

ON THE CONVERGENCE OF A SERIES OF BUNDSCHUH

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ABSTRACT. If $(c_n)_{n \geq 1}$ is a non-increasing sequence of positive real numbers tending to 0 in P. Bundschuh [Arch. Math. **29** (1977), 518–523] the question was asked for which real numbers α the series $\sum_{n=1}^{\infty} (-1)^{[2n\alpha]} c_n$ is convergent. For series of the form $g(\alpha) = \sum_{n=1}^{\infty} \frac{(-1)^{[2n\alpha]}}{n}$ it has been shown in Bundschuh [ibid] that it converges for numbers α with bounded continued fraction expansion and also for numbers like e , thereby solving a problem posed in H.D. Ruderman [Amer. Math. Monthly **83** (1977), 573]. Whether the series is convergent for $\alpha = \pi$ has remained open. We could also deal with the more general series $\sum_{n=1}^{\infty} (-1)^{[2n\alpha]} c_n$, but then the technique would obscure the ideas of the proof.

The author and S. Tričković [J. Math. Anal. Appl. **342** (2006), 238–247] have proved that $\sum_{n=1}^{\infty} \frac{(-1)^{[2n\alpha]}}{n}$ is convergent almost everywhere, that the function $g(\alpha)$ is odd, has period 1 and represents a function in $L_2[0, 1]$. Furthermore every open non empty interval contains two subsets P and N of the power of the continuum such that for $\alpha \in P$ we have $g(\alpha) = +\infty$ and for $\alpha \in N$ we have $g(\alpha) = -\infty$. If $\alpha = \frac{p}{q}$ is rational and p and q are coprime then the series is convergent if and only if q is even.

In this paper we determine all real numbers α for which the series $g(\alpha)$ is convergent.

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Dedicated to Professor Robert F. Tichy on the occasion of his 50th birthday

Statement and proof of the result

In the sequel we may assume that α is an irrational number and that $0 < \alpha < \frac{1}{2}$. Let $\alpha = [0; a_1, a_2, \dots]$ be the continued fraction expansion of α with convergents

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$\frac{p_n}{q_n} = [0; a_1, \dots, a_n]$, where $p_{-2} = 0$, $p_{-1} = 1$, $q_{-2} = 1$, $q_{-1} = 0$. We define $s_{i,j} = q_{\min\{i,j\}}(q_{\max\{i,j\}}\alpha - p_{\max\{i,j\}})$. By $\{t\}$ we denote the fractional part of the real number t .

If $N \geq 1$ is a positive integer there are uniquely determined non-negative integers b_0, b_1, \dots such that $b_0 < a_1, b_i \leq a_{i+1}, b_i = a_{i+1} \implies b_{i-1} = 0$, and such that $N = \sum_{i=0}^{\infty} b_i q_i$. This representation is called the *Ostrowski-expansion of N to base α* . There is clearly a largest $m \geq 0$ such that $b_m \neq 0$.

For $0 < x < 1$ there are uniquely determined non-negative integers c_0, c_1, \dots and $\delta \in \{0, 1\}$ such that $c_0 < a_1, c_i \leq a_{i+1}, c_i = a_{i+1} \implies c_{i-1} = 0$, and $x = \sum_{i=0}^{\infty} c_i(q_i\alpha - p_i) + \delta$. We call this representation of x again the *Ostrowski-expansion of x to base α* . By i_x we denote the smallest i such that $c_i \neq 0$. It can easily be shown that $\delta = 1 \iff i_x$ is odd $\iff x > 1 - \alpha$.

By $c_{[0,x]}$ we denote the characteristic function of the interval $[0, x)$. The following Theorem can be found in [3] or [6, p.361].

THEOREM A. *Let N be a positive integer with Ostrowski-expansion $\sum_{n=1}^m b_n q_n$ (with $b_m \neq 0$), and let x be in $(0, 1)$ with Ostrowski-expansion*

$$x = \sum_{i=0}^{\infty} c_i(q_i\alpha - p_i) + \delta,$$

both to base α . Assume that $x \notin \{\alpha, 2\alpha, \dots, N\alpha\}$. For $k \geq -1$ let

$$\delta_k = \begin{cases} 1 & \sum_{i=0}^k c_i q_i > \sum_{i=0}^k b_i q_i \\ 0 & \text{else} \end{cases}$$

and let t be the first integer $\geq m$ with $\delta_t = 1$.

