

A NOTE ON INVARIANTS OF FLOWS INDUCED BY ABELIAN DIFFERENTIALS ON RIEMANN SURFACES

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ABSTRACT. The real and imaginary part of any Abelian differential on a compact Riemann surface define two flows on the underlying compact orientable C^∞ surface. Furthermore, these flows induce an interval exchange transformation on every transversal simple closed curve, via Poincaré recurrence. This note shows that the ordered K_0 - groups of several C^* - algebras naturally associated to one of the flows resp. interval exchange transformations are isomorphic, mainly using the methods of I. Putnam [Put89, Put92].

0. INTRODUCTION

The real and imaginary part of an Abelian differential on a compact Riemann surface are C^∞ real 1 forms defining flows with finitely many singularities of saddle type on the underlying compact orientable C^∞ surface M . Furthermore, each of these flows induces an interval exchange transformation on a closed transversal curve, via Poincaré recurrence. If the Abelian differential is sufficiently general the induced flows are minimal. Then it is possible to associate several C^* - algebras to them: The crossed product $C(M) \rtimes \mathbb{R}$ of the flow, the crossed product $C_0(M_0) \rtimes \mathbb{R}$ where $M_0 = M - \{\text{singularities}\}$ and the crossed product $C(\Sigma) \rtimes \mathbb{Z}$ corresponding to a discrete dynamical system on a Cantor set Σ induced by the interval exchange transformation. Putnam [Put89, Put92] showed how to compute the ordered K_0 - groups of $C_0(M_0) \rtimes \mathbb{R}$ and $C(\Sigma) \rtimes \mathbb{Z}$ via embeddings in and inclusions of AF-algebras which induce order-isomorphisms on the K_0 - groups.

The aim of this paper is to show the order-isomorphy of these K_0 - groups. Furthermore we prove that the cone defining the order is dual to the cone of invariant measures w.r.t. the interval exchange transformation. Both aims are achieved by using Veech's induced interval exchange transformations and Putnam's "method of towers". All these facts considered it is reasonable to expect the ordered groups to yield interesting invariants of a Riemann surface together with an Abelian differential on it. It seems that Nikolaev [Nik00, Nik01] tries to define and exploit such invariants but further clarification is certainly needed.

To give a short synopsis of the article, the first two sections carefully explain the necessary notions from the theory of dynamical systems and applies them on flows coming from Abelian differentials on Riemann surfaces, using classification results of Strebel [Str84]. These sections contain more details than necessary for the specialists but try to be also readable for those mainly interested in new invariants of Abelian differentials on Riemann surfaces. Following Veech [Vee78, Vee82] section 3

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develops the notion of induced interval exchange transformations which is central to what follows. Section 4 gives the details of Putnam's "method of towers" used to compute the ordered K_0 -groups of $C_0(M_0) \rtimes \mathbb{R}$ and $C(\Sigma) \rtimes \mathbb{Z}$. These details are needed in section 5 to produce a comprehensive proof of the order-isomorphism of all occurring ordered groups and to show that the order-defining cone is dual to the cone of measures invariant w.r.t. the interval exchange transformation.

1. BASICS ON FLOWS

Definition 1.1. *Let M be a compact orientable C^∞ surface. A C^∞ flow f^t is a C^∞ map $f : M \times \mathbb{R} \rightarrow M$ such that $f(m, 0) = m$ and $f(m, t_1 + t_2) = f(f(m, t_1), t_2)$ for all $m \in M, t_i \in \mathbb{R}$.*

In this note we only consider flows defined by closed real-valued 1- forms ϕ_1, ϕ_2 which are the real and imaginary part of a holomorphic 1- form (Abelian differential) $\omega = \phi_1 + i\phi_2$ on a Riemann surface C . These flows can be constructed as follows: Around non-vanishing points of ω it is trivial to find vector fields w_1 such that $\phi_1(w_1) = 0$ and $\phi_2(w_1) > 0$ outside the zeroes, and around zeroes choose a holomorphic coordinate chart $V \rightarrow \mathbb{R}^2$ such that $\omega = z^k dz$, and set

$$w_1 = r \sin(k+1)\theta \frac{\partial}{\partial r} + \cos(k+1)\theta \frac{\partial}{\partial \theta}.$$

Glue the finitely many vector fields by a partition of unity. In the same way it is possible to construct a vector field w_2 on M such that $\phi_1(w_2) > 0$ and $\phi_2(w_2) = 0$. The pair (w_1, w_2) is positively oriented outside the zeroes and in particular the w_i do not vanish outside the zeroes. Hence these vector fields can be integrated to flows f_i^t having their fixed points (singularities) exactly in the zeroes of ω .

Let f^t be a flow on a compact surface M . The curve $l(x) := \{f(x, t) : -\infty < t < +\infty\}$ is called the *trajectory* of f^t through $x \in M$. A trajectory $l(m) = \{m\}$ is called a *singular point*.

There are two other types of trajectories, called *regular*: if there exist $t_1 \neq t_2$ such that $f(x, t_1) = f(x, t_2)$, the trajectory through x is *periodic* or *closed*. Otherwise it is called *non-closed*.

For non-closed curves the rays $l(x)^+ := \{f(x, t) : 0 \leq t < \infty\}$ and $l(x)^- := \{f(x, t) : -\infty < t \leq 0\}$ are called the *positive* resp. *negative trajectory ray* through x . The ω -*limit set* is defined as

$$\omega[l(x)] := \{\tilde{x} \in M : \exists \text{ sequence } (t_n)_{n \in \mathbb{N}}, t_n \rightarrow \infty, f(x, t_n) \rightarrow \tilde{x}\},$$

and similarly the α -*limit set* $\alpha[l(x)]$, with $t_n \rightarrow -\infty$.

A segment $\Sigma : [0, 1] \rightarrow M$ is called *contact-free* or *transversal* to the flow f^t iff for all $m \in \Sigma \setminus \partial\Sigma$ there exists a neighborhood U of m and a diffeomorphism $\phi : U \rightarrow \mathbb{R}^2$ such that

$$\phi(f^t(m')) = \phi(m') + (t, 0), \quad \phi(m) = (0, 0)$$

and $\phi(\Sigma \cap U) = \{0\} \times [-1, 1]$. Since such a diffeomorphism exists around any non-singular (or *regular*) point of a flow, we have

Lemma 1.2. *Through each regular point of a flow there passes a contact-free segment.* \square

A simple closed curve C (the image of an embedding of a circle in M) is called a *contact-free cycle* or a *closed transversal* of the flow if its arcs are contact free segments. It is not hard to give an example of a flow for which no regular point

has a contact free cycle passing through it (for example a flow on a sphere with two saddles and four centers). On the other hand there is an easy criterion for the existence of such a contact-free cycle:

Lemma 1.3 ([ABZ96, Lem.2.1.2]). *Suppose that the trajectory l of the flow f^t intersects a contact-free segment Σ at more than one point. Then there exists a contact-free cycle that intersects l .*

The proof of this lemma uses the following

Theorem 1.4 (Long Flow Tube Theorem). *Let d be a compact arc of a regular trajectory of a C^∞ -flow f^t , and suppose that d does not form a closed curve. Then there exists a neighborhood U of d and a C^∞ -diffeomorphism $\psi : U \rightarrow \mathbb{R}^2$ carrying the arcs in U of trajectories of f^t into trajectories of the dynamical system $\dot{x} = 1, \dot{y} = 0$.*

For a proof see e.g. [ALGM73].

Let $\overline{m_1 m_2}$ be the arc with endpoints m_1 and m_2 on a trajectory of the flow f^t , and let Σ_1 and Σ_2 be disjoint contact-free segments passing through m_1 and m_2 , respectively, such that $\overline{m_1 m_2} \cap (\Sigma_1 \cup \Sigma_2) = \{m_1, m_2\}$. For definiteness we will assume that $m_2 \in l(m_1)^+$.

By the Long Flow Tube Theorem, there exists a neighborhood $\Sigma \subset \Sigma_1$ of m_1 on Σ_1 such that for any $m \in \Sigma$ the positive semitrajectory $l(m)^+$ intersects Σ_2 without first intersecting Σ_1 . Denote by \tilde{m} the first point where $l(m)^+$ intersects Σ_2 .

Definition 1.5. *The mapping $P(m, \Sigma) : \Sigma_1 \rightarrow \Sigma_2$ assigning the point $\tilde{m} \in \Sigma_2$ to a point $m \in \Sigma$ according to the rule above is called the Poincaré mapping or first return mapping (induced by the flow f^t).*

The Long Flow Tube Theorem gives us

Lemma 1.6 (Poincaré mapping theorem). *Let $P(m, \Sigma) : \Sigma_1 \rightarrow \Sigma_2$ be the Poincaré mapping of the contact-free segment Σ_1 into the contact-free segment Σ_2 induced by a C^∞ -flow f^t . Then P is a C^∞ -diffeomorphism of Σ_1 onto its range. \square*

The only flows we want to consider are those induced by (the imaginary part of) holomorphic 1-forms. Their behaviour is in some sense more regular than the behaviour of arbitrary flows on surfaces, see for example in [ABZ96, III.2]. The simplifications are described in [Str84].

Let f_ϕ^t be a flow on a compact surface M induced by the holomorphic 1-form ϕ . It can be shown that closed trajectories never come alone: the trajectories through points in a neighborhood of the closed trajectory $l(x)$ are also closed. One may even find an open neighborhood of $l(x)$ on M which is homeomorphic to a ring domain $\{z : r < |z| < R\} \subset \mathbb{C}$ such that the circles around 0 are mapped onto the closed trajectories (this is a consequence of the fact, that the flow f_ϕ^t preserves the volume given by $|\phi|$). There is a maximal ring domain associated to $l(x)$ which is uniquely determined if M is not a torus.

Theorem 1.7 ([Str84, Thm.9.4]). *Let f_ϕ^t be a flow on a compact Riemann surface M induced by a holomorphic 1-form ϕ . Every closed trajectory $l(x)$ of f^t is embedded in a maximal ring domain R swept out by closed trajectories $l(x')$, the ring domain associated with $l(x)$. It is uniquely determined except for an orientable foliation with closed trajectories on a torus. The boundary of the ring domain consists of compact critical leaves of the foliation.*

Two ring domains R_0 and R_1 associated with the closed trajectories $l(x_0)$ and $l(x_1)$ respectively are either disjoint or identical. If a closed trajectory $l(x_1)$ is freely homotopic to $l(x_0)$ then $l(x_1) \subset R_0$, which is equivalent to $R_1 = R_0$.

