Bloch wave excitation at the edge of a lattice

The last word (?)

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 - Photonic & phononic crystals
 - Elastic plates with a lattice of pins or holes
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• $k = \omega/c$, $c = \sqrt{\mu/\rho}$, μ : shear modulus, ρ : density. $c = O(10^3 \text{ms}^{-1})$ for metal & rock.

Bloch vectors

• If *u* represents a Bloch wave, then $u(\mathbf{r} + j\mathbf{s}_1 + p\mathbf{s}_2) = e^{i(j\mathbf{s}_1 + p\mathbf{s}_2)\cdot\beta}u(\mathbf{r}),$ for $j, p \in \mathbb{Z}$.



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- The Bloch vector is not unique; e.g. if

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The irreducible Brillouin zone (IBZ) contains the shortest possible representation for each Bloch vector (X, Γ, M coords for s₁ = [1,0] s₂ = [0,1]).



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- Square lattice, SH waves, $\mathbf{s}_1 = [1, 0], \ \mathbf{s}_2 = [0, 1]$, scatterer radius a = 0.42.
- This medium could be used to block signals for which $3 \leq \omega/c \leq 4$, where there is a gap.



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A remark from a presentation in 2014

There is more to do: the method used here is not easy to implement at high frequencies.

• The scattered field can be represented as a sum of singular wavefunctions, centred at each scatterer:

$$u^{\mathrm{s}}(\mathbf{r}) = \sum_{n=-\infty}^{\infty} \sum_{p=0}^{\infty} \sum_{j=-\infty}^{\infty} A_{n}^{j,p} \mathcal{H}_{n}(\mathbf{r} - j\mathbf{s}_{1} - p\mathbf{s}_{2})$$

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where $\mathcal{H}_n(\mathbf{r}) = H_n^{(1)}(kr)e^{in\theta}$, $\mathbf{r} = r[\cos\theta, \sin\theta]$ and $H_n^{(1)}(\cdot)$ is a Hankel function of the first kind.

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 - \sum_{i} Position in x. Can be evaluated exactly.
 - \sum_{p} Position in y. Very slowly convergent if Bloch waves are excited.

• Applying the boundary condition leads to a linear system of equations for the coefficients A_n^p :

$$A_n^q + Z_n \sum_{m=-\infty}^{\infty} \sum_{p=0}^{\infty} A_m^p S_{m-n}^{q-p} = T_n^q, \quad n \in \mathbb{Z}, \ q = 0, 1, \dots$$

where the RHS is given by $-Z_n i^n e^{iqks_2 \cdot [\cos \psi_0, \sin \psi_0]} e^{-in\psi_0}$.

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- $Z_n = J'_n(ka)/Y'_n(ka)$ is a scattering coefficient which describes the properties of the individual scatterers (a = radius).
- S_n^q is obtained by summing contributions along one row:

$$S_n^q = \sum_{j=-\infty}^{\infty}' \mathrm{e}^{\mathrm{i} j k s_1 \cos \psi_0} \mathcal{H}_n(q \mathbf{s}_2 - j \mathbf{s}_1) \quad (' ext{ means omit } j = 0 ext{ if } q = 0).$$

Sums of this type were evaluated by Twersky in the 1960s.

- We now have two problems:
 - The linear system for A_n^p cannot be solved by truncation if Bloch waves are excited, because $A_n^p \neq 0$ as $p \rightarrow \infty$.
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- Then calculate the mean energy flux across s₁ for each mode, using

$$\langle E \rangle = - \frac{\mu \omega}{2} \operatorname{Im} \int_{\mathcal{S}} u(\mathbf{r}) \frac{\partial}{\partial n} u^{*}(\mathbf{r}) \, \mathrm{d}\mathbf{s}.$$



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- Modes with (E) < 0 cannot be excited by scattering at y = 0 (y component of group velocity is < 0).
- This is the radiation condition for Bloch wave excitation (Sommerfeld does not apply here, because Bloch waves have no phase velocity).

• Consider the case where one Bloch wave is excited. Then

$$A_n^p = b \mathrm{e}^{\mathrm{i} p \mathbf{s}_2 \cdot \boldsymbol{\beta}} B_n + \hat{A}_n^p.$$

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- Now write

$$C_n^p = \begin{cases} A_n^p & \text{if } p = 0\\ A_n^p - e^{i\mathbf{s}_2 \cdot \boldsymbol{\beta}} A_n^{p-1} & \text{otherwise.} \end{cases}$$

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- We can solve (*) to obtain

$$A_n^p = \sum_{j=0}^p C_n^j \mathrm{e}^{\mathrm{i}(p-j)\mathbf{s}_2 \cdot \boldsymbol{\beta}}.$$

• Substitute into the system for A_n^p

$$\sum_{j=0}^{q} C_{n}^{j} \mathrm{e}^{\mathrm{i}(p-j)\mathbf{s}_{2}\cdot\boldsymbol{\beta}} + Z_{n} \sum_{m=-\infty}^{\infty} \sum_{p=0}^{\infty} \left(\sum_{j=0}^{p} C_{m}^{j} \mathrm{e}^{\mathrm{i}(p-j)\mathbf{s}_{2}\cdot\boldsymbol{\beta}} \right) S_{m-n}^{q-p} = T_{n}^{q},$$

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• Recall that S_m^q is a sum of phase shifted wavefunctions along one row. • The new sum, $\sum_{p=j}^{\infty} e^{ips_2 \cdot \beta} S_{m-m}^{q-p}$, represents a stack of rows.

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- McPhedran et al. (2000) evaluated these exactly.

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- McPhedran et al. (2000) evaluated these exactly.
- We can filter any number of Bloch waves by repeatedly applying the same transformation (proof: Thompson & Brougham, 2017).

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 - Albani & Capolino (2011): nanospheres, EM waves, WH method.
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- For larger bodies (ka = O(1) O(10)), filtering is much simpler.
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 - Brougham & Thompson (in progress): acoustic scatterers, filtering.

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 - ▶ Haslinger et al. (2016): pins in thin plates, WH method.
 - ▶ Thompson & Brougham (2017): acoustic point scatterers, filtering.
- For larger bodies (ka = O(1) O(10)), filtering is much simpler.
 - ► Tymis & Thompson (2014): acoustic scatterers, WH method.
 - Brougham & Thompson (in progress): acoustic scatterers, filtering.
- Filtering will work in the same way for more complicated systems:
 - ▶ In-plane elastic wave problem, 3D lattices composed using spheres, ...