Then

$$\begin{aligned} \sum_{n=1}^N c_{[0,x]}(\{n\alpha\}) - Nx &= \sum_{i=0}^{\infty} (-1)^i \min\{c_i, b_i\} - \sum_{i=0}^m (-1)^i \delta_i \delta_{i-1} \\ &\quad - \sum_{i=0}^{\infty} \sum_{j=0}^{\infty} b_i c_j s_{i,j} - \frac{1}{2}((-1)^{i_x} - (-1)^t). \end{aligned}$$

LEMMA 1. *For $i \geq 0$ let $c_i = \frac{1}{4}(a_{i+1} + \frac{1}{2}(-1)^{q_{i-1}} - \frac{1}{2}(-1)^{q_{i+1}})(1 - (-1)^{q_i})$. Then for $j \geq 0$ we have $\sum_{i=0}^{\infty} c_i s_{i,j} = \frac{1}{4}(-1)^j(1 - (-1)^{q_j})$.*

Proof. We note that $((-1)^{q_{i+1}} - (-1)^{q_{i-1}})(1 + (-1)^{q_i}) = 0$. Therefore as $a_{i+1}s_{i,j} = s_{i+1,j} - s_{i-1,j} + (-1)^j \delta_{i,j}$ we have

$$\begin{aligned}
 \sum_{i=0}^{\infty} c_i s_{i,j} &= \frac{1}{4} \sum_{i=0}^{\infty} (s_{i+1,j} - s_{i-1,j} + (-1)^j \delta_{i,j}) (1 - (-1)^{q_i}) \\
 &\quad + \frac{1}{8} \sum_{i=0}^{\infty} s_{i,j} ((-1)^{q_{i-1}} - (-1)^{q_{i+1}}) (1 - (-1)^{q_i}) \\
 &= \frac{1}{4} \sum_{i=1}^{\infty} s_{i,j} (1 - (-1)^{q_{i-1}}) - \frac{1}{4} \sum_{i=-1}^{\infty} s_{i,j} (1 - (-1)^{q_{i+1}}) \\
 &\quad + \frac{1}{4} (-1)^j (1 - (-1)^{q_j}) + \frac{1}{8} \sum_{i=0}^{\infty} s_{i,j} ((-1)^{q_{i-1}} - (-1)^{q_{i+1}}) (1 - (-1)^{q_i}) \\
 &= \frac{1}{4} \sum_{i=0}^{\infty} s_{i,j} (1 - (-1)^{q_{i-1}}) - \frac{1}{4} \sum_{i=0}^{\infty} s_{i,j} (1 - (-1)^{q_{i+1}}) \\
 &\quad + \frac{1}{4} (-1)^j (1 - (-1)^{q_j}) + \frac{1}{8} \sum_{i=0}^{\infty} s_{i,j} ((-1)^{q_{i+1}} - (-1)^{q_{i-1}}) ((-1)^{q_i} - 1) \\
 &= \frac{1}{4} (-1)^j (1 - (-1)^{q_j}) + \frac{1}{8} \sum_{i=0}^{\infty} s_{i,j} ((-1)^{q_{i+1}} - (-1)^{q_{i-1}}) (1 + (-1)^{q_i}) \\
 &= \frac{1}{4} (-1)^j (1 - (-1)^{q_j}).
 \end{aligned}$$

□

By a similar computation one can show that

$$\sum_{i=0}^m c_i q_i = \frac{1}{4} q_{m+1} (1 - (-1)^{q_m}) + \frac{1}{4} q_m (1 - (-1)^{q_{m+1}}).$$

LEMMA 2. For $i \geq 0$ let $c_i := \frac{1}{4}(a_{i+1} + \frac{1}{2}(-1)^{q_{i-1}} - \frac{1}{2}(-1)^{q_{i+1}})(1 - (-1)^{q_i})$.

Then $\sum_{i=0}^{\infty} c_i (q_i \alpha - p_i)$ is the Ostrowski-expansion of $\frac{1}{2}$ to base α .

Proof. First we prove that c_i is a non-negative integer. This is clear if $2|q_i$. Otherwise

$$c_i = \frac{1}{2} \left(a_{i+1} + \frac{1}{2} (-1)^{q_{i-1}} - \frac{1}{2} (-1)^{q_{i+1}} \right).$$

If a_{i+1} is even, then $q_{i-1} \equiv q_{i+1} \pmod{2}$ and we are done again. Otherwise $q_{i-1} \not\equiv q_{i+1} \pmod{2}$, and therefore again $0 \leq c_i$, and $c_i \in \mathbb{Z}$.

