

IV. Logic, revisited

IV.1. Proposition as types

5 Theorem: LEM:  $\prod_{A:U} A + \neg A$  contradicts univalence.

Step 1: LEM  $\Rightarrow$  Double Negation DN:  $\prod_{A:U} \neg\neg A \rightarrow A$

10 Proof: Given  $A$ , LEM(A):  $A + \neg A$  leads to cases  $a:A$  and  $na:\neg A$   
 Given  $mna:\neg\neg A$ , the first case yields the required  $a:A$ .  
 second case  $\rightsquigarrow mna(na): \text{False} \Rightarrow a:A$  Ex Falso

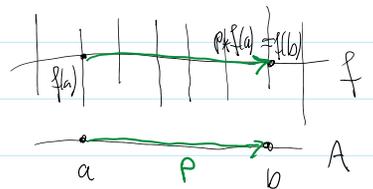
Step 2: Function Extensionality  $\Rightarrow p: \prod_{u,v:\neg A} u = v$

15 Proof: Given  $x:\neg A$ ,  $u(x), v(x): \text{False} \Rightarrow \prod_{x:\neg A} u(x) = v(x) \Rightarrow u = v$  Function Extensionality

Idea: If the type  $A$  "changes continuously" to a type  $B$  (along an equality  $p:A=B$ ), then a function  $\neg\neg A \rightarrow A$  should "change continuously" to a function  $\neg\neg B \rightarrow B$ . Because of Step 2, this is impossible for equalities coming from fixed-point free autoequivalences.

20 Lemma: Continuity of dependent functions  $f: \prod_{a:A} P(a)$ .

For  $p:a \simeq_x b$ , there is  $\text{apd}_f(p): p * f(a) = f(b)$ .



25 Proof: By induction on  $p$ .

Proof of Theorem: Step 1  $\Rightarrow$  Enough to show that Double Negation is false.

Consider fixed-point free autoequivalence  $\text{non-id}_2: \mathbb{Z} \rightarrow \mathbb{Z}$  (see Thm. in II.3)

Univalence  $\Rightarrow$  corresponding equality  $\text{non-id}_2: \mathbb{Z} = \mathbb{Z}$

30  $DN(\mathbb{Z})(u) = (\text{non-id}_2 * DN(\mathbb{Z}))(u) = \text{non-id}_2 * (DN(\mathbb{Z})(\text{non-id}_2^{-1}(u)))$

$A := \mathbb{Z}, P(a) := \neg\neg A \rightarrow A, f := DN$  Lemma  
 $a := b := \mathbb{Z}, p := \text{non-id}_2$  induction on  $p: A = U B$ :  $P(A) \xrightarrow{f} Q(A)$   
 $p * \uparrow$   $\downarrow p *$   
 $u: P(b) \xrightarrow{p * \uparrow} Q(b)$

$= \text{non-id}_2 * (DN(\mathbb{Z})(u)) = \text{non-id}_2 * (DN(\mathbb{Z})(u)) \dots + 0 + 1$

$$a \equiv b \equiv \perp, p \equiv \text{non-idp}_2$$

$$u : \frac{! (0)}{p \neq \top} u(0)$$

$$\stackrel{\text{Step 2}}{=} \text{non-idp}_2 * (DN(\mathbb{Z})(u)) = \text{non-id}_2 (DN_2(u)) : \text{contradiction!}$$

*univalence*

- 35 Remarks:
- (1) It is not possible to exhibit a type  $A$  for which  $A \neq \top A$  is false.
  - (2) The contradiction arises from fixed-point free autoequivalences
- $\Rightarrow$  LEM can hold when restricted to types containing at most one element (up to propositional equality)

## IV.2. Propositions, sets, ... : $n$ -types

40 Definition: **Propositions** are types  $P$  such that for all  $x, y : P$  we have  $p : x = y$ .

*condition on identity types*

We can assume LEM for propositions  $\equiv$  **LEM<sub>-1</sub>** as an axiom.

More generally, we can do logic just on propositions: **"Proof Irrelevance"**

45 Definition: **Sets** are types  $S$  such that for all  $x, y : S$ ,  $x =_S y$  is a proposition.

*... of identity types*

*Several equalities require "inner structure" of objects*

*$\rightsquigarrow$  cumulative hierarchy*

Lemma 1: Propositions are sets.

*upwards*

50 Proof: Assume  $p(x, y) : x =_A y$  for all  $x, y : A$ . Fix  $x : A$  and define  $q(y) \equiv p(x, y)$

For all  $y, z : A$ ,  $r : y = z$  we have  $\text{apd } q \ r : r * (q(y)) = q(z)$

*"induction on r"*

$\Rightarrow$  For all  $r, s : y = z$  we have  $r = q(y)^{-1} * q(z) = s$ .

Lemma 2: A type  $A$  is a proposition if and only if for all  $x, y : A$ , the type  $x =_A y$  is contractible. *downwards*

55 Proof: " $\Leftarrow$ "  $x =_A y$  is contractible  $\Rightarrow x =_A y$  is inhabited

" $\Rightarrow$ "  $A$  is a proposition  $\Rightarrow A$  is a set  $\Rightarrow x =_A y$  is a proposition, contractible to any of its proofs.

*lem. 1*

Definition:  **$n$ -types** are types such that for all  $x, y : A$ ,  $x =_A y$  is an  $(n-1)$ -type.

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base case  $\equiv$  contractible types  $\equiv$  (-2)-types

$\downarrow$

propositions  $\equiv$  (-1)-types

$\downarrow$

sets  $\equiv$  0-types (historically / pragmatically)

$\vdots$

### 65 IV.3. Equivalences of sets

Proposition: Bijections between sets and equalities of sets are equivalent.

Proof: Equalities of set elements are equal, by definition.  $\Rightarrow$  Bijections between sets are always equivalences  
 $\Rightarrow$  equivalent to equalities, by univalence.

70 Corollary: Sets of the same cardinality are equal.

Remark: Most mathematicians are used to "sets are equal if they have the same elements".  
= Axiom of Extensionality in ZFC

Hott point of view: It is impossible to distinguish elements in sets without further structure

- elements in two sets = objects in two types cannot be the same, they can only be paired up by a bijection.
- But bijections correspond to equalities of the sets.

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Proposition: The type of sets is not a set.

Proof:  $\text{id}_{\mathbb{Z}}$  and  $\text{non\_id}_{\mathbb{Z}}$  are two different autoequivalences of the set  $\mathbb{Z}$

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$\Rightarrow \exists$  two different equalities  $\mathbb{Z} = \mathbb{Z}$   
univalence

$\uparrow$   
encode-decode method, see II.3

### IV.4. Ways to do logic in type theory

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- proposition as types: too much structure  $\Rightarrow$  e.g. LEM fails
- propositions as mere types  $\equiv$  all objects are equal, as in HoTT
- Assume Axiom K, as in Agda:  $\prod_{x,y:A} x=y$  is a proposition.

not consistent with univalence (but can be removed in Agda!)

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- work in "logic-enriched" type theory, as in Coq, Lean 3/4:  
introduce a separate "sort" for propositions like equalities, behaving somewhat like a type, but with restricted inductive constructions:  
one of them, called "Singleton Elimination", is not consistent with univalence.