Strebel [Str84, p.44pp] gives a description of all possible types of non-closed trajectory rays and the structure of their limit sets: If $\omega[l(x)] = \{P\}$, then P is a singularity of the foliation (this is an easy exercise). $l(x)^+$ is called a *critical ray*, and there are only finitely many critical rays.

If $\omega[l(x)]$ contains more than one point, then it contains the initial point x , and $\overline{l(x)} = \omega[l(x)]$ (this is again a consequence of the volume preserving property of the flow). Such a ray is called *recurrent*. A trajectory both rays of which are recurrent is called a *spiral*.

The interior of the limit set of a recurrent ray $l(x)^+$ is non-empty and connected, hence a domain. Its boundary consists of compact critical rays of the flow and their limiting singularities. Every trajectory ray $l(x')^+$ through a point x' in the interior of $\omega[l(x)]$ is everywhere dense in $\omega[l(x)]$, and its limit set coincides with $\omega[l(x)]$. If the limit sets of two recurrent rays have an interior point in common, they coincide. Takink all these facts together we get

Theorem 1.8. *Let f^t be a flow on a compact Riemann surface M induced by the imaginary part of a holomorphic 1- form. Then the connected components of M minus the compact critical rays of f^t are bounded by critical segments, and either*

- (i) *the components are ring domains swept out by closed trajectories, or*
- (ii) *they are the closure of a spiral trajectory.*

The most general type of flow is given by

Definition 1.9. *Let M be a compact orientable C^∞ surface. A C^∞ flow f^t is **minimal** iff*

- (i) *every trajectory which is not a fixed point, is dense in M , and*
- (ii) *the set of singularities $\text{Sing}f^t$ consists of saddles with $2m$ separatrices.*

This means in particular that every trajectory is non-closed and has a direction in which it is recurrent. There are only finitely many trajectories which are not spirals. Vice versa, it follows from theorem 1.8 that a flow on a compact Riemann surface induced by a holomorphic 1- form without critical segments is minimal.

2. INTERVAL EXCHANGE TRANSFORMATIONS

Let x be a regular point of a minimal flow f^t on a compact Riemann surface X of genus $g \geq 2$ induced by the imaginary part of a holomorphic 1- form on X , and let Σ be a contact-free segment through x (which exists by lemma 1.2). Since the trajectory l through x is dense on X , the trajectory l intersects Σ in another point x' . Consequently, by lemma 1.3 there exists a contact-free cycle C .

Let $l(x)^+$ be a critical ray through a point $x \in X$. Since $l(x)^-$ is dense in X , this ray intersects C . By a compactness argument, we can choose $x' \in l(x)$ such that $l(x')^+ \cap C = \{x'\}$. Since there are only finitely many critical rays, we have n points $x_1, \dots, x_n \in C$ such that $l(x_i)^+$ is critical and $l(x_i)^+ \cap C = \{x_i\}$. Order $x_1, \dots, x_n \in C$ such that x_{i+1} is next to x_i .

Obviously, the Poincaré return mapping maps the open arc $\overline{x_i x_{i+1}}$ to another arc $\overline{y_i y_{i+1}}$ on C . The y_i 's are points on critical rays of type $l(y)^-$ such that

$l(y_i)^- \cap C = \{y_i\}$, and the inverse mapping from $\overline{y_i y_{i+1}}$ to $\overline{x_i x_{i+1}}$ is the Poincaré return mapping of the reversed flow f^{-t} .

Now, if ϕ is the imaginary part of the holomorphic 1-form defining f^t then the line element $|\phi|$ defines a transverse measure to the trajectories of f^t . The Long Tube Theorem shows that the (transverse) length of the two arcs above remains equal. Cutting C at some point x_0 , we get a map of the following type (at least outside the critical points x_1, \dots, x_n):

Definition 2.1. Let $n \geq 2$ be an integer and $\sigma \in \Sigma_n$ a permutation of $\{1, 2, \dots, n\}$. Let $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$ be an element of \mathbb{R}^n . For $i = 0, \dots, n$ define

$$\beta_\alpha(i) = \sum_{j \leq i} \alpha_j, \quad \beta'_\alpha(i) = \sum_{\sigma(j) \leq i} \alpha_j.$$

Let $I_\alpha(i) = [\beta_\alpha(i-1), \beta_\alpha(i)]$ and $I'_\alpha(i) = [\beta'_\alpha(i-1), \beta'_\alpha(i)]$, and let

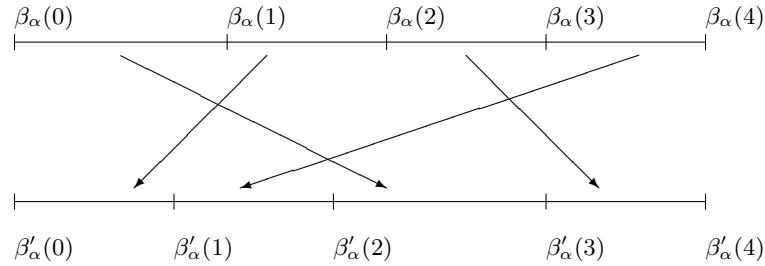
$$\tau_\alpha(i) = \sum_{\sigma(j) < \sigma(i)} \alpha_j - \sum_{j < i} \alpha_j,$$

for $i = 1, \dots, n$. The bijective mapping $T = T(\sigma, \alpha)$ of $[0, \beta_\alpha(n)]$ onto itself defined by

$$T(x) = x + \tau_\alpha(i), \quad x \in I_\alpha(i)$$

is called an **interval exchange transformation**, with data σ, α , mapping $I_\alpha(i)$ to $I'_\alpha(i)$.

Roughly speaking, $T = T(\sigma, \alpha)$ partitions $[0, \beta_\alpha(n)]$ into n subintervals of length $\alpha_1, \dots, \alpha_n$ and translates each so that they fit together to make up $[0, \beta_\alpha(n)]$ in a new order determined by σ .



The minimality of the flow f^t with which we started above implies the minimality of T , that is, the orbit of every point in $[0, \beta_\alpha(n)]$ is dense. (The orbit of a point x under T , or the T -orbit of x , is $\{T^n(x) \mid n \in \mathbb{Z}\}$.) A necessary condition for minimality is that there is no k such that $\sigma(\{1, \dots, k\}) = \{1, \dots, k\}$. In this case, σ is called *irreducible*. A (non-trivial) sufficient condition ([Kea75]) is given by the following

Definition 2.2. $T = T(\sigma, \alpha)$ satisfies the infinite distinct order condition (for short IDOC) iff σ is irreducible and the T -orbits of the points $\beta_\alpha(1), \beta_\alpha(2), \dots, \beta_\alpha(n-1)$ are all infinite and distinct.

The interval exchange transformation which we constructed from (the imaginary part of) a holomorphic 1-form ω on X and a closed transversal C still depends on the point where we cut C . If we choose one of the y_i (see above) we have (notations as above)

Lemma 2.3. *Let ω have l zeroes $p_1, \dots, p_l \in X$, and let $T = T(\sigma, \alpha)$ be the induced interval exchange transformation when cutting C in one of the points y_i . Then T exchanges $2g + l - 1$ intervals.*

Proof. Let k_1, \dots, k_l be the multiplicities of the zeroes p_1, \dots, p_l . Then the singularity p_i of the flow induced by $\text{Im } \omega$ is a $(2k_i + 2)$ -prong saddle, hence there are $k_i + 1$ critical rays emanating from p_i . The construction of T implies that there are $k_1 + \dots + k_l + l$ points x_i , hence intervals on C . Cutting in y_i divides one of these intervals in two parts since no y_i can be equal to some x_j (otherwise, there exists a critical segment, and the foliation is not minimal). On the other hand, no interval is mapped to an interval containing y_i in its interior. Consequently, T exchanges $k_1 + \dots + k_l + l + 1$ intervals.

Finally $k_1 + \dots + k_l = 2g - 2$ by Riemann-Roch, and the lemma follows. \square

That T comes from cutting the closed curve C is reflected by the condition

$$\sigma(1) = j \Rightarrow \sigma(n) = j - 1.$$

Here, j is always $\neq 1$ because otherwise σ would be reducible. A T constructed as above is called the interval exchange transformation *induced* by the holomorphic 1-form ω (and the simple closed curve C). It satisfies the IDOC because otherwise there would exist a critical segment.

Putnam ([Put92]) described a procedure how to construct a flow F on a compact oriented surface M resolving the discontinuities of an interval exchange transformation T , together with a closed transversal N such that the Poincaré return mapping on N is just the interval exchange T , except at the discontinuities of T which flow directly into the singularities:

Begin with $P = [0, 1] \times [0, 1]$. Let $V'(0) = V(0) = \{0\} \times [0, 1]$ and $V'(n) = V(n) = \{1\} \times [0, 1]$. For $i = 1, \dots, n - 1$, let $V(i) = (\beta(i), 1)$ and $V'(i) = (\beta'(i), 0)$. We define M to be the quotient of P obtained by collapsing $V(0)$ and $V(n)$ to single points and by identifying $I(i) \times \{1\}$ with $I'(i) \times \{0\}$ via T , for $i = 1, 2, \dots, n$.

Define σ_0 , a permutation of $\{0, 1, \dots, n\}$ by

$$\sigma_0(j) = \begin{cases} \sigma^{-1}(1) - 1 & \text{if } j = 0, \\ n & \text{if } j = \sigma^{-1}(n), \\ \sigma^{-1}(\sigma(j) + 1) - 1 & \text{otherwise.} \end{cases}$$

We let $N(\sigma)$ denote the number of cyclic components of σ_0 and we let $X(\sigma)$ denote the image of $\{V(0), \dots, V(n), V'(0), \dots, V'(n)\}$ in M . Then it is easy to check that if \sim denotes the equivalence relation generated by $V(i) \sim V(\sigma_0(i))$, $i = 0, \dots, n$, then $V(i) \sim V(j)$ iff they have the same image in M . It is clear that each $V'(i)$ has the same image as some $V(j)$. Thus we may identify $X(\sigma)$ with $\{V(0), \dots, V(n)\} / \sim$ and $X(\sigma)$ just consists of $N(\sigma)$ points in M .

The flow $F : \mathbb{R} \times M \rightarrow M$ is obtained by integrating the vector field $X(p) = \omega(p) \cdot (0, 1)$, for $p \in M$, where $\omega(p)$ is a certain positive scalar function on M vanishing exactly on $X(\sigma)$ and $(0, 1)$ comes from the obvious vector field on P . A transversal N is given by the image in M of $[0, 1] \times \{\frac{1}{2}\}$. It is closed iff $V(0)$ is identified with $V(n)$. This is the case if $\sigma(1) = j$ and $\sigma(n) = j - 1$ for some $j > 1$, since then $\sigma_0(n) = 0$.