As $\alpha < \frac{1}{2}$ we have $a_1 > 1$. Note that therefore $c_0 = \frac{1}{2}(a_1 + \frac{1}{2} - \frac{1}{2}(-1)^{a_1}) < a_1$. If $c_i = a_{i+1}$, then $2 \nmid q_i$ and $a_{i+1} = \frac{1}{2}(a_{i+1} + \frac{1}{2}(-1)^{q_i-1} - \frac{1}{2}(-1)^{q_i+1})$, which implies $a_{i+1} = 1$ and that q_{i-1} is even. But then $c_{i-1} = 0$.

The representation $\sum_{i=0}^{\infty} c_i(q_i\alpha - p_i) = \frac{1}{2}$ is a special case of Lemma 1 (put $j = 0$). \square

COROLLARY 1. *Let N be a positive integer with Ostrowski-expansion $\sum_{n=1}^m b_n q_n$ (with $b_m \neq 0$) to base α . For $k \geq 0$ let*

$$c_k = \frac{1}{4}(a_{k+1} + \frac{1}{2}(-1)^{q_{k-1}} - \frac{1}{2}(-1)^{q_{k+1}})(1 - (-1)^{q_k}),$$

for $k \geq -1$ let

$$\delta_k = \begin{cases} 1 & \text{if } \sum_{i=0}^k c_i q_i > \sum_{i=0}^k b_i q_i, \\ 0 & \text{else,} \end{cases}$$

and let t be the first integer $\geq m$ with $\delta_t = 1$. Then for $\alpha < 1/2$

$$\begin{aligned} \sum_{n=1}^N c_{[0, \frac{1}{2}]}(\{n\alpha\}) - N/2 &= \sum_{i=0}^m (-1)^i \left(\min\{c_i, b_i\} - \frac{1}{4}b_i(1 - (-1)^{q_i}) \right) \\ - \sum_{i=0}^m (-1)^i \delta_i \delta_{i-1} - \frac{1}{2}(1 - (-1)^t) &= \frac{1}{2} \sum_{\substack{i=0 \\ 2 \nmid q_i}}^m (-1)^i \min\{b_i, a_{i+1} - b_i\} + O(m). \end{aligned}$$

Proof. This follows from Theorem A, Lemma 1 and Lemma 2 by noting that $i_{1/2} = 0$. \square

THEOREM 1. *Let α be irrational with continued fraction expansion $\alpha = [0; a_1, a_2, \dots]$ and convergents $\frac{p_n}{q_n}$. The following assertions are equivalent:*

- (1) $\sum_{n=0}^{\infty} \frac{(-1)^{\lfloor 2n\alpha \rfloor}}{n}$ is convergent (resp. $= \infty$, resp. $= -\infty$).
- (2) $\sum_{\substack{t=0 \\ 2 \nmid q_t}}^{\infty} \frac{(-1)^t \log a_{t+1}}{q_t}$ is convergent (resp. $= \infty$, resp. $= -\infty$).

Proof. First we restrict ourselves to the case $\alpha < 1/2$. Note that $[2n\alpha]$ is even if and only if $\{n\alpha\} < 1/2$. Hence

$$\begin{aligned} \sum_{i=1}^n (-1)^{[2i\alpha]} &= \sum_{i=1}^n \left(c_{[0,1/2)}(\{i\alpha\}) - c_{[1/2,1)}(\{i\alpha\}) \right) \\ &= 2 \left(\sum_{i=1}^n c_{[0,1/2)}(\{i\alpha\}) - n/2 \right) \\ &= \sum_{\substack{j=0 \\ 2 \nmid q_j}}^{\infty} (-1)^j \min \{b_j(n), a_{j+1} - b_j(n)\} + O(\log n), \end{aligned}$$

by Corollary 1, as $q_m \leq n$ implies $m = O(\log n)$; here and in the sequel $b_i(n)$ denotes the i -th digit of n in the Ostrowski-expansion of n to base α .

Therefore by summation by parts

$$\begin{aligned} \sum_{n=1}^N \frac{(-1)^{[2n\alpha]}}{n} &= \sum_{n=1}^{N-1} \frac{1}{n(n+1)} \left(\sum_{\substack{i=0 \\ 2 \nmid q_i}}^{\infty} (-1)^i \min \{b_i(n), a_{i+1} - b_i(n)\} + O(\log n) \right) \\ &\quad + \frac{2}{N} \left(\sum_{n=1}^N c_{[0,1/2)}(\{n\alpha\}) - N/2 \right). \end{aligned}$$

The last term tends to 0 as $(n\alpha)_{n \geq 1}$ is uniformly distributed mod 1.

