \log N}\right) \quad (29)$$

hold uniformly in our range for p and N . Now let $m \in \mathcal{M}_0$, and let λ be any congruence class modulo p different from $\sigma(m)$. Primes $P \in (L_m, N/m]$ such that $s(m)P + \sigma(m) \equiv \lambda \pmod{p}$ are precisely those such that $P \equiv (\lambda - \sigma(m))s(m)^{-1} \pmod{p}$. Let $a := a(\lambda, m) \in \{1, \dots, p-1\}$ be such that $a \equiv (\lambda - \sigma(m))s(m)^{-1} \pmod{p}$.

(mod p). Since $p \leq (\log N)^{100} \leq (\log U)^{200} \leq (\log L_m)^{200}$ uniformly for large N , we get, by Lemma 7 with $A = 200$, that the number of such primes is

$$\pi(N/m; p, a) - \pi(L_m; p, a) = \frac{\pi(N/m) - \pi(L_m)}{\phi(p)} + O\left(\frac{N}{m \exp(c_2 \sqrt{\log U})}\right)$$

with some positive constant c_2 . This shows that, for a fixed $m \in \mathcal{M}_0$, we have that the inner sums in (29) can be estimated as

$$\begin{aligned} \sum_{L_m < P \leq N/m} \chi(s(m)P + \sigma(m)) &= \frac{\pi(N/m) - \pi(L_m)}{p-1} \sum_{\substack{\lambda \pmod{p} \\ \lambda \not\equiv \sigma(m) \pmod{p}}} \chi(\lambda) \\ &+ O\left(\frac{pN}{m \exp(c_2 \sqrt{\log U})}\right). \end{aligned}$$

Since

$$\sum_{\substack{\lambda \pmod{p} \\ \lambda \not\equiv \sigma(m) \pmod{p}}} \chi(\lambda) = O(1),$$

we get, summing up over all the possible values of $m \in \mathcal{M}_0$, and using estimates (29) and (28), that

$$\begin{aligned} S_p(N) &= \sum_{m \in \mathcal{M}_0} \sum_{L_m < P \leq N/m} \chi(s(m)P + \sigma(m)) + O\left(\frac{N}{\log \log \log N}\right) \\ &\ll \sum_{m \in \mathcal{M}_0} \left(\frac{\pi(N/m) - \pi(L_m)}{p-1} + \frac{Np}{m \exp(c_2 \sqrt{\log U})} \right) + \frac{N}{\log \log \log N} \\ &= \frac{1}{p-1} \left(\sum_{m \in \mathcal{M}_0} (\pi(N/m) - \pi(L_m)) \right) + \frac{Np}{\exp(c_2 \sqrt{\log U})} \sum_{m \leq N} \frac{1}{m} \\ &+ \frac{N}{\log \log \log N} \ll \frac{N}{p-1} + \frac{Np \log N}{\exp(c_2 \sqrt{\log U})} + \frac{N}{\log \log \log N} \\ &\ll \frac{N}{L} + \frac{N(\log N)^{101}}{\exp((\log N)^{1/3})} + \frac{N}{\log \log \log N} \ll \frac{N}{\log \log \log N}, \end{aligned}$$

which completes the proof of this theorem.

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Sanka Balasuriya
Department of Computing
Macquarie University
Sydney, NSW 2109,
AUSTRALIA
E-mail: sanka@ics.mq.edu.au

Florian Luca
Instituto de Matemáticas
Universidad Nacional Autónoma de México
C.P. 58089, Morelia, Michoacán,
MÉXICO
E-mail: fluca@matmor.unam.mx