Lemma 2.4. *Let X be a Riemann surface of genus $g \geq 2$, let C be a simple closed curve transversal to the minimal flow f^t induced by the imaginary part of a holomorphic 1-form ω on X . Let $T(\sigma, \alpha)$ be the induced interval exchange transformation, and let F^t be the flow constructed on M from $T(\sigma, \alpha)$ as above. Then there exists a homeomorphism $\phi : X \rightarrow M$ equivariant with respect to the flows f^t and F^t . In particular, the $X(\sigma)$ points on M correspond to the zeroes of ω , and the length of the cycles belonging to one of these $N(\sigma)$ points (after possibly omitting 0 and n) equals the multiplicity +1 of the corresponding zeroes. The closed transversal N is homotopic to C .*

Proof. We easily check that the singularities of F on M are saddles, where the emanating rays are counted by the members of the cycle belonging to the singularity (they consist of the points $V(i)$ which are identified with the singularity on M) and the incoming rays by the points $V'(j)$ which are identified with the singularity on M . The only exceptions are $V(0) = V'(0)$ and $V(n) = V'(n)$ from which no ray emanates resp. to which no ray in P runs in.

Now look at the singularity p to which $V(0)$ and $V(n)$ are mapped. N runs through p but if we perturb N appropriately in a small neighborhood around p , we get a closed *everywhere* transversal curve N' . The construction of $T(\sigma, \alpha)$ from ω and C (and especially lemma 2.3) shows that we can identify C and N' and the intervalls given on them. This identification may be expanded to the wished equivariant homeomorphism $\phi : X \rightarrow M$. \square

This lemma allows to exclude that T may be represented as a transformation exchanging less intervals:

Lemma 2.5. *Let $T = T(\sigma, \alpha)$ be the interval exchange transformation induced by the holomorphic 1-form ω and the simple closed curve C . Then there is no $1 \leq j < n$ such that*

$$\sigma(j+1) = \sigma(j) + 1.$$

Proof. Obviously,

$$\sigma_0(j) = \sigma^{-1}(\sigma(j) + 1) - 1 = \sigma^{-1}(\sigma(j+1)) - 1 = j.$$

This means that $V(j)$ would be a 2-prong saddle of the flow f^t , and cannot be a singularity of (the imaginary part of) ω : contradiction. \square

3. INDUCED INTERVAL EXCHANGE TRANSFORMATIONS

This construction was introduced by Keane [Kea75] and systematically used by Veech [Vee78, Vee82] to prove ergodicity results for interval exchange transformations. It starts with the following

Definition 3.1. *An interval $J = [a, b) \subset [0, \beta(n))$ is admissible for $T = T(\sigma, \alpha)$ if $a = T^k \beta_\alpha(i)$, $b = T^l \beta_\alpha(j)$ for some $1 \leq i, j < n$ and k, l satisfying either*

- (a) $k \geq 0$, and there is no m such that $0 < m < l$ and $T^m \beta_\alpha(i) \in J$ (and similarly for l), or
- (b) $k < 0$, and there is no m , $k < m \leq 0$, such that $T^m \beta_\alpha(i) \in J$ (and similarly for l).

In particular, every interval $[\beta_\alpha(i), \beta_\alpha(i+1))$ is admissible (here, $k = l = 0$).

Assume now that $T = T(\sigma, \alpha)$ satisfies the IDOC (see definition 2.2), and let $J = [a, b)$ be an admissible interval for T . We define U to be the induced first

return transformation of T on J . For each j such that $1 \leq j \leq n$, the minimality of T implies that there exists a least $k_j \geq 0$ such that $T^{-k_j} \beta_\alpha(j) \in J$. This determines $m-1$ distinct points by the IDOC, and the distances between consecutive points from left to right (including a and b) determine $\alpha' = (\alpha'_1, \dots, \alpha'_n) \in \mathbb{R}^n$ such that $[a, a + \beta_{\alpha'}(n)) = J$ and $T^{-k_{j_0}} \beta_\alpha(j_0) = \beta_{\alpha'}(i_0)$, where i_0 denotes the position of $T^{-k_{j_0}} \beta_\alpha(j_0)$ in the $m-1$ distinct points $T^{-k_j} \beta_\alpha(j) \in J$.

It is also true for $1 \leq j \leq n$ that $T^m(a + I_{\alpha'}(j)) = T^m[a + \beta_{\alpha'}(j-1), a + \beta_{\alpha'}(j))$ lies in some $I_\alpha(i)$ for $0 \leq m < \text{time of return to } J$. For given j, i we denote by A_{ij} the number of times $T^m(a + I_{\alpha'}(j)) \subset I_\alpha(i)$ for $0 \leq m < \text{time of return to } J$.

Proposition 3.2. *Let $T = T(\sigma, \alpha)$ be an interval exchange transformation satisfying the IDOC, let $J = [a, b) \subset [0, \beta_\alpha(n))$ be an admissible interval, and let U, α' and $A = (A_{ij}) \in M(n, \mathbb{Z})$ be as above. Then there exists a permutation $\sigma' \in \Sigma_n$ such that $U - a = T' = T(\sigma', \alpha')$ is an interval exchange transformation on $[0, b-a)$ satisfying the IDOC. Furthermore*

$$\det A = \pm 1, \quad \alpha = A\alpha'.$$

Proof. We can repeat all the arguments in [Vee78, §3]. The only difference is that the separation points β_α of T need not be points of discontinuity, that is there may exist $j \in \{1, \dots, n\}$ such that $\sigma(j+1) = \sigma(j) + 1$ (and similarly for σ').

The idea behind the equality $\alpha = A\alpha'$ is that $I_\alpha(i)$ is composed of A_{ij} copies of the interval $I_{\alpha'}(j)$, $1 \leq j \leq n$.

That U satisfies the IDOC is a consequence of the IDOC for T , the representation of the separation points of U by iterated T -images of separation points of T and the representation of U restricted to the intervals by iterations of T . \square

Induced interval exchange transformations are so important because they can be used to compute the (nonnegative nonzero) invariant measures for the interval exchange transformation $T(\sigma, \alpha)$, in particular the ergodic ones.

Definition 3.3. *A nonnegative nonzero T -invariant Borel measure μ on $[0, \beta(n))$ is called **ergodic** if $\mu(A) = 0$ or $\mu(A) = \mu([0, \beta(n)))$ for any T -invariant subset $A \in [0, \beta(n))$.*

The set of all T -invariant Borel measures on $[0, \beta(n))$ is a cone $\Sigma(\sigma, \alpha)$. It contains the Lebesgue measure, and if T is minimal the Lebesgue measure is necessarily an ergodic invariant measure. T is called **uniquely ergodic** if every T -invariant measure is a multiple of Lebesgue measure.

In general, it is well known that the extremals of $\Sigma(\sigma, \alpha)$ are the ergodic invariant measures (see e.g. [Wal82, Thm.6.10]). Furthermore, a minimal transformation exchanging n intervals can have at most n different ergodic measures ([CFS82, Thm.5.2.1]). As $\Sigma(\sigma, \alpha)$ is spanned by its extremals,

$$\nu(\sigma, \alpha) = \dim \Sigma(\sigma, \alpha)$$

is the number of ergodic invariant measures for T .

In the beginning it was conjectured that every minimal interval exchange transformation is uniquely ergodic. But soon counterexamples were discovered (see [CFS82, §5.4]), and Keane conjectured instead that *almost all* interval exchange transformations (with respect to the Lebesgue measure on the vectors $\sigma \in \mathbb{R}^n$) are uniquely ergodic. This conjecture was proven simultaneously by Masur [Mas82] and Veech

[Vee82]. Veech's idea was to use the induced interval exchange transformations to describe $\Sigma(\sigma, \alpha)$:

Proposition 3.4. *Let $T = T(\sigma, \alpha)$ be a minimal interval exchange transformation, and let $\Lambda_n \subset \mathbb{R}^n$ be the simplex generated by the unit vectors in \mathbb{R}^n . If $J_1 \supset J_2 \supset \dots$ is a sequence of admissible intervals shrinking to a point (or \emptyset) then*

$$\Sigma(\sigma, \alpha) \cong \bigcap_{i=1}^{\infty} (A_1 A_2 \cdots A_i \Lambda_n)$$

where the A_i are the matrices describing the transition from the $(i-1)$ st to the i th induced interval exchange transformation. In particular, the cone on the right is spanned by the set of cluster points of the images of the extremal rays of Λ_n under the sequence

$$A^{(i)} = A_1 A_2 \cdots A_i.$$

Remark 3.5. A sequence of admissible intervals $J_1 \supset J_2 \supset \dots$ shrinks to \emptyset iff the half open intervals J_n have the same right end b (for all $n \geq N$). Of course it is reasonable to say that such a sequence shrinks to b (from the left). This point of view agrees with the splitting of orbit points $T^k \beta(i)$ in the Cantor set interpretation of an interval exchange transformation (see section 4) because b is of this form.

Proof. See [Vee78, Prop.3.22]. The isomorphism is given by the map $\Sigma(\sigma, \alpha) \rightarrow \Lambda_n$ mapping a T -invariant measure μ to

$$\lambda(\mu) = (\mu([0, \beta(1))), \mu([\beta(1), \beta(2))), \dots, \mu([\beta(n-1), \beta(n)])) \in \mathbb{R}^n.$$

For the last statement we have to prove that

$$A^{(i+1)} \Lambda_n \subset A^{(i)} \Lambda_n.$$

This is a direct consequence of the nonnegativity of the entries of A_i which implies that the $(i+1)$ st images of the extremal rays are positive linear combinations of the i th images.

The inclusion of the cone on the right side in the image of this map follows from the fact that each column of $A^{(i)}$, when normalized, gives the relative frequency of visits of a specific maximal interval in J_n to the intervals $[\beta(k), \beta(k+1))$ before returning to J_n . Therefore, any cluster point of the sequence of normalized columns corresponds to an invariant measure for $T(\sigma, \alpha)$, by a construction described in the next lemma. \square

Lemma 3.6. *Let $T(\sigma, \alpha)$ be a minimal interval exchange transformation, let $p \in [0, \beta(n))$ be any point. Take any sequence m_1, m_2, \dots of integers ≥ 0 (which may be equal or not, increasing or not) and an increasing sequence $n_1 < n_2 < \dots < n_k < \dots$ of positive integers such that for each $1 \leq j \leq n$ the limit*

$$\sigma'_j := \lim_{k \rightarrow \infty} \frac{1}{n_k} \sum_{i=m_k}^{m_k+n_k} \chi_{I_j}(T^i p)$$

exists. Then there exists a unique T -invariant measure μ on $[0, \beta(n))$ such that

$$\mu(I_j) = \sigma'_j.$$

Proof. Let $\delta_{T^i p}$ be the Dirac distribution with center $T^i p$, and set

$$\mu_k := \frac{1}{n_k} \sum_{i=m_k}^{m_k+n_k} \delta_{T^i p}.$$

We know that $\lim_{k \rightarrow \infty} \mu_k(I_j) = \sigma'_j$ and we want to show that the limit is a T -invariant measure. For the T -invariance we compute for any Borel set $I \subset [0, \beta(n))$ such that $\lim_{k \rightarrow \infty} \mu_k(I)$ exists

$$\begin{aligned} \lim_{k \rightarrow \infty} \mu_k(TI) &= \lim_{k \rightarrow \infty} \frac{1}{n_k} \sum_{i=m_k}^{m_k+n_k} \delta_{T^i p}(TI) = \lim_{k \rightarrow \infty} \frac{1}{n_k} \sum_{i=m_k}^{m_k+n_k} \delta_{T^{i-1} p}(I) = \\ &= \lim_{k \rightarrow \infty} \frac{1}{n_k} (\delta_{T^{m_k-1} p}(I) - \delta_{T^{m_k+n_k} p}(I)) + \lim_{k \rightarrow \infty} \frac{1}{n_k} \sum_{m_k=0}^{m_k+n_k} \delta_{T^i p}(I) = \\ &= \lim_{k \rightarrow \infty} \frac{1}{n_k} \sum_{m_k=0}^{m_k+n_k} \delta_{T^i p}(I) = \lim_{k \rightarrow \infty} \mu_k(I). \end{aligned}$$

Hence the limit exists for all $T^k I_j$.

Now we can use that the $T^k I_j$ form a Dynkin system for the Borel algebra of $[0, \beta(n))$: The limit exists for all intervals in the common refinements of the partitions $\{I_j\}, \dots, \{T^k I_j\}$, and these intervals generate the Borel algebra. Thus $\mu := \lim_{k \rightarrow \infty} \mu_k$ exists. At the same time this shows the uniqueness. \square

The description of $\Sigma(\sigma, \alpha)$ in proposition 3.4 led to an easy criterion for unique ergodicity:

Proposition 3.7. *Let $T = T(\sigma, \alpha)$, $J_1 \supset J_2 \supset \dots$ and $A_1, A_2 \dots$ be as before. If there exists a matrix B with positive entries such that for infinitely many i, j*

$$A_i A_{i+1} \cdots A_j = B,$$

then T is uniquely ergodic.

Proof. See [Vee78, Prop.3.30]. \square

The heart of Veech's proof in [Vee82] was to construct an absolute continuous measure on the space of all interval exchange transformations whose permutation are belonging to the same so-called "Rauzy class" and which is invariant with respect to the map given by induction of interval exchange transformations.

4. THE CROSSED PRODUCT C^* -ALGEBRAS AND THEIR K_0 GROUPS

In this section we associate several C^* -algebras to a minimal flow f^t on a compact oriented surface M induced by a holomorphic 1-form and to the induced interval exchange transformation T .

The most natural C^* -algebra associated to f^t is the crossed product C^* -algebra

$$C(M) \rtimes_{f^t} \mathbb{R}$$

associated to the action of \mathbb{R} induced on M by f^t (for details about the crossed product construction see [GBVF01, 12.1]).

Let f^t have the singularities in x_1, \dots, x_n and set $M_0 := M - \{x_1, \dots, x_n\}$. Since M_0 is f^t -invariant we can consider the crossed product C^* -algebra

$$C_0(M_0) \rtimes_{f^t} \mathbb{R}.$$

We have the following f^t -invariant short exact sequence

$$0 \rightarrow C_0(M_0) \rightarrow C(M) \rightarrow C(\{x_1, \dots, x_n\}) \rightarrow 0,$$

and taking crossed products we obtain the short exact sequence

$$0 \rightarrow C_0(M_0) \rtimes_{f^t} \mathbb{R} \rightarrow C(M) \rtimes_{f^t} \mathbb{R} \rightarrow C(\{x_1, \dots, x_n\}) \rtimes_{f^t} \mathbb{R} \rightarrow 0.$$

Here we know that

$$C(\{x_1, \dots, x_n\}) \rtimes_F \mathbb{R} \cong \bigoplus_{i=1}^l C_0(\mathbb{R})$$

because the crossed product $C_0(\{x\}) \rtimes \mathbb{R} \cong C_0(\mathbb{R})$.

Next, let $T(\sigma, \alpha)$ be the interval exchange transformation induced on a closed simple curve by f^t . Putnam ([Put89]) attached a C^* -algebra to T by constructing a Cantor set Σ and a homeomorphism ϕ of Σ so that $[0, \beta(n))$ is densely contained in Σ (in a natural way) and $\phi|_{[0, \beta(n))} = T$, proceeding as follows: Let $D(T)$ denote the T -orbits of $\beta(1), \dots, \beta(n-1)$, omitting the point 0. We want to consider the set $D(T) \times \{0, 1\}$, but it will be more convenient to denote $(x, 0)$ and $(x, 1)$ by x^+ and x^- , respectively. Let

$$\Sigma = [0, 1] - D(T) \cup \{x^+, x^- | x \in D(T)\}.$$

(This amounts to inserting the ‘‘Cantor gaps’’ at the points of $D(T)$.) There is an obvious linear order on Σ , using $x^- < x^+$, for all $x \in D(T)$. Endowed with the order topology, Σ is a Cantor set since the minimality of T insures that $D(T)$ is dense. We include $[0, \beta(n))$ in Σ by mapping x in $D(T)$ to x^+ . The definition of ϕ and the fact that it is a homeomorphism are both clear. Defining an automorphism on $C(\Sigma)$ via $f \mapsto f \circ \phi$ gives the crossed product

$$C(\Sigma) \rtimes_{\phi} \mathbb{Z}.$$

This C^* -algebra may also be defined as an operator algebra on $L^2([0, \beta(n)))$: The Lebesgue measure on $[0, \beta(n))$ induces a ϕ -invariant Borel measure μ on Σ with respect to the order topology. Using the reduced crossed product description (see [GBVF01, Ex.12.1]) we conclude that the C^* -algebra of operators on $L^2(\Sigma, d\mu)$ generated by the multiplication operators $\xi(x) \mapsto f(x)\xi(x)$ and the shift operator $V\xi(x) := \xi(\phi^{-1}(x))$ is isomorphic to $C(\Sigma) \rtimes_{\phi} \mathbb{Z}$. Since ϕ is minimal, we can restrict the generating operators to V and the multiplication operators defined by the characteristic functions $\chi_{[\beta(i), \beta(i+1)]}$, $i = 0, \dots, n-1$ of the intervals involved in $T(\sigma, \alpha)$. These operators can be transferred to operators on $L^2([0, \beta(n)))$.

In order to investigate its K -theory Putnam ([Put89]) constructed an AF-subalgebra of $C(\Sigma) \rtimes_{\phi} \mathbb{Z}$ for every non-empty closed subset Y of Σ and proved (for definitions and first properties of AF-algebras see [Dav96])

Theorem 4.1 ([Put89]). *Let Y be a non-empty closed subset of Σ . Then A_Y , the C^* -subalgebra of $C(\Sigma) \rtimes_{\phi} \mathbb{Z}$ generated by $C(\Sigma)$ and $VC_0(\Sigma - Y)$, is an AF-algebra. If i denote the inclusion map of A_Y in $C(\Sigma) \rtimes_{\phi} \mathbb{Z}$ there is an exact sequence*

$$0 \rightarrow \mathbb{Z} \xrightarrow{\alpha} C(Y, \mathbb{Z}) \rightarrow K_0(A_Y) \xrightarrow{i_*} K_0(C(\Sigma) \rtimes_{\phi} \mathbb{Z}) \rightarrow 0$$

where α is the map taking $n \in \mathbb{Z}$ to the constant function n .

Moreover, for every $a \in K_0^+(C(\Sigma) \rtimes_{\phi} \mathbb{Z})$, there is $b \in K_0^+(A_Y)$ such that $i_*(b) = a$. In particular, if Y is a single point, i_* is an isomorphism of ordered groups.

Since we need the techniques used in the proof later on we reproduce them in all details: Putnam explicitly constructed the Bratteli diagram of the AF-algebra A_Y (and hence its K_0 group) by the “method of towers”. To understand it, we first need some notation: We say that a subset E of Σ is clopen if it is both closed and open. We let χ_E denote the characteristic function of E , which will be continuous if E is clopen. A partition \mathcal{P} of Σ is defined to be a finite collection of pairwise disjoint clopen sets whose union is all of Σ . If \mathcal{P} is a partition of Σ , we let $\mathcal{C}(\mathcal{P}) = \text{span}\{\chi_E | E \in \mathcal{P}\}$. $\mathcal{C}(\mathcal{P})$ may be viewed as those functions in $C(\Sigma)$ which are constant on each element of \mathcal{P} . The fact that Σ is totally disconnected implies that any function in $C(\Sigma)$ may be approximated arbitrarily closely by one in some $\mathcal{C}(\mathcal{P})$. Given two partitions \mathcal{P}_1 and \mathcal{P}_2 of Σ , we say \mathcal{P}_2 is finer than \mathcal{P}_1 and write $\mathcal{P}_2 \geq \mathcal{P}_1$, if each element of \mathcal{P}_2 is contained in a single element of \mathcal{P}_1 . This is clearly equivalent to the condition that $\mathcal{C}(\mathcal{P}_1) \subset \mathcal{C}(\mathcal{P}_2)$. Given two partitions \mathcal{P}_1 and \mathcal{P}_2 , we define the partition $\mathcal{P}_1 \vee \mathcal{P}_2$ to be $\{E \cap F | E \in \mathcal{P}_1, F \in \mathcal{P}_2\}$. The first step is to show that a partition \mathcal{P} of Σ and a non-empty closed subset Y of Σ give rise to a finite-dimensional C^* -subalgebra of $C(\Sigma) \rtimes_{\phi} \mathbb{Z}$.

Lemma 4.2. *The C^* -subalgebra of $C(\Sigma) \rtimes_{\phi} \mathbb{Z}$ generated by $\mathcal{C}(\mathcal{P})$ and $V\chi_{\Sigma-Y}$ is finite dimensional.*

Proof. We begin by defining $\lambda : Y \rightarrow \mathbb{Z}$ by

$$\lambda(y) = \inf\{n \geq 1 | \phi^n(y) \in Y\}, \quad y \in Y.$$

Notice that since ϕ is minimal and Y is open, there is, for each point y , a positive integer n such that $\phi^n(y) \in Y$, so λ is well-defined.

It is straightforward to verify that λ is upper (lower) semi-continuous because Y is open (closed), and so λ is continuous. Then because Y is compact, $\lambda(Y)$ is finite. Let us suppose that $\lambda(Y) = \{J_1, J_2, \dots, J_K\}$ with $J_1 < \dots < J_K$.

For $k = 1, \dots, K$ and $j = 1, \dots, J_k$ define the clopen set $Y(k, j) = \phi^j(\lambda^{-1}(J_k))$. It follows at once from the definitions that the following properties hold:

- (1) $\bigcup_{k=1}^K Y(k, 1) = \phi(Y)$,
- (2) $\phi(Y(k, j)) = Y(k, j+1)$, for $1 \leq j < J_k$,
- (3) $\bigcup_{k=1}^K Y(k, J_k) = Y$.

This implies that for a fixed k , the union of all $Y(k, j)$ is invariant under ϕ . It is also clearly closed and so, by minimality, must be all of Σ .

We shall refer to $\{Y(k, j) | j = 1, \dots, J_k\}$ as a tower of height J_k .

Now we argue that we can make the partition we have constructed above finer than the given one \mathcal{P} , without changing its essential structure (namely, properties 1-3 above). Suppose $Z \in \mathcal{P}$ and suppose Z meets some $Y(k, j)$ but does not contain it. Divide $Y(k, j)$ into two clopen sets $Y(k, j) \cap Z$ and $Y(k, j) \cap (\Sigma - Z)$. Unfortunately, this “disrupts” the entire k th tower, so we form $Y(k, i)' = \phi^{i-j}(Y(k, j) \cap Z)$ and $Y(k, i)'' = \phi^{i-j}(Y(k, j) \cap (\Sigma - Z))$, for each $i = 1, \dots, J_k$. Thus the k th tower breaks into two separate towers (both of height J_k) with $Y(k, i)' \subset Z$ and $Y(k, i)''$ disjoint from Z . We repeat this for all Z and all (k, j) (which will be a finite process). We then obtain a new K and new clopen sets $Y(k, j)$ (neither will be given a new notation) which satisfy conditions 1-3 above and such that the partition $\mathcal{P}' = \{Y(k, j) | k = 1, \dots, K, j = 1, \dots, J_k\}$ is finer than \mathcal{P} .

We are now prepared to define a finite dimensional C^* - subalgebra of $C(\Sigma) \rtimes_{\phi} \mathbb{Z}$. In fact, it will be $*$ - isomorphic to

$$M_{J_1} \oplus \cdots \oplus M_{J_K}.$$

To do this, it suffices to define matrix units $e_{ij}^{(k)}$ for all $k = 1, \dots, K$ and $i, j = 1, \dots, J_k$. Let

$$e_{ij}^{(k)} = V^{i-j} \chi_{Y^{(k,j)}} = \chi_{Y^{(k,i)}} V^{i-j}.$$

Using the conditions 1-3, it is routine to check that for fixed k , $\{e_{ij}^{(k)}\}$ forms a complete system of matrix units for M_{J_k} , that the projections

$$p_k = \sum_{i=1}^{J_k} e_{ii}^{(k)}$$

are pairwise orthogonal and sum to the identity. We also note that $\text{span}\{e_{ii}^{(k)} \mid k = 1, \dots, K, i = 1, \dots, J_k\} = \mathcal{C}(\mathcal{P}') \supset \mathcal{C}(\mathcal{P})$ and that

$$V\chi_{\Sigma-Y} = \sum_{k=1}^K \sum_{i=2}^{J_k} e_{i,i-1}^{(k)}.$$

The C^* - algebra generated by $\mathcal{C}(\mathcal{P})$ and $V\chi_{\Sigma-Y}$ is contained in the finite dimensional algebra we have just described and therefore must itself be finite-dimensional. \square

We will denote the C^* - algebra generated by $\mathcal{C}(\mathcal{P})$ and $V\chi_{\Sigma-Y}$ by $A(Y, \mathcal{P})$.

Lemma 4.3. *Let Y_1 and Y_2 be two non-empty clopen subsets of Σ , and let \mathcal{P}_1 and \mathcal{P}_2 be two partitions of Σ . If $\mathcal{P}_1 \leq \mathcal{P}_2$, $\chi_{Y_1} \in \mathcal{C}(\mathcal{P}_2)$ and $Y_1 \supset Y_2$, then $A(Y_1, \mathcal{P}_1) \subset A(Y_2, \mathcal{P}_2)$.*

Proof. Clearly $\mathcal{C}(\mathcal{P}_1) \subset \mathcal{C}(\mathcal{P}_2)$ and, since $Y_2 \subset Y_1$,

$$V\chi_{\Sigma-Y_1} V\chi_{\Sigma-Y_2} \chi_{\Sigma-Y_1} \in A(Y_2, \mathcal{P}_2).$$

\square

Theorem 4.4. *Let Y be a non-empty closed subset of Σ . Then A_Y , the C^* - subalgebra of $C(\Sigma) \rtimes_{\phi} \mathbb{Z}$ generated by $C(\Sigma)$ and $VC_0(\Sigma - Y)$, is an AF-algebra.*

Proof. We begin by selecting an increasing sequence of partitions of Σ , $\mathcal{P}_1 \leq \mathcal{P}_2 \leq \cdots$, whose union generates the topology of Σ . We also choose a decreasing sequence of clopen subsets of Σ , $Y_1 \supset Y_2 \supset \cdots$, whose intersection is Y . We will inductively define partitions \mathcal{P}'_n and finite dimensional subalgebras $A_n = A(Y_n, \mathcal{P}'_n)$, for each positive integer n . Let $\mathcal{P}'_1 = \mathcal{P}_1$ and $A_1 = A(Y_1, \mathcal{P}'_1)$. Now assume that we have defined \mathcal{P}'_n and $A_n = A(Y_n, \mathcal{P}'_n)$. We let $\mathcal{P}'_{n+1} = \mathcal{P}'_n \vee \mathcal{P}_{n+1} \vee \{Y_n, X - Y_n\}$. Then we have $\mathcal{P}'_{n+1} \geq \mathcal{P}_{n+1}$, $\mathcal{P}'_{n+1} \geq \mathcal{P}'_n$ and $\chi_{X-Y_n} \in \mathcal{C}(\mathcal{P}'_{n+1})$. Let $A_{n+1} = A(Y_{n+1}, \mathcal{P}'_{n+1})$.

We claim that the A_n 's form a nested sequence of finite dimensional subalgebras of A_Y whose union is dense in A_Y . First of all, $\mathcal{C}(\mathcal{P}'_n) \subset C(\Sigma)$ and $V\chi_{X-Y_n} \in VC_0(\Sigma - Y)$, since $Y \subset Y_n$, so $A_n \subset A_Y$. From the properties of \mathcal{P}'_{n+1} as described in the last paragraph and lemma 4.3, we see that $A_n \subset A_{n+1}$, for all n . Since the union of the \mathcal{P}'_n 's generates the topology of Σ and $\mathcal{C}(\mathcal{P}'_n) \subset \mathcal{C}(\mathcal{P}'_{n+1}) \subset A_{n+1}$, we know that $C(\Sigma) \subset \overline{\bigcup_n A_n}$. As Y is the intersection of the Y_n 's it is clear that $VC_0(\Sigma - Y) \subset \overline{\bigcup_n A_n}$. \square

To get a better understanding of the ordered K_0 -group of $C_0(M_0) \rtimes_{F_T} \mathbb{R}$ Putnam [Put92] constructed another surface \widetilde{M} and flow \widetilde{F} with a proper surjective map $p : \widetilde{M} \rightarrow M$ which is equivariant for F and \widetilde{F} : Take a simple closed curve and consider the induced interval exchange transformation T . Let (M, F^t) be the flow constructed from T as in section 2. By lemma 2.4 the flow F^t is topologically equivalent to the original flow f^t .

Now Putnam splitted the F -orbit of $(T(0), \frac{1}{2})$ into 2 parallel orbits. Note that the F -orbit of $(T(0), \frac{1}{2})$ is

$$\{(T^k(0), s) \mid k \geq 1, T^k(0) \neq T(0), s \in (0, 1]\} - \{(T^l(0), 1) \mid T^{l+1}(0) = T(0)\},$$

since as t approaches $-\infty$, $F((T(0), \frac{1}{2}), t)$ converges to

$$(T(0), 0) = (\beta'(\sigma(1) - 1), 0).$$

Let $D_0(T) = \{T^k(0) \mid k \geq 1, T^k(0) \neq T(0)\}$. Let

$$\Sigma_0 = [0, 1] - D_0(T) \cup \{x^+, x^- \mid x \in D_0(T)\}$$

with the obvious linear order on Σ_0 , using $x^- < x^+$, for all $x \in D_0(T)$. If $D_0(T)$ is dense, Σ_0 endowed with the order topology is a Cantor set.

Let \widetilde{M} be the compact set obtained from $\Sigma_0 \times [0, 1]$ as follows. First, identify the points $(T(0)^+, 0)$ and $(T(0)^-, 0)$ and denote the resulting point by $(T(0), 0)$. Identify each of $\{0\} \times [0, 1]$ and $\{1\} \times [0, 1]$ to a point. Finally for each $i = 1, \dots, n$ identify $[\beta(i-1), \beta(i)] \times \{1\}$ with $[\beta'(\sigma(i) - 1), \beta'(\sigma(i))] \times \{0\}$ via T - meaning that for x in D_0 , we identify $(x^+, 1)$ and $(x^-, 1)$ with $(T(x)^+, 0)$ and $(T(x)^-, 0)$, respectively. Let \widetilde{F} be the obvious vertical flow stopped at the images of $(\beta(i), 1)$, $i = 0, 1, \dots, n$ in \widetilde{M} . There is an obvious surjection $\pi : \widetilde{M} \rightarrow M$, and we may choose \widetilde{F} such that π is equivariant, i.e.

$$F \circ \text{id} \times \pi = \pi \circ \widetilde{F}$$

as maps from $\mathbb{R} \times \widetilde{M}$ to M .

This construction is useful because of

Theorem 4.5 ([Put92, Thm. 4.1]). *Let $\widetilde{M}_0 = \pi^{-1}(M_0)$. Then*

- (i) $C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$ is an AF-algebra, and
- (ii) $\pi_* : K_0(C_0(M_0) \rtimes_F \mathbb{R}) \rightarrow K_0(C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R})$ is an order isomorphism.

Remark 4.6. The order on $K_0(C_0(M_0) \rtimes_F \mathbb{R})$ is pulled back from the order on $K_0(C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R})$ via the statement (contained in the proof) that every projection on $C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$ comes from a projection in $C_0(M_0) \rtimes_F \mathbb{R}$. Since $C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$ is an AF-algebra, the equivalence classes of these projections generate a cone in $K_0(C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R})$, hence an order, and similarly for $K_0(C_0(M_0) \rtimes_F \mathbb{R})$.

To get a Bratteli diagram of the AF-algebra $C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$ (and hence a description of the ordered K_0 -group) we need to have a close look at the proof of (the first part of) this theorem: The idea is to construct a sequence of open subsets

$$\widetilde{U}_1 \subset \widetilde{U}_2 \subset \dots \subset \widetilde{M}_0$$

exhausting \widetilde{M}_0 such that the crossed product C^* -algebras $C_0(\widetilde{U}_i) \rtimes_{\widetilde{F}|_{\widetilde{U}_i}} \mathbb{R}$ are AF-algebras. Since the union of the $C_0(\widetilde{U}_i) \rtimes_{\widetilde{F}|_{\widetilde{U}_i}} \mathbb{R}$ is dense in $C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$ we

get that this C^* -algebra is AF, too. The set \widetilde{U}_1 is chosen first. Then we describe an iterative procedure for obtaining \widetilde{U}_{j+1} from \widetilde{U}_j .

Choose an integer K sufficiently large so that, for each $i = 1, \dots, n$

$$\{T^k(0) | 1 \leq k \leq K\} \cap I(i)$$

has at least two points. In the case $\beta(j-1) < T^K(0) < \beta(j)$ and $\sigma(j) = n$ and in the case $\beta(j) < T^K(0) < \beta(j+1)$ and $\sigma(j+1) = 1$, replace K by $K+1$. ($T^K(0) = \beta(j)$ is impossible because of the IDOC.) For $i = 0, 1, \dots, n-1$ let

$$x(1, i) = \inf(\{T^k(0) | 1 \leq k \leq K\} \cap I(i+1))$$

and for $i = 1, \dots, n$ let

$$x(0, i) = \inf(\{T^k(0) | 1 \leq k \leq K\} \cap I(i)).$$

For convenience, set $\Omega = \{0, 1\} \times \{1, \dots, n-1\} \cup \{(1, 0), (0, n)\}$. For each $\omega \in \Omega$, let $k(\omega)$ be the positive integer such that $x(\omega) = T^{k(\omega)}(0)$. We also define

$$x'(1, i) = \inf(\{T^k(0) | 2 \leq k \leq K+1\} \cap I'(i+1)),$$

$$x'(0, i) = \inf(\{T^k(0) | 2 \leq k \leq K+1\} \cap I'(i))$$

for appropriate i , and $k'(\omega)$ is given by $T^{k'(\omega)} = x'(\omega)$ for $\omega \in \Omega$. Note that

$$T\{x(\omega) | \omega \in \Omega\} = \{x'(\omega) | \omega \in \Omega\}.$$

We define closed sets $Z(i), Z'(i)$ in $P = \Sigma_0 \times [0, 1]$ by

$$\begin{aligned} Z(0) &= Z'(0) = [0, x(1, 0)^-] \times [0, 1] \\ Z(n) &= Z'(n) = [x(0, n)^+, 1] \times [0, 1] \\ Z(i) &= [x(0, i)^+, x(1, i)^-] \times [\frac{3}{4}, 1] \\ Z'(i) &= [x'(0, i)^+, x'(1, i)^-] \times [0, \frac{1}{4}] \end{aligned}$$

for $i = 1, \dots, n-1$. We let \widetilde{U} ($= \widetilde{U}_1$) be the complement of the union of the images of the $Z(i), Z'(i)$ under the quotient map $\pi : P \rightarrow \widetilde{M}$. This open set is \widetilde{M} minus the union of closed neighborhoods around the singularities of F .

Now, Putnam proved that the $F^{\widetilde{U}}$ -orbits of the points

$$\{(T(0)^\pm, \frac{1}{2}), (x'(\delta, i)^\pm, \frac{1}{2}) | \delta = 0, 1; i = 1, \dots, n-1\} \subset \widetilde{U}$$

are pairwise disjoint. Moreover, these orbits bound n strips $\cong \Sigma_i \times \mathbb{R}$, which are $F^{\widetilde{U}}$ -invariant (Σ_i a Cantor set). These $F^{\widetilde{U}}$ -flows are topologically conjugated to $\text{id} \times \tau$ on $\Sigma_i \times \mathbb{R}$, where τ denotes the canonical flow on \mathbb{R} (i.e. translation). Consequently,

$$C_0(\widetilde{U}) \rtimes_{F^{\widetilde{U}}} \mathbb{R} \cong \left(\bigoplus_{i=1}^n C_0(\Sigma_i \times \mathbb{R}) \right) \rtimes_{\text{id} \times \tau} \mathbb{R} \cong \left(\bigoplus_{i=1}^n C(\Sigma_i) \right) \otimes \mathcal{K}$$

(the last isomorphism follows from the approximation of compact operators by Hilbert-Schmidt operators on $L^2(\mathbb{R})$ which arise during the construction of the crossed product).

Next, we show how to construct \widetilde{U}_2 satisfying the same conditions: Let y be one of the points of the set

$$\{(T(0)^\pm, \frac{1}{2}), (x'(\delta, i)^\pm, \frac{1}{2}) | \delta = 0, 1; i = 1, \dots, n-1\}$$

above. Define $y^+ = \lim_{t \rightarrow \infty} F^{\tilde{U}}(t, y)$. Putnam showed that each of the corners of the $Z(i)$'s is a y^+ for some $y = (x'(\delta, i)^\pm, \frac{1}{2})$ in our set. These y 's cover all but one pair (δ, i) , and Putnam proved that $y = (x'(\delta, i)^\pm, \frac{1}{2})$ has y^+ contained in the interior of the line segment $[x(0, j)^+, x(1, j)^-] \times \frac{3}{4}$, for some j . In fact, (δ, i) is such that

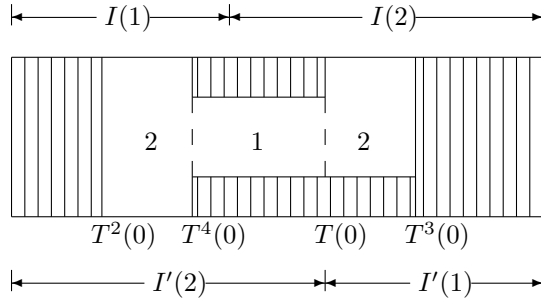
$$k'(\delta, i) = \sup\{k'(\delta', i') \mid \delta' = 0, 1, i' = 1, \dots, n-1\}.$$

Let $y^+ = (T^l(0), \frac{3}{4})$, for some $l \geq 1$. Notice that $T^l(0) = \beta(j)$ is not possible because of the IDOC. Redefine the $x(\delta, i)$ etc. by replacing K by l . In the case $T^l(0) < \beta(j)$ and $\sigma(j) = n$ and in the case $\beta(j) < T^l(0)$ and $\sigma(j+1) = 1$, replace K by $l+1$. Now, define $Z(i) = [x(0, i), x(1, i)] \times [\frac{7}{8}, 1]$ and $Z'(i) = [x'(0, i), x'(1, i)] \times [0, \frac{1}{8}]$. It is clear that U_2 , defined as before, has the same properties as U_1 and

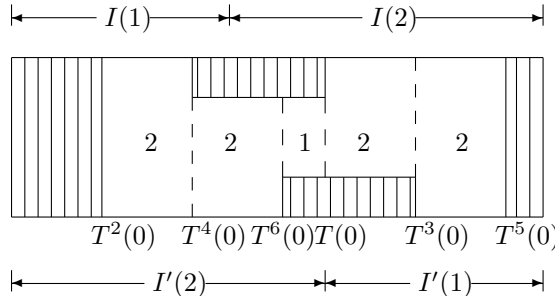
$$C_0(\tilde{U}_2) \succ_{F\tilde{U}_2} \mathbb{R} \cong \left(\bigoplus_{i=1}^n C_0(\Sigma_i^{(2)}) \right) \otimes \mathcal{K}.$$

It is clear how to continue this process inductively to obtain $\tilde{U}_3, \tilde{U}_4, \dots$. From the fact that the orbit of 0 is dense in $[0, \beta(n)]$ it follows that the union of all \tilde{U}_j will be \tilde{M}_0 .

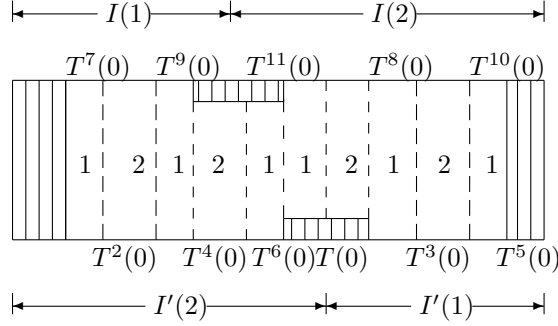
Instead of going into the details of the proof, we present an example for these arguments, which hopefully enables the reader to produce a proof by himself and also clarifies the meaning of the exceptions $T^l(0) < \beta(j)$ and $\sigma(j) = n$ resp. $\beta(j) < T^l(0)$ and $\sigma(j+1) = 1$. We start with the interval exchange transformation $T = T(\sigma, \alpha)$ where $\sigma = (12)$ and $\alpha = (\sqrt{2} - 1, 2 - \sqrt{2})$. In the first step, if we don't include the exceptional cases, we set $K = 4$ and the second strip does not end in one of the $Z(i)$'s, but in $[x'(0, n)^+, 1] \times [0, 1]$. And in the next step, there is no $y = T^k(0)^\pm$, $1 \leq k \leq K+1$, whose orbit ends in the interior of one of the intervals $[x(0, j)^+, x(1, j)^-] \times \{\frac{3}{4}\}$:



Hence we set $K = 5$ and get



The next step leads to $K = 7$ and



The whole construction tells us that $C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$ is the inductive limit of C^* -algebras of type $(\bigoplus_{i=1}^n C(\Sigma_i)) \otimes \mathcal{K}$. But these C^* -algebras are already AF-algebras because the Σ_i are Cantor sets ([Dav96, Ex.III.2.5]). Hence, $C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$ is also an AF-algebra. It can be presented as the inductive limit of finite-dimensional C^* -algebras in the following way: Let A_j be the C^* -subalgebra of $C_0(\widetilde{U}_j) \rtimes_{\widetilde{F}_j} \mathbb{R}$ which is mapped to $\bigoplus_{i=1}^n \mathbb{C} \otimes \mathcal{K}$ under the isomorphism above, for $j = 1, 2, \dots$. It is not hard to check that $A_j \subset A_{j+1}$ for all j and that the union of the A_j is dense in $C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$. Obviously, $K_0(A_j) \cong \mathbb{Z}^n$, for all j , and the embeddings induce isomorphisms of abelian groups given by a matrix of 1's in the diagonal, one off-diagonal 1 and the rest of the entries 0. This form of the matrix reflects the fact that in every step of the construction above, exactly one strip is divided in two strips and one of these two strips becomes part of an already existing one.

5. ORDER-ISOMORPHISMS OF K_0 -GROUPS

The aim is now to show that the K_0 -groups of $C(\Sigma) \rtimes_{\phi_F} \mathbb{Z}$ and the crossed product C^* -algebras $C_0(M_0) \rtimes_F \mathbb{R}$ and $C(M) \rtimes_F \mathbb{R}$ are order-isomorphic. As a first step, it is an easy exercise in K-theory to prove

Proposition 5.1. *The K_0 -groups of $C_0(M_0) \rtimes_F \mathbb{R}$ and $C(M) \rtimes_F \mathbb{R}$ are order isomorphic.*

Proof. If $\{x_1, \dots, x_l\}$ are the singularities of the minimal flow F , we have the short exact sequence

$$0 \rightarrow C_0(M_0) \rtimes_F \mathbb{R} \xrightarrow{\phi} C(M) \rtimes_F \mathbb{R} \xrightarrow{\psi} \bigoplus_{i=1}^l C_0(\mathbb{R}) \rightarrow 0.$$

The long exact sequence of K-functors yields

$$\begin{aligned} K_2\left(\bigoplus_{i=1}^l C_0(\mathbb{R})\right) &\rightarrow K_1(C_0(M_0) \rtimes_F \mathbb{R}) \rightarrow K_1(C(M) \rtimes_F \mathbb{R}) \rightarrow \\ &\rightarrow K_1\left(\bigoplus_{i=1}^l C_0(\mathbb{R})\right) \rightarrow K_0(C_0(M_0) \rtimes_F \mathbb{R}) \rightarrow \\ &\rightarrow K_0(C(M) \rtimes_F \mathbb{R}) \rightarrow K_0\left(\bigoplus_{i=1}^l C_0(\mathbb{R})\right). \end{aligned}$$

Next, $C_0(\mathbb{R}) = C_0(\mathbb{R}) \otimes \mathbb{C}$ is the suspension $\Sigma\mathbb{C}$ of the C^* -algebra \mathbb{C} [GBVF01, Def.1.15]. By using $K_{n+1}(A) = K_n(\Sigma A)$ [GBVF01, Prop.3.26], $K_1(\mathbb{C}) = 0$ [GBVF01, p.128] and the split exact sequences associated to the direct sum

$\bigoplus_{i=1}^l K_0(C_0(\mathbb{R}))$ [GBVF01, Prop.3.29], we get

$$K_0(\bigoplus_{i=1}^l C_0(\mathbb{R})) = 0,$$

$$\begin{aligned} K_1(\bigoplus_{i=1}^l C_0(\mathbb{R})) &= \bigoplus_{i=1}^l K_1(C_0(\mathbb{R})) = \bigoplus_{i=1}^l K_1(\Sigma\mathbb{C}) = \bigoplus_{i=1}^l K_2(\mathbb{C}) = \\ &= \bigoplus_{i=1}^l K_0(\mathbb{C}) = \mathbb{Z}^l \text{ and} \end{aligned}$$

$$K_2(\bigoplus_{i=1}^l C_0(\mathbb{R})) = K_0(\bigoplus_{i=1}^l C_0(\mathbb{R})) = 0,$$

by Bott periodicity [GBVF01, Thm.3.34] and $K_0(\mathbb{C}) = \mathbb{Z}$ [GBVF01, p.96]. Connes' analogue to the Thom isomorphism [Con94, II.C, Thm.8] tells us that

$$K_1(C_0(M_0) \rtimes_F \mathbb{R}) \cong K_2(C_0(M_0)) \cong K_0(C_0(M_0))$$

$$K_1(C(M) \rtimes_F \mathbb{R}) \cong K_2(C(M)) \cong K_0(C(M)).$$

Since the second map in the short exact sequence

$$0 \rightarrow C_0(M_0) \rightarrow C(M) \rightarrow C(\{x_1, \dots, x_l\}) \rightarrow 0$$

has a (in fact, many) splitting(s), we have again by [GBVF01, Prop.3.29] that there is a short exact sequence

$$0 \rightarrow K_0(C_0(M_0)) \rightarrow K_0(C(M)) \rightarrow K_0(C(\{x_1, \dots, x_l\})) = \bigoplus_{i=1}^l K_0(\mathbb{C}) \rightarrow 0.$$

Consequently,

$$\phi_* : K_0(C_0(M_0) \rtimes_F \mathbb{R}) \xrightarrow{\cong} K_0(C(M) \rtimes_F \mathbb{R}).$$

is an isomorphism.

We need some additional arguments to show that the K_0 - groups of the considered C^* - algebras have an order. As described in remark 4.6 the order on $K_0(C_0(M_0) \rtimes_F \mathbb{R})$ is pulled back from the order on $K_0(C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R})$. It remains to construct a projection $p \in \mathcal{P}(C_0(M_0) \rtimes_F \mathbb{R})$ for every projection $q \in \mathcal{P}(C(M) \rtimes_F \mathbb{R})$ such that

$$\phi_*([p]) = [q].$$

But this is trivial, because the image $\psi(q)$ is a projection in some matrix algebra over $\bigoplus_{i=1}^l C_0(\mathbb{R})$, hence equivalent to 0, and consequently,

$$q \in C_0(M_0) \rtimes_F \mathbb{R}.$$

□

Next we prove

Theorem 5.2. *Let (Σ, ϕ_F) be the dynamical system on a Cantor set Σ corresponding to the minimal flow F induced by (the imaginary part of) a holomorphic 1- form on a Riemann surface $X = M$. Then*

$$(K_0(C_0(M_0) \rtimes_F \mathbb{R}), K_0^+(C_0(M_0) \rtimes_F \mathbb{R})) \cong (K_0(C(\Sigma) \rtimes_{\phi_F} \mathbb{Z}), K_0^+(C(\Sigma) \rtimes_{\phi_F} \mathbb{Z})).$$

Proof. The idea is to bring the apparent similarity of the “method of towers” and the construction for $K_0(C_0(M_0) \rtimes_{\phi_F} \mathbb{R})$ in section 4 into a mathematically exact form. This is done by constructing AF-subalgebras $B_j \subset C(\Sigma) \rtimes_{\phi_F} \mathbb{Z}$ which are stably isomorphic to A_j , such that $B := \lim_{j \rightarrow \infty} B_j \subset C(\Sigma) \rtimes_{\phi_F} \mathbb{Z}$ is stably isomorphic to $\lim_{j \rightarrow \infty} A_j = C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$. Furthermore we show that the inclusion $i : C(\Sigma) \hookrightarrow C(\Sigma) \rtimes_{\phi_F} \mathbb{Z}$ factors through B :

$$\begin{array}{ccc} & C(\Sigma) & \\ & i_1 \quad \quad \quad i & \\ B & & C(\Sigma) \rtimes_{\phi_F} \mathbb{Z} \\ & i_2 & \end{array}$$

Then by [Put90, Cor.2.4], for every a in $K_0(C(\Sigma) \rtimes_{\phi_F} \mathbb{Z})^+$ there is a c in $K_0(C(\Sigma))^+$ such that $i_*(c) = a$. Letting $b = i_{1,*}(c)$ we have $i_{2,*}(b) = a$. Hence $i_{2,*}$ is surjective. It is also injective because

$$K_0(B) = K_0(C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}) = \mathbb{Z}^n = K_0(C(\Sigma) \rtimes_{\phi_F} \mathbb{Z}),$$

where the first isomorphism follows from B stably isomorphic to $\lim_{j \rightarrow \infty} A_j = C_0(\widetilde{M}_0) \rtimes_{\widetilde{F}} \mathbb{R}$. The conclusion of the theorem follows.

The towers leading to the B_j 's consist of the intervals $[T^l(0)^+, T^m(0)^-]$ such that the lines $T^l(0)^+ \times [0, 1]$ and $T^m(0)^- \times [0, 1]$ border the same strip in the j th step of the construction above. In particular, there is a minimal pair (l, m) such that the intervals

$$\begin{aligned} Y(k, 0) &= [T^l(0)^+, T^m(0)^-], \\ Y(k, 1) &= [T^{l+1}(0)^+, T^{m+1}(0)^-], \\ &\vdots \\ Y(k, J_k) &= [T^{l+J_k}(0)^+, T^{m+J_k}(0)^-] \end{aligned}$$

form the k th strip, $k = 1, \dots, n$. In this picture, the strips $[0, T^{k(1,0)}(0)^-] \times [0, 1]$ and $[T^{k(0,n)}(0)^+, 1] \times [0, 1]$ are missing, but they can be naturally included as the strips preceding those with left boundary $T(0)^+ \times [0, 1]$ resp. right boundary $T(0)^- \times [0, 1]$. We get a partition of Σ into the intervals $Y(k, j)$, $k = 1, \dots, n$, $j = 0, \dots, J_k$.

This partition may be used as in the “method of towers” to produce a finite dimensional C^* -subalgebra of $C(\Sigma) \rtimes_{\phi_F} \mathbb{Z}$,

$$M_{J_1} \oplus \dots \oplus M_{J_n},$$

defined with matrix units

$$e_{ij}^{(k)} = V^{i-j} \chi_{Y(k,j)} = \chi_{Y(k,i)} V^{i-j}$$

for all $k = 1, \dots, n$, $i, j = 0, \dots, J_k$. Let B_j be this finite dimensional C^* -algebra. Since the $(j+1)$ st partition is finer than the j th partition and the union of all partitions generates the topology of Σ , the B_j 's form a nested sequence of finite dimensional C^* -algebras whose inductive limit B contains $C(\Sigma)$.

On the other hand, we can also carryover the argument that yields the form of the matrix describing the homomorphism $K_0(A_j) \rightarrow K_0(A_{j+1})$ in the natural \mathbb{Z}^n -bases. Hence, B and $\lim_{j \rightarrow \infty} A_j$ are stably isomorphic, and the proof is finished. \square

Remark 5.3. It would be nice to have a $*$ -homomorphism between $C_0(M_0) \rtimes_F \mathbb{R}$ and $C(\Sigma) \rtimes_{\phi_F} \mathbb{Z}$ which induces an order-isomorphism of their K_0 -groups. Indeed, Putnam [Put92, Thm.3.2] proved the following

Theorem 5.4. *Let $C(\Sigma) \rtimes_{\phi_T} \mathbb{Z}$ and $C_0(M_0) \rtimes_{F_T} \mathbb{R}$ arise from a transformation $T(\sigma, \alpha)$ exchanging n intervals satisfying the I.D.O.C. as above. Then there are isomorphisms*

$$K_0(C(\Sigma) \rtimes_{\phi_T} \mathbb{Z}) \cong \mathbb{Z}^n, \quad K_0(C_0(M_0) \rtimes_{F_T} \mathbb{R}) \cong \mathbb{Z}^n$$

and an injective $*$ -homomorphism

$$\rho : C_0(M_0) \rtimes_{F_T} \mathbb{R} \rightarrow (C(\Sigma) \rtimes_{\phi_T} \mathbb{Z}) \otimes \mathcal{K},$$

where \mathcal{K} denotes the C^* -algebra of compact operators on a separable, infinite-dimensional Hilbert space.

Furthermore, using the isomorphisms above,

$$\rho_* : K_0(C_0(M_0) \rtimes_{F_T} \mathbb{R}) \rightarrow K_0(C(\Sigma) \rtimes_{\phi_T} \mathbb{Z})$$

is given by multiplication by L^σ , an $n \times n$ matrix with

$$L_{ij}^\sigma = \begin{cases} 1 & \text{if } i > j \text{ and } \sigma(i) < \sigma(j) \\ -1 & \text{if } i < j \text{ and } \sigma(i) > \sigma(j) \\ 0 & \text{otherwise.} \end{cases}$$

Unfortunately, L^σ need not be invertible (e.g., if n is odd, since then L^σ describes an alternating quadratic form).

It is not known to the author whether there is another $*$ -homomorphism inducing an order-isomorphism between the K_0 -groups, or whether the two crossed product C^* -algebras $C_0(M_0) \rtimes_F \mathbb{R}$ and $C(\Sigma) \rtimes_{\phi_F} \mathbb{Z}$ are (strongly) Morita equivalent.

Proposition 5.1 and theorem 5.2 show that the C^* algebras associated in section 4 to a minimal flow induced by the imaginary part of a holomorphic 1-form have the same ordered K_0 -group. We finally prove that the cone defining the order for this abelian group is dual to the cone $\Sigma(\sigma, \alpha)$ of T -invariant Borel measures on $[0, \beta(n))$ where T is an induced interval exchange transformation on the interval $[0, \beta(n))$.

Recall the description of $\Sigma(\sigma, \alpha)$ in proposition 3.4: Let $J_1 \supset J_2 \supset \dots$ be a sequence of admissible intervals shrinking to a point. Then

$$\Sigma(\sigma, \alpha) \cong \bigcap_{i=1}^{\infty} (A_1 A_2 \cdots A_i \Lambda_n)$$

where the A_i are the matrices describing the transition from the $(i-1)$ st to the i th induced interval exchange transformation and $\Lambda_n \subset \mathbb{R}^n$ is the simplex generated by the unit vectors in \mathbb{R}^n .

For every point $y_0 \in [0, \beta_\alpha(n))$ it is possible to choose a sequence of admissible subintervals $J_k = [a_k, b_k)$ such that

$$J_1 = [0, \beta_\alpha(n)) \supset J_2 \supset \dots \supset \{y_0\}$$

contract to this point $\{y_0\}$: Start with the admissible interval $J_2 = [\beta_\alpha(j), \beta_\alpha(j+1))$ containing y_0 . Take as J_3 the interval $[\beta_{\alpha^{(2)}}(k), \beta_{\alpha^{(2)}}(k+1))$ of the induced interval exchange transformation $\alpha^{(2)}$ containing y_0 , and iterate this procedure. The J_i contract to y_0 : Assume that another point $y_1 \neq y_0$ lies in all the J_n . Let $T|_{J_n}^{(n)} = T^{l^{(n)}}$. Then by construction, $T^m(y_0)$ and $T^m(y_1)$ would lie in the same interval of $\alpha = \alpha_1$,

for all $0 \leq m \leq l^{(n)}$. Now, $l^{(n)}$ increases for increasing n . This means that $T^m(y_0)$ and $T^m(y_1)$ would lie in the same interval of $\alpha = \alpha_1$, for all $m \in \mathbb{N}$. But this is impossible: By the minimality assumption, there are $i, l \in \mathbb{N}$ such that $T^{-l}\beta_\alpha(i)$ separates y_0 and y_1 . This certainly implies that $T^l(y_0)$ and $T^l(y_1)$ lie in different intervals of α .

The sequence of \mathbb{Z} -invertible matrices $A^{(k)} \in M(n, \mathbb{Z})$ describing the transition from $\alpha^{(k)}$ to $\alpha^{(k+1)}$ (that is, $\alpha^{(k)} = A^{(k)}\alpha^{(k+1)}$) allow us to define an ordered group (G_T, G_T^+) as the direct limit of

$$(\mathbb{Z}^n, (\mathbb{Z}^n)^+) \xrightarrow{A^{(1)}} (\mathbb{Z}^n, (\mathbb{Z}^n)^+) \xrightarrow{A^{(2)}} (\mathbb{Z}^n, (\mathbb{Z}^n)^+) \xrightarrow{A^{(3)}} \dots$$

The homomorphisms $A^{(k)} : (\mathbb{Z}^n, (\mathbb{Z}^n)^+) \rightarrow (\mathbb{Z}^n, (\mathbb{Z}^n)^+)$ are positive, since all the entries of $A^{(k)}$ are positive integers. Furthermore, this direct limit is dual to the cone $\bigcap_{i=1}^{\infty} (A_1 A_2 \cdots A_i \Lambda_n)$.

Proposition 5.5. *Let $T(\sigma, \alpha)$ and (Σ, ϕ) be the interval exchange transformation resp. dynamical system on a Cantor set Σ corresponding to the minimal flow induced by (the imaginary part of) a holomorphic 1-form on a Riemann surface X . Then*

$$(G_T, G_T^+) \cong (K_0(C(\Sigma) \rtimes_{\phi} \mathbb{Z}), K_0^+(C(\Sigma) \rtimes_{\phi} \mathbb{Z}))$$

as ordered groups.

Proof. By theorem 4.1 we have to prove that

$$(G_T, G_T^+) \cong (K_0(A_{\{y\}}), K_0(A_{\{y\}})^+)$$

for some point $y \in \Sigma$. It is quite self-evident to set $y = y_0 \in [0, \beta_\alpha(n)) \subset \Sigma$ and to try to identify the ordered group $(\mathbb{Z}^n, (\mathbb{Z}^n)^+)$ from the direct limit defining (G_T, G_T^+) with the ordered K_0 -group of $A(Y_k, \mathcal{P}_k)$ for some clopen interval $Y_k \subset \Sigma$ and partition \mathcal{P}_k which are in some way derived from the admissible interval $J_k = [a_k, b_k)$ and the interval exchange transformation $T(\sigma^{(k)}, \alpha^{(k)})$.

Again, it is eye-catching to set $Y_k = [a_k^+, (a_k + \beta_{\alpha^{(k)}}(n))^-] \subset \Sigma$. This gives a generic choice for the partitions \mathcal{P}_k : Define $\lambda_k : Y_k \rightarrow \mathbb{Z}$ and $Y_k(l, j)$ as above such that the clopen intervals $Y_k(l, J_l)$ are the same as the intervals $[(a_k + \beta_{\alpha^{(k)}}(i-1))^+, (a_k + \beta_{\alpha^{(k)}}(i))^-]$, $i = 1, \dots, n$. (This means in particular that some of the J_l may be equal.) Then let \mathcal{P}_k be the partition of Σ into the towers $\{Y_k(l, j) | j = 1, \dots, J_l\}$ of height J_l , $l = 1, \dots, n$. Obviously,

$$\{Y_k, \Sigma - Y_k\} \leq \mathcal{P}_k \leq \mathcal{P}_{k+1}.$$

Comparing with the arguments above we see that

$$A_k = A(Y_k, \mathcal{P}_k) \subset M_{J_1} \oplus \cdots \oplus M_{J_n}$$

is generated by the elements (see notation above)

$$e_{ii}^{(l)}, l = 1, \dots, n, i = 1, \dots, J_l; \quad f = \sum_{l=1}^n \sum_{i=2}^{J_l} e_{ii-1}^{(l)}.$$

Since $e_{ii}^{(l)} \cdot f = e_{ii-1}^{(l)}$, $f^m = \sum_{l=1}^n \sum_{i=m+1}^{J_l} e_{ii-m}^{(l)}$ and $(e_{ij}^{(l)})^* = e_{ji}^{(l)}$, we have

$$A_k \cong M_{J_1} \oplus \cdots \oplus M_{J_n}.$$

We still have to show that the union of the partitions \mathcal{P}_k generates the topology of Σ . But this is clear since the lengths of the intervals Y_k tend to 0, and the intervals $Y_k(l, j)$ are subsets of Y_k . Hence

$$A_{\{y_0\}} = \overline{\bigcup_k A_k}.$$

To compute $(K_0(A_{\{y_0\}}), K_0^+(A_{\{y_0\}}))$ we need to determine the inclusions $A_k \rightarrow A_{k+1}$. It is enough to look at the $*$ -homomorphisms $M_{J_l^{(k)}} \rightarrow M_{J_m^{(k+1)}}$, $1 \leq l, m \leq n$, which are unitarily equivalent to direct sums of the identity map on $M_{J_l^{(k)}}$, (by [Dav96, III.2.1]). Let $e_{ij}^{(l,k)}, e_{ij}^{(m,k+1)}$ be the matrix units of A_k resp. A_{k+1} from above. The number of identity representations in the $*$ -homomorphisms $M_{J_l^{(k)}} \rightarrow M_{J_m^{(k+1)}}$ is given by the rank of the image matrix in $M_{J_m^{(k+1)}}$ of $e_{J_l J_l}^{(l,k)} = \chi_{Y_k(l, J_l)}$. But the interpretation of the $A_{lm}^{(k)}$ in proposition 3.2 shows that $Y_k(l, J_l)$ is composed of $A_{lm}^{(k)}$ elements of the tower $\{Y_{k+1}(m, j) | j = 1, \dots, J_m^{(k+1)}\}$, $1 \leq m \leq n$, hence $A_{lm}^{(k)}$ is the rank of the image matrix of $e_{J_l J_l}^{(l,k)}$ in $M_{J_m^{(k+1)}}$. In particular, the matrix $A^{(k)}$ describes the induced map of K_0 -groups.

We still have to show that the union of the partitions \mathcal{P}_k generates the topology of Σ . But this is clear since the lengths of the intervals Y_k tend to 0, and the intervals $Y_k(l, j)$ are subsets of Y_k . \square

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