In order to simplify notation and to prove all three statements simultaneously we write for two sequences $(u_n)_{n \geq 1}$, and $(v_n)_{n \geq 1}$ $u_n \sim v_n$ if $u_n - v_n$ is convergent. Let m be chosen such that $q_m \leq N < q_{m+1}$.

Then

$$\sum_{n=1}^N \frac{(-1)^{[2n\alpha]}}{n} \sim \sum_{n=1}^N \frac{1}{n(n+1)} \sum_{\substack{i=0 \\ 2 \nmid q_i}}^{\infty} (-1)^i \min \{b_i(n), a_{i+1} - b_i(n)\}.$$

The inner sum terminates. If t_n is the largest index t with $b_t(n) \neq 0$ (that is $q_t \leq n < q_{t+1}$) we have

$$\sum_{\substack{i < t_n \\ 2 \nmid q_i}} (-1)^i \min \{b_i(n), a_{i+1} - b_i(n)\} = O \left(\sum_{i < t_n} a_{i+1} \right)$$

and hence

$$\begin{aligned} \sum_{n=1}^N \frac{1}{n(n+1)} \sum_{\substack{i < t_n \\ 2 \nmid q_i}} (-1)^i \min \{b_i(n), a_{i+1} - b_i(n)\} &= O\left(\sum_{t=0}^m \sum_{n=q_t}^{q_{t+1}-1} \frac{1}{n^2} \sum_{i < t} a_{i+1}\right) \\ &= O\left(\sum_{t=0}^m \frac{1}{q_t} \sum_{i < t} a_{i+1}\right) = O\left(\sum_{i=0}^{\infty} a_{i+1} \sum_{t > i} \frac{1}{q_t}\right) = O\left(\sum_{i=0}^{\infty} \frac{a_{i+1}}{q_{i+1}}\right) = O(1). \end{aligned}$$

This implies

$$\begin{aligned} \sum_{n=1}^N \frac{(-1)^{\lfloor 2n\alpha \rfloor}}{n} &\sim \sum_{\substack{n=1 \\ 2 \nmid q_{t_n}}}^N \frac{1}{n(n+1)} (-1)^{t_n} \left(\min \{b_{t_n}(n), a_{t_n+1} - b_{t_n}(n)\} \right) \\ &= \sum_{\substack{t=0 \\ 2 \nmid q_t}}^m (-1)^t \sum_{n=q_t}^{q_{t+1}-1} \frac{1}{n(n+1)} \min \{b_t(n), a_{t+1} - b_t(n)\} \\ &\quad + \frac{1}{2} (1 - (-1)^{q_m}) \sum_{n=q_m}^N (-1)^m \frac{1}{n(n+1)} \min \{b_m(n), a_{m+1} - b_m(n)\}. \end{aligned}$$

For $q_t \leq n < q_{t+1}$ we have $b_t(n) = \lfloor \frac{n}{q_t} \rfloor$. Therefore the first inner sum is equal to

$$\begin{aligned} \sum_{q_t \leq n \leq a_{t+1} q_t / 2} \frac{1}{n(n+1)} \frac{n}{q_t} &+ \sum_{a_{t+1} q_t / 2 < n \leq a_{t+1} q_t} \frac{1}{n(n+1)} \left(a_{t+1} - \frac{n}{q_t} \right) + O\left(\frac{1}{q_t}\right) \\ &= \frac{1}{q_t} \log \frac{a_{t+1}}{2} + O\left(a_{t+1} \sum_{n > a_{t+1} q_t / 2} \frac{1}{n^2}\right) + O\left(\frac{1}{q_t}\right) \\ &= \frac{1}{q_t} \log a_{t+1} + O\left(\frac{1}{q_t}\right). \end{aligned}$$

In the same way one sees that the last sum $= (-1)^m \frac{1}{q_m} \log \frac{N}{q_m} + O\left(\frac{1}{q_m}\right)$. Collecting everything we get the result in this case.

If $\alpha > 1/2$, let $1 - \alpha = [0; a'_1, a'_2, \dots]$, and let $\frac{p'_n}{q'_n}$ be the convergents of $1 - \alpha$. Then $a'_1 = a_2 + 1$, $a'_i = a_{i+1}$ for $i > 1$, $q'_i = q_{i+1}$. From $g(\alpha) = -g(1 - \alpha)$ we get the result in this case. \square

It is now clear that the series is convergent for $\alpha = \pi$ as we know that then $a_{t+1} = O(q_t^c)$ for some absolute constant $c > 0$ (see [2